

# Contributor Office Hours

Changes to the 2023 FCC Form 499-A

February 2, 2023



Universal Service  
Administrative Co.

# DISCLAIMER

To accommodate all attendees, real-time closed captions will be present during this presentation. We apologize in advance for any transcription errors or distractions. Thank you for your support.

# Housekeeping

- The audience will remain on mute.
- Enter questions at any time using the “**Questions**” box.
- If your audio or slides freeze, restart the webinar.
- A copy of the slide deck is in the “**Handouts**” section of webinar panel.
- This webinar is being recorded and a copy of the presentation will be on our website under Service Providers and “**Webinars**”.



The screenshot shows a webinar control panel with the following sections:

- Audio:** Includes a microphone icon with a red 'X' over it, indicating it is muted. The status is labeled "MUTED". Below are dropdown menus for "Microphone (HD Webcam C510)" and "Speakers (High Definition Aud...)".
- Handouts: 2**: Lists two files: "2017-05-05\_11-59-21.png" and "GTM iOS.jpeg".
- Questions:** A text input field with the placeholder "[Enter a question for staff]" and a "Send" button.
- Footer:** Contains the text "Ask questions here!" in red, "Multi sessions different registrants", "Webinar ID: 980-960-603", and the GoToWebinar logo.

A red text overlay on the right side of the screenshot reads: **Download PDF of Slides here!**

# Meet the Presenter

## **Amy Kavelman**

Senior Telecom Industry Analyst | Contributor Operations

Ms Kavelman is a member of the 499 team that analyzes revenue reported on the FCC Form 499-A.

Amy also develops and delivers most of the 499 training. She has worked at USAC for 12 years.

Raised in central Illinois, she moved to the Washington DC area in 1997.

# Agenda

- Introduction
- Webinar Format
- 2023 Annual Form Changes
- Questions
- Annual Form Training Schedule
- Assistance Resources

# “Office Hours” Webinar Format

- Office Hours will be held monthly.
- Brief presentation of a common topic at the beginning
- Open the floor to questions from attendees
  - Attendees type their question in the ‘Questions’ box in the GoToWebinar panel
  - USAC staff will repeat the question so everyone can hear
  - USAC staff will answer the question, keeping identify of the asker private
  - If question contains specific company information, USAC staff will follow-up with an email, keeping the question private.

# Updates to the 2023 FCC Form 499-A

# Summary of Clarifications for 2023 Form

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- **Clarifications to the 2023 FCC Form 499-A Instructions ([DA 22-1094](#))**
  - o Affiliate reporting
  - o Agent's fax number now optional
  - o Incumbent LECs without a subscriber line charge should identify the interstate portion of fixed  
local exchange service revenues as interstate
  - o Reporting jurisdictions for federal USF support
  - o Reseller safe harbor procedures require an *active* Filer 499 ID



# Affiliate Identifier

- Page 11 is updated to clarify that each affiliate and subsidiary entity should identify their ultimate controlling parent or entity, or provide a common identifier for all affiliated filers.

“Each legal entity providing interstate telecommunications for a fee or providing interstate interconnected VoIP service, including each affiliate or subsidiary of an entity, must separately complete and file a copy of the Worksheet, except as provided below.<sup>25</sup> Entities with distinct articles of incorporation, articles of formation, or similar legal documents are separate legal entities. **Each** (used to state ‘all’) legal entity, affiliate, and subsidiary must identify its ultimate controlling parent or entity, or **provide a common identifier for all of its affiliated filers** on Block 1, Line 106. “

....new language highlighted in yellow

106.1	Affiliated Filers Name/Holding Company Name (All affiliated companies must show the same name on this line.)	Check if filer has no affiliates <input type="checkbox"/>
106.2	Affiliated Filers Name/Holding Company Name IRS employer identification number	[Enter 9 digit number]

# Removed Requirement for a Fax Number

Lines 209 – 218 Removed the requirement of a fax number for the Agent for Service of Process

In the past, the instructions indicated that this was a required field. It's no longer a required field, but it's still going to appear on the form on Line 211.

		Street3 City	State	Zip (postal code)	Country		
208.1	Email address pertaining to ITSP regulatory fee issues	not for public release					
<b>Block 2-B: Agent for Service of Process</b>		All carriers and providers of interconnected and non-interconnected VoIP must complete Lines 209 through 213. During the year, these filers must refile Blocks 1, 2, and 6 if there are any changes in this section. See Instructions					
209	D.C. Agent for Service of Process	Company		Attn: First name	MI	Last	
210	Telephone number of D.C. agent	( )	-	ext -			
211	Fax number of D.C. agent	( )	-				
212	Email of D.C. agent						
213	Complete business address of D.C. agent for hand service of documents	Street1 Street2 Street3 City	State	DC	Zip		
214	Local/alternate Agent for Service of Process (optional)	Company		Attn: First name	MI	Last	
215	Telephone number of local/alternate agent	( )	-	ext -			
216	Fax number of local/alternate agent	( )	-				
217	Email of local/alternate agent						
218	Complete business address of local/alternate agent for hand service of documents	Street1 Street2 City	State	Zip (postal code)	Country		
PERSONS MAKING WILLFUL FALSE STATEMENTS IN THE WORKSHEET CAN BE PUNISHED BY FINE OR IMPRISONMENT UNDER TITLE 18 OF THE UNITED STATES CODE, 18 U.S.C. § 1001							

# Incumbent LECS without SLC

o Page 26 is updated consistent with a recent Bureau order clarifying that incumbent LECs without a subscriber line charge should identify the interstate portion of fixed local exchange service revenues in column (d) of the appropriate line 404.1- 404.5.

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Line 404.1 - 404.5 – Fixed Local Services (this whole paragraph and footnote was added)

“Line 404 should not include subscriber line charges levied under a tariff filed by the filer or placed on customer bills as a pass-through of underlying carrier subscriber line charges. Filers should instead report such revenues on line 405. Note that federal subscriber line charges typically represent the interstate portion of fixed local exchange service; these amounts are separate from toll revenues and correspond to the revenues received by incumbent telephone companies to recover part of the cost of networks that allow customers to originate and terminate interstate calls. Incumbent LEC filers without subscriber line charge revenue must identify the interstate portion of fixed local exchange service revenues in column (d) of the appropriate line 404.1–404.5.<sup>50</sup> Line 404.1–404.5 should, however, include revenues from federally tariffed LNP surcharges, which should be identified as interstate revenues. “

<sup>50</sup> See *Universal Service Contribution Methodology; Request for Review of Decision of the Universal Service Administrator by Mark Twain Telephone Company*, WC Docket Nos. 10-90, 06-122, Order, DA 22-757, at para. 18 & n.62 (WCB July 15, 2022).

# USAC Disbursements

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- Page 29 is updated to clarify that amounts received from the federal USF support mechanism should be attributed as either interstate or international revenues, as appropriate.
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Line 308 - Support revenues received from Federal or state sources

Added the bullet below:

“Amounts received from the **federal** USF support mechanism should be attributed as either interstate or international revenues, as appropriate. “

# Reseller Certificates

o Page 39 is updated to clarify that the reseller safe harbor procedures require an active Filer 499 ID to meet the “reasonable expectation” standard.

## d. Safe Harbor Procedures for Meeting the “Reasonable Expectation.”

Each filer should have documented procedures to ensure that it reports as “revenues from resellers” only revenues from entities that meet the definition of reseller. The procedures must include, at a minimum, the following information on resellers:

1. Filer 499 ID;<sup>75</sup>
2. Legal name;
3. Legal address;
4. Name of a contact person;
5. Phone number of the contact person; and,
6. As described below, an annual certification by the reseller regarding its reseller status;

Filers shall provide this information to the Commission or the Administrator upon request.

Added a footnote

<sup>75</sup>Filer ID must be associated with an **active** 499 Filer to meet the “reasonable expectation” standard.

**Questions?**

# 2023 Annual Training Announcements

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## Thursday, February 2 – **What’s New on the 2023 FCC Form 499-A**

- Review of the changes to this year’s form

## Tuesday, February 7 – **2023 FCC Form 499-A: Getting Started**

- General session reviewing the revenue collected and how to complete the form

## Wednesday, February 8 – **2023 FCC Form 499-A: Wireline and Wireless Carriers**

- Review of line number reporting for services offered by these types of service providers

## Thursday, February 9 - **2023 FCC Form 499-A: VoIP Providers and Resellers**

- Review of specific line number reporting for VoIP service providers

All webinars are 2 p.m. – 3 p.m ET. [Register here.](#)

Webinars will be recorded and posted on the same website where you register.

## For More Assistance



Form 499 questions: email [Form499@usac.org](mailto:Form499@usac.org)



Call Customer Support at:

[\(888\) 641-8722 Option 3](tel:(888)641-8722)

Monday – Friday, 9 am – 5 pm ET

- **No 499 Filing Hotline this year**



Visit the Service Provider's [Learn](#) page





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