2020 FCC Form 499-A Webinar Series

February 11, 2020 General Information and E-File Help



Universal Service Administrative Co

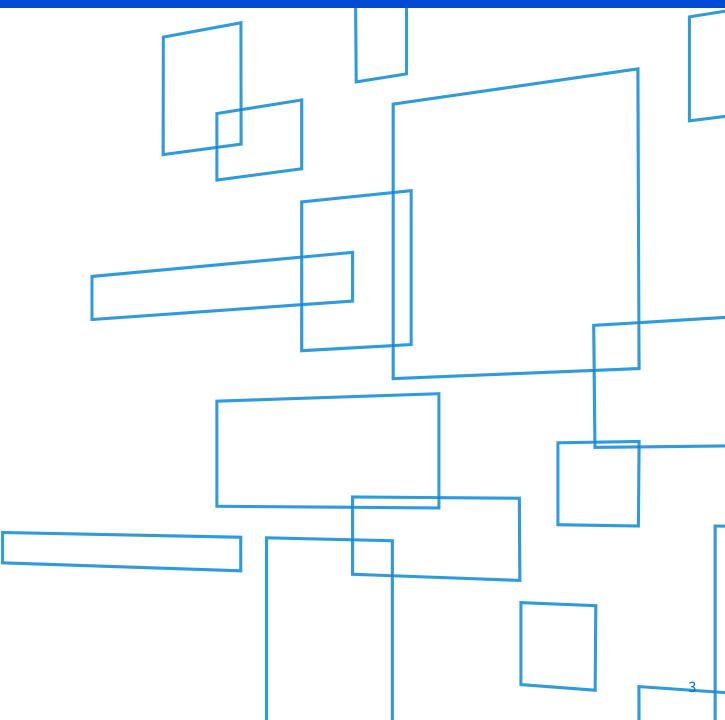
Housekeeping

- Audio is available through your computer's speakers
- The audience will remain on mute
- Enter questions at any time using the "Questions" box
- If your audio or slides freeze, restart the webinar
- A copy of the slide deck available in the "handouts" section of the webinar panel

Webinar Overview

In this webinar, designed for all E-File users, we will review the changes to the 2020 FCC Form 499-A, due on April 1, 2020.

In addition, we will cover common topics associated with filing the annual form.



Agenda

- 1. FCC Form 499 changes
- 2. E-File Password Reset
- 3. New Company Officer
- 4. Create New Form
- **5**. Certification Process
- 6. Questions

2020 FCC Form 499-A Changes

2020 FCC Form 499-A Changes

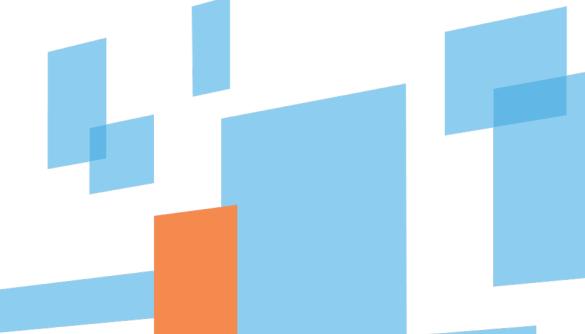
- 1. <u>Remedy for Failure to Provide Supporting Documents</u>: Page 15 of the Instructions was revised to indicate that failure to "submit supporting documentation" when requested is subject to enforcement action and administrative penalties.
- 2. <u>Traffic Studies Not to Be Filed With USAC for the Q</u>: Carriers are no longer required to file quarterly traffic studies that they relied on to report their interstate revenues on Form 499-Q. Instead, traffic studies are required to be filed for the Form 499-A, and are due April 1.
- 3. <u>Text Messaging is Not Assessable</u>: References to messaging services were deleted, to conform to the recent FCC decision classifying text messaging as an information service.

2020 FCC Form 499-A: ILEC Reminder

- 1. As directed by the FCC's rules, Incumbent Local Exchange Carriers (ILECs) are required to recover a portion of the local loop through a flat-rate Subscriber Line Charge (SLC) assessed on the end-user customer.
- 2. A Subscriber Line Charge is a flat, non-traffic sensitive charge assessed on end-users to recover Local Exchange Carriers' (LECs') interstate-allocated common line costs.
- 3. Revenues derived from the SLC must be reported as interstate revenue on Line 405 of the FCC Form 499-A for USF contribution purposes.
- 4. For additional information, please see paragraph 11 of <u>DA 13-2254</u> and page 25 of the FCC Form 499-A Instructions.

E-File Login

Password Reset Process



E-File Password Reset

Important E-File Update

Late in 2019, USAC implemented a multi factor authentication system, forcing password resets.

Let's watch this video that walks users through the new process.

After 5 failed log in attempts, the user will be locked out of the system for 24 hours.

Video Reference

From <u>www.usac.org</u>,

select 'Service Providers'

- Videos
- Helpful Tips
- Reset Password video

Adding a Company Officer

If the company experienced officer turnover in the past year and needs to add a new Company Officer, you can request to create a new account from the E-File log in screen.

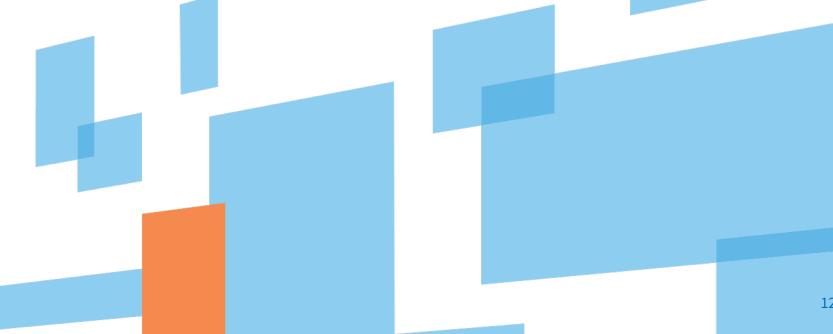
Video Reference

From <u>www.usac.org</u>,

select 'Service Providers'

- Videos
- Helpful Tips
- 'How to Add a New Company Officer' video

Temporary Account Reactivations



If you deactivated your Filer ID in calendar year 2019, you are still required to report any revenue earned in 2019 on the 2020 FCC Form 499-A.

(If you sold or merged the company, the acquiring company is required to report your revenues, unless specified differently in the sales agreement.)

When the Filer ID was deactivated, so were the users authorized with that Filer ID.

In order to file the 2020 FCC Form 499-A, the company officer must request temporary access in E-File.

Temporary Reactivation



Us	ern	ame

Password

Forgot password?

You are accessing a portal to Universal Service Administrative Company (USAC) systems used to administer participation in the federal Universal Service programs in compliance with 47 C.F.R. Part 54. Access to the systems is provided solely to USAC-authorized users for USAC-authorized business purposes. By logging in, you represent that you are an authorized user. Use of this system indicates acceptance of the terms and conditions governing the USAC Systems. USAC monitors user access and content for compliance with applicable laws and policies. Use of the system may be recorded, read, searched, copied and/or captured and is also subject to audit. Unauthorized use or misuse of this system is strictly prohibited and subject to disciplinary and/or legal action.

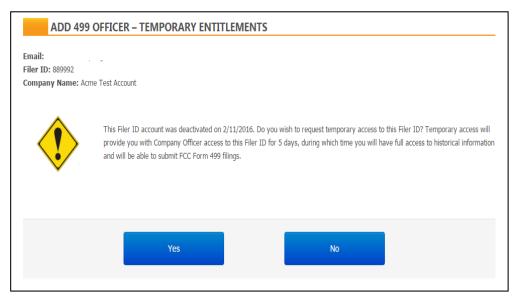
Click the box to accept

By signing in, I accept the terms and conditions of the USAC system

Sign In



- Begin by selecting "Create an account" on the E-File sign in page.
- 2. Enter email address (user ID) and 499 Filer ID.



The system will notify you that the Filer ID was deactivated, and asks you to confirm that you want temporary access for 5 days.

Confirm the request by clicking 'Yes'.

Temporary Reactivation

Fill out the Temporary Entitlements form and click **Submit**

ADD 499 OFFICER – TEMPORARY ENTITLEMENTS						
Email: Filer ID: 889992 Company Name: Acme Test Account						
1 To obtain temporary access to this	Filer ID, please fill out the missing information below.					
Name (first, middle initial, last)	First MI Last					
Position Title	Job Title					
Phone Number	xxx-xxx-xxxx Ext.					
Reason for Temporary Access	Provide Reason for Temporary Access					
 Your email address listed above is Acme Test Account, Filer ID 889992 						
	Submit Cancel					

If your request is successful, you will receive this screen.

Otherwise, you will receive an error message telling you what must be fixed.

ADD 499 OFFICER – TEMPORARY ENTITLEMENTS

Email:
Filer ID: 889992
Company Name: Acme Test Account



Your request has been sent to USAC Customer Support. You will receive an email message from USAC when your temporary access has been approved. This normally takes 2 days. For Company Officers who currently do not have E-File access (through another account), the email will include your user name and password, at which point you will be able to log in to E-File.

Exit

Customer Service Poll



Purpose of the FCC Form 499-A

What is it?

A company reports their actual historical revenues on the FCC Form 499-A.

The 2020 FCC Form 499-A reports actual revenues from calendar year 2019.

USAC takes the numbers on the 2020 FCC Form 499-A and compares them to the projected revenue from the quarterly FCC Forms 499-Q.

- November 2018 Filing
- February 2019 Filing
- May 2019 Filing
- August 2019 Filing

Compare 499-Q revenue to the 499-A revenue

If the company's projected revenues for calendar year 2019, reported on the FCC Form 499-Q, are **too high**, USAC will calculate your credit.

The company 'contributed' too much over the year.

If the company's projected revenues are **too low**, USAC will calculate a "trueup charge" to make up for the contribution deficit.

The company didn't contribute enough over the year.

These charges/credits will appear on the company's July invoice from USAC.

How to Create a New Form

Start a New Form Online

Remember! All FCC Forms 499-A and 499-Q must be submitted online.

• Paper copies are NOT accepted.

Currently, the 2020 FCC Form 499-A is pending OMB approval and NOT online. Check back near the end of February for an announcement.

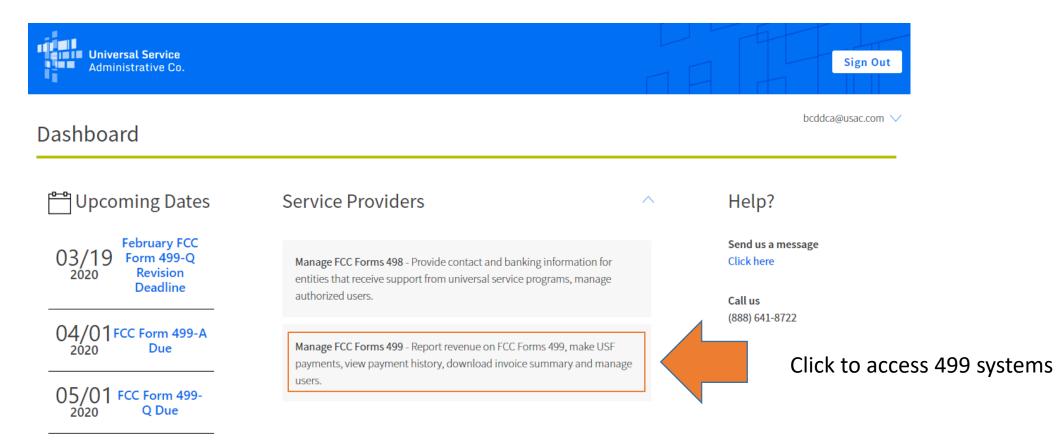
• Filers will also be notified via email that the form is ready.

USAC's online system, E-File, will display a data entry screen, guiding the user through reporting the revenue on the form.

• These screens do NOT look like the paper copy of the form.

Online Filing the FCC Form 499

Once logged in to E-File, the new service provider portal will appear. Only systems in which you have authorization to access will appear. In this example, the user can only access the 498 ID and the 499 Filer ID.



Online Filing the FCC Form 499

To start the FCC Form 499-A, select 'Create New Form' from the Information Center on the left hand side.

Once in the 'Create New Form' section, you'll have the option to select the filing you are working on.

Be sure to enter the Filer ID and then click on the 'Create New Filing' button to get started.

USAC Universal Service Administrative O		
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Information Center	Form 499 - Create New Form	
- Contributors		
- Create New Form		
- Search Forms		
- 499Q Bulk Submission	Filing:	499Q for February, 2020 V
- View Sent Issue Emails	Filer 499 ID:	
+ Payments		Create New Filing
+ Pending Certifications		Greate New Filling
- Download Forms		
+ Authorized Users		
+ My Account		
+ FAQs		
- Terms and Conditions		

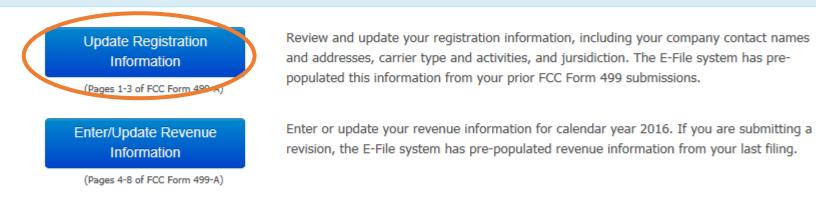
FCC Form 499-A Main Page

Company Name: Filer ID: 822 Solutions, Inc.

2020 FCC Form 499-A (Reporting calendar 2019 revenues)

MAIN PAGE: 2018 FCC FORM 499-A (REPORTING ACTUAL REVENUES FROM CALENDAR YEAR 2017)

Thank you for choosing to electronically file your FCC Form 499-A. Use this E-File system to update your registration information and to report your actual revenues from calendar year 2016. As you enter your data, be sure that you understand the requirements as indicated in the 2017 FCC Form 499-A Instructions.



Submitting the Form

Throughout the data entry process, the E-File system will conduct validations of your data and will advise you of any issues it detects. The "Review and Certify My Filing to USAC" button will be enabled only when any identified issues have been resolved.

Save & Close Form

Review & Certify My Filing to USAC

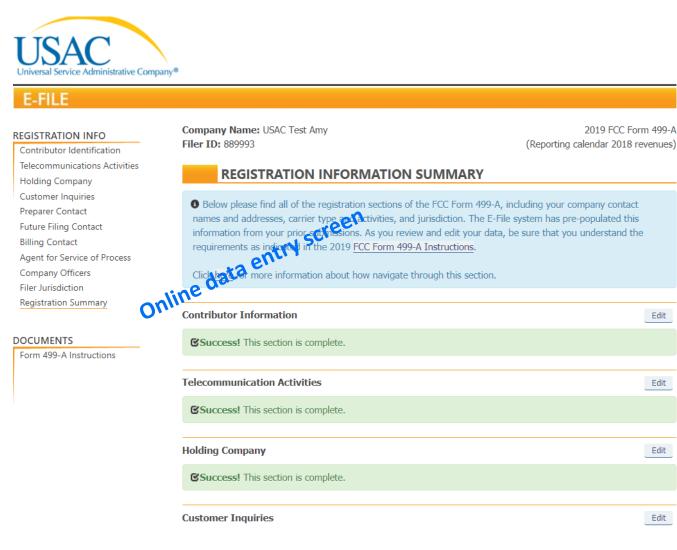
Registration Information Summary

Edit

Edit

Edit

Edit



			Annual	Filing due Ap	fore comp		
	Block 1: Contributor Identific	ation Information					
01		our number, contact the administrator at (888)			refile Bloc	ks 1, 2 and 6 if	there are any changes
01		" in this block and a Filer 499 ID will be assig					
02	Legal name of filer						
03	IRS employer identification numb	21			1	Enter 9 digit nu	mber]
04	Name filer is doing business as						
05	Telecommunications activities of f	iler [Select up to 5 boxes that best describe th			umbers star	rting with "1" to	show the order of in
	Audio Bridging (teleconferenci	ng) Provider		CAP/CLEC			Cellular/PCS/SMR (
	Coaxial Cable	Incumbent LEC	🗆 h	nterconnected Vo	IP		Interexchange Carrie
	Non-Interconnected VoIP	Operator Service Provider	🗆 P	aging & Messagi	ng		Payphone Service Pr
	Private Service Provider	Satellite Service Provider		hared-Tenant Ser	vice Provi	ider / Building I	.EC
	Toll Reseller	Wireless Data		Other Local			Other Mobile
	If Other Local, Other Mobile or O						
	describe carrier type / services pro						
06.1	Affiliated Filers Name/Holding Co	ompany Name (All affiliated companies must	show the s	ame name on this	line.)	Check if fil	er has no affiliates
06.2		mpany Name IRS employer identification nu	nber			[Enter 9 digit	t number]
07		[https://apps.fcc.gov/cores/userLogin.do]					
08	For assistance, contact the CORE Management company [if filer is a	S help desk at 877-480-3201 or CORES@fcc.	gov			[Enter 10 dig	ut number]
09	Complete mailing address of repor			Street1			
	complete manning address of repor	ing entry corporate neudquarters		Street2			
				Street3			
10				City		State	Zip (postal co
10	Complete business address for cus	tomer inquiries and complaints		Street2			
		check if same address as Lir	- 100 🗆	Street3			
		check if same address as Lir	e 109 🗆	City		State	Zip (postal co
11		mplaints and inquiries [Toll-free number if a				()	-
12		at 3 years in providing telecommunications. In	nclude all 1	names by which y	ou are kno	own by custome	rs.
	a			g			
	b			h			
	c			i			
	d			J			
	c f			k 1			
	_ I	Use additional sheets if new	E.		de aller	- Contra	

Registration Information Summary

E-FILE

REGISTRATION INFO

Contributor Identification Telecommunications Activities Holding Company **Customer Inquiries** Preparer Contact Future Filing Contact Billing Contact Agent for Service of Process Company Officers Filer Jurisdiction Registration Summary

Company Name: USAC Test Amy Filer ID: 889993

2019 FCC Form 499-A (Reporting calendar 2018 revenues)

Edit

REGISTRATION INFORMATION SUMMARY

Below please find all of the registration sections of the FCC Form 499-A, including your company contact names and addresses, carrier type and activities, and jurisdiction. The E-File system has pre-populated this information from your prior submissions. As you review and edit your data, be sure that you understand the requirements as indicated in the 2019 FCC Form 499-A Instructions.

Click here for more information about how navigate through this section.

Contributor Information

Success! This section is complete.

Form 499-A Instructions

DOCUMENTS

Company Name: USAC Test Amy Filer ID: 889993

2019 FCC Form 499-A (Reporting calendar 2018 revenues)

LISAC Test Amy

CONTRIBUTOR IDENTIFICATION INFORMATION

Ð

1 In this section, please provide the following identification information.

Show Helpful Hint	
Legal Name	

		obite reserving
IRS Employer Identification Number	θ	99-9999999
Name Filer is Doing Business as (DBA)	0	USAC Test Amy
Management Company	Θ	
FCC Registration Numbers	0	0006777775
Trade Names	Θ	Third Carrier
Corporate Headquarters Mailing Address	Θ	
Do you want to enter foreign address?	_Yes ⊛No	
PO Box 135		Mana
Floor 2		PA • 15664
Space 5		United States

Save & Return to Summary Save & Continue Save & Close

Main Page: Revenue Data Entry



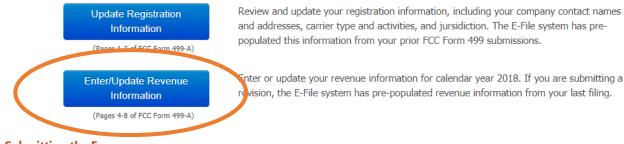
E-FILE

Company Name: USAC Test Amy Filer ID: 889993

2019 FCC Form 499-A (Reporting calendar 2018 revenues)

MAIN PAGE: 2019 FCC FORM 499-A (REPORTING ACTUAL REVENUES FROM CALENDAR YEAR 2018)

Thank you for choosing to electronically file your FCC Form 499-A. Use this E-File system to update your registration information and to report your actual revenues from calendar year 2018. As you enter your data, be sure that you understand the requirements as indicated in the 2019 FCC Form 499-A Instructions.



and addresses, carrier type and activities, and jursidiction. The E-File system has prepopulated this information from your prior FCC Form 499 submissions.

Inter or update your revenue information for calendar year 2018. If you are submitting a revision, the E-File system has pre-populated revenue information from your last filing.

Submitting the Form

Throughout the data entry process, the E-File system will conduct validations of your data and will advise you of any issues it detects. The "Review and Certify My Filing to USAC" button will be enabled only when any identified issues have been resolved.



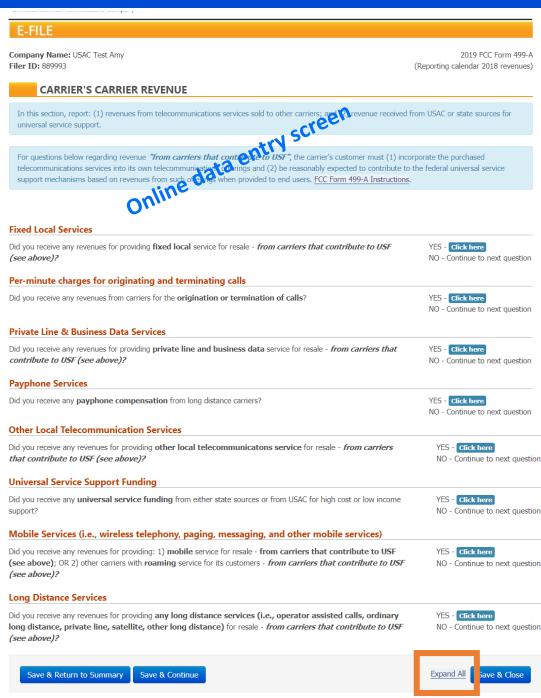
Revenue Reporting Summary

Revenue Reporting Sections

Form 499-A Line #		
Lines 303-315	Carrier's Carrier This section is for reporting revenues received from (1) carriers that contributed to the federal universal service fund (USF); OR (2) USAC or state sources for universal service support.	Edit
Lines 403-417	End-User This section is for reporting revenues received from end-users, or carriers that did not contribute to the federal USF, for providing telecommunications or interconnected VoIP services.	Edit
Lines 418.1-418.3	Non-Telecommunications This section is for reporting revenues received for providing non-telecommunications services.	Edit
Line 418.4	Non-Interconnected VoIP This section is for reporting revenues received for providing non-interconnected VoIP services.	Edit
Lines 511	Excluded Reseller Revenue This section is for reporting revenues from carriers that did not contribute to USF that you would like to exclude from other contribution bases (e.g., NANPA, TRS, LNP).	Edit
Lines 421-422	Uncollectible Revenue or Bad Debt This section is for reporting any uncollectible revenue or bad debt.	Edit
Lines 503-510	Regional Percentages This section is required for carriers and providers of interconnected VoIP with revenue to indicate regional billing data.	Edit
	Certifying Officer Information This section you will need to fill out the filer's Certifying officer information.	Edit

Save & Close Form

Go To Main Page



Revenue Data Entry

Placed side by side, you'll see that the online data entry screen does not look like the paper form.

2019 FCC Form 499-A Telecommunications Reporting Worksheet (Reporting 2018 Revenues)					
Block 3: Carrier's Carrier Revenue Information					
301 Filer 499 ID [from Line 101]					
302 Legal name of filer [from Line 102]					
Report billed revenues for January 1 through December 31, 2018.		If breakout	s are not book	Br	eakouts
Do not report any negative numbers. Dollar amounts may be rounded to the nearest thousand dollars	i.	amounts,	enter whole	Interstate	International
However, report all amounts as whole dollars.	Total	percenta	ge estimates	Revenues	Revenues
	Revenues	Interstate	International		
See instructions regarding percent interstate and international.	(a)	(b)	(c)	(d)	(c)
Revenues from Services Provided for Resale as Telecommunications					
by Other Contributors to Federal Universal Service Support Mechanisms	ormBlock				
Fixed local service					
Monthly service, local calling, connection charges, vertical features,					
and other local exchange service including subscriber line and					
303.1 PICC charges to IXCs	Ψ				
Provided as unbundled network elements (UNEs)					
303.2 Provided under other arrangements					
Per-minute charges for originating or terminating calls					
304.1 Provided under state or federal access tariff					
304.2 Provided as unbundled network elements or other contract arrangement					
Y Y N N N Att					

Click the 'Expand All' selection at the bottom of the screen.....

Company Name: USAC Test Amy Filer ID: 889993

2019 FCC Form 499-A (Reporting calendar 2018 revenues)

CARRIER'S CARRIER REVENUE

In this section, report: (1) revenues from telecommunications services sold to other carriers; and (2) revenue received from USAC or state sources for universal service support.

For questions below regarding revenue "from carriers that contribute to USF", the carrier's customer must (1) incorporate the purchased telecommunications services into its own telecommunications offerings and (2) be reasonably expected to contribute to the federal universal service support mechanisms based on revenues from such offerings when provided to end users. FCC Form 499-A Instructions.

see abov		ding fixed local service fo	r resale - <i>from carriers th</i>	at contribute to USF	YES - Click here NO - Continue to next	: questic
and PICC		port your revenues from all			e service including subscriber he basic local service revenue	
Show Help 303.1		bundled network elements	(UNEs)			
	\$ 0.00	0.00 %	0.00 %	\$ 0.00	\$ 0.00	
	Total Revenues	Interstate %	International %	Interstate Revenues	International Revenues	
303.2			ements other than unbund inge Carrier Charge (PICC)	led network elements (for or charges levied on carriers.	example, resale). Line	
	\$ 0.00	0.00 %	0.00 %	\$ 0.00	\$ 0.00	
	Total Revenues	Interstate %	International %	Interstate Revenues	International Revenues	
Per-minu	te charges for origina	ating and terminating	g calls			
	te charges for origination of the charges for origination of the charges from call the charge of the				YES - Click here NO - Continue to ne	ext que
Did you rec Please re	eive any revenues from ca	rriers for the origination of the charges for originating	or termination of calls? and terminating calls, inclu	ding charges related to orig		
Did you rec Please re	eive any revenues from ca port revenues for per-minu oice over Internet protocol	rriers for the origination of the charges for originating a to public switched telephone to public switched telephone	or termination of calls? and terminating calls, inclu	ding charges related to orig	NO - Continue to ne	
Did you rec Please re traffic (vo Show Help	eive any revenues from ca port revenues for per-minu pice over Internet protocol ful Hint	rriers for the origination of the charges for originating a to public switched telephone to public switched telephone	or termination of calls? and terminating calls, inclu	ding charges related to orig	NO - Continue to ne	
Did you rec Please re traffic (vo Show Help	eive any revenues from ca port revenues for per-minu pice over Internet protocol full trint Provided under state or	rriers for the origination of the originating to public switched telephone federal access tariff	or termination of calls? and terminating calls, inclu ne network traffic).		NO - Continue to ne	
Did you rec Please re traffic (vo Show Help	eive any revenues from cal port revenues for per-minu- pice over Internet protocol full finit Provided under state or f \$ 0.00 Total Revenues	rriers for the origination of the origination of the charges for originating to public switched telephone federal access tariff	or termination of calls? and terminating calls, inclu ne network traffic). 0.00 % International %	\$ 0.00	NO - Continue to ne pinating or terminating VoIP-1 \$ 0.00	
Did you rec Please re traffic (vo Show Help 304.1	eive any revenues from cal port revenues for per-minu- pice over Internet protocol full finit Provided under state or f \$ 0.00 Total Revenues	rriers for the origination of the origination of the charges for originating to public switched telephote federal access tariff	or termination of calls? and terminating calls, inclu ne network traffic). 0.00 % International %	\$ 0.00	NO - Continue to ne pinating or terminating VoIP-1 \$ 0.00	

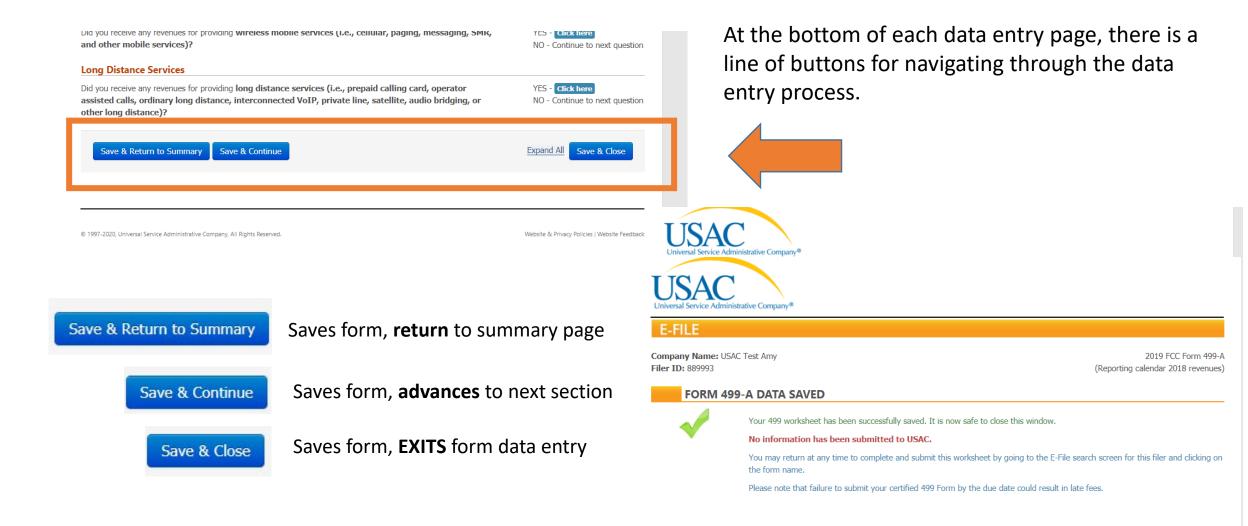
Did you receive any revenues for providing private line and business data service for resale - from carriers that contribute to USF (see above)?	YES - Click here NO - Continue to next question
Please report revenues from all private line and business data services. Break out those revenues as follows:	

After clicking the 'Expand All', every section is expanded and the corresponding line numbers that reference the paper form are displayed.

There's a lot of lines, so use the scroll bar on the right hand side of the screen to scroll through all of the data entry questions.

Revenue Data Entry

Data Entry Navigation

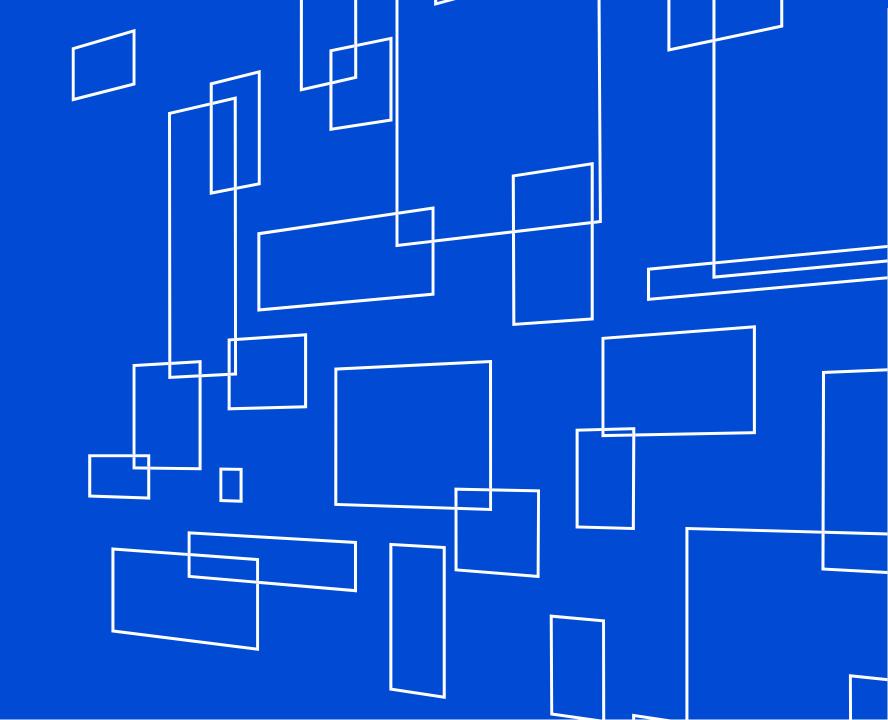


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Close

This section is for reporting revenues received from end-users, or carriers that did not contribute to the federal USF, for providing

Questions



Certify & Submit

Complete the 499-A Form

Certify & Submit

Review, Certify & Submit

Once the data entry is complete on the FCC Form 499-A, USAC does not consider it submitted until a Company Officer electronically signs (certify) and submits the form.

E-File preparers cannot submit the FCC Form 499-A, only a Company Officer can.

This quick video will demonstrate the steps to submit the form.

Video Reference

From <u>www.usac.org</u>,

select 'Service Providers'

- Videos
- Form 499-A
- 'Form 499-A Certification' video

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New Features in E-File

Recent E-File Changes

E-File Multi-Factor Authentication

USAC added a multi-factor authentication system in early December 2019.

This change added an extra layer of security required to log in to E-File.



Recent E-File Changes

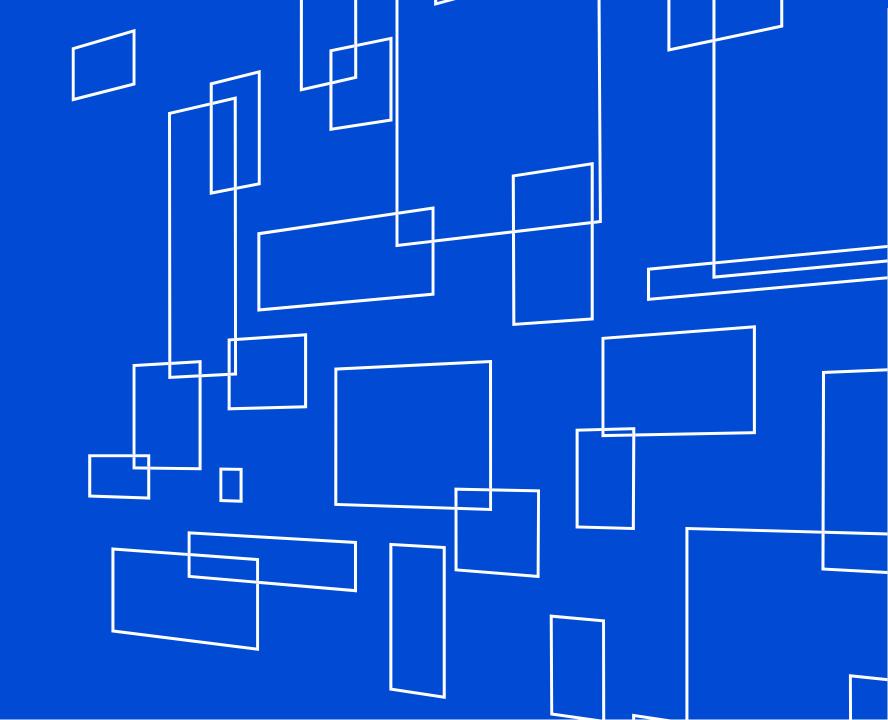
One Portal Dashboard

USAC added a 'landing' page showing the user all of the online systems they have access to in E-File. multi-factor authentication system in early December 2019.

Administrative Co.			Sign Out
Dashboard			LakexeevatNigH81.com 🗸
🗂 Upcoming Dates	Connect America		Help?
12/30 FY Forms Due	Lifeline	×	Send us a message
2013	Rural Health Care	~	Click here
	Schools and Libraries	~	Call us (888) 641-8722
	Service Providers	×	

To access the 499 Filer ID account system, select 'Service Providers' and then 'Manage 499 Activity'.

Questions



For More Assistance

Call Form 499-A Hotline Phone: (866) 411-8722
 Hours: Monday-Friday 9 AM to 5 PM ET, March 1- April 19
 Submit an email to Form499@usac.org

✓ Visit our <u>online learning library</u>

2020 FCC Form 499-A Webinar Series

VoIP Service Providers

• Wednesday, February 12, 2020 2:00-3:00 PM ET

Wireline Local & Long Distance Companies

• Thursday, February 13, 2020 2:00-3:00 PM ET

Mobile Service Providers

• Tuesday, February 18, 2020 2:00-3:00 PM ET

Providers of All Other Services

• Thursday, February 20, 2020

2:00-3:00 PM ET

