

# FCC FORM 499-A FILING USER GUIDE

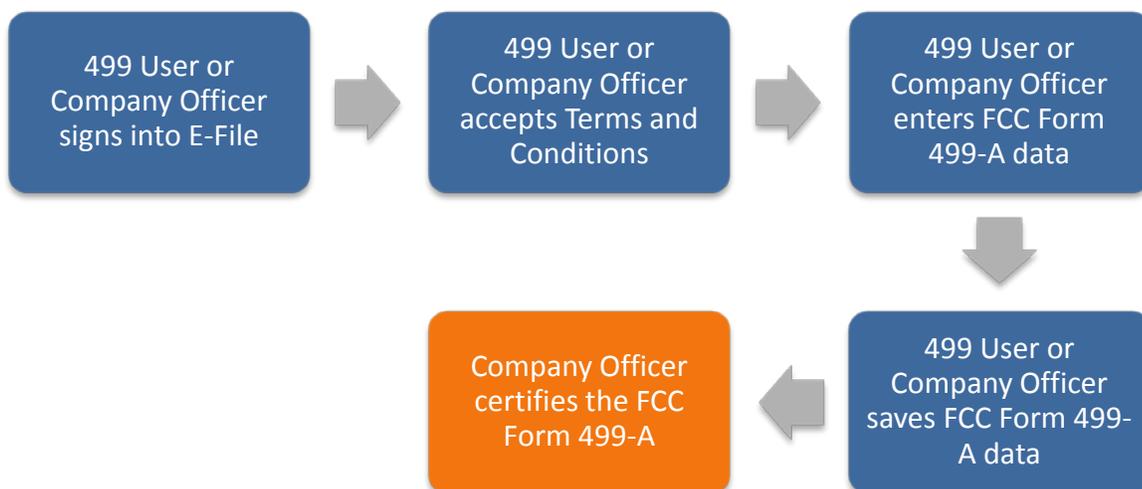
## OVERVIEW

- Process overview
- Signing into E-File
- Filing a single FCC Form 499-A
- Submitting the FCC Form 499-A for certification
- Monitoring the status of an FCC Form 499-A
- Certifying a single FCC Form 499-A

The Federal Communications Commission (FCC) requires telecommunications carriers and certain other providers of telecommunications (including Voice-over-Internet-Protocol (VoIP) service providers) to annually report on the Telecommunications Reporting Worksheet the revenues they receive from offering service.<sup>1</sup>

By filing this worksheet, filers may also satisfy their obligations under Section 413 of the Act to designate an agent in the District of Columbia for service of process<sup>2</sup> and their obligations to register with the FCC.<sup>3</sup>

## PROCESS OVERVIEW



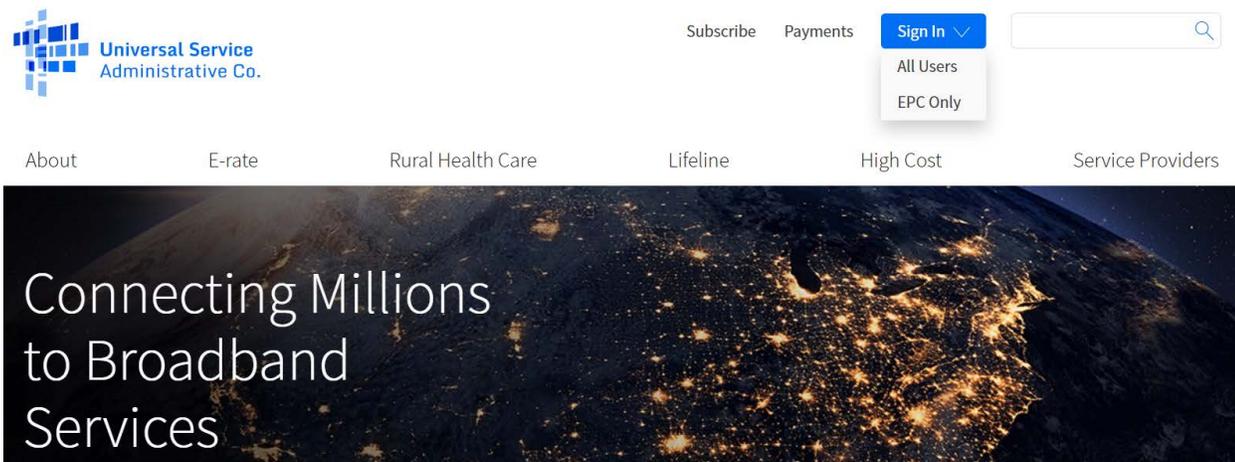
<sup>1</sup> See 47 C.F.R. Sections 52.17(b), 52.32(b), 54.708, 54.711, 64.604(c)(5)(iii)(A) and (B).

<sup>2</sup> 47 U.S.C. Section 413; see also 47 C.F.R. Section 1.47(h).

<sup>3</sup> 47 C.F.R. Section 64.1195.

## SIGNING INTO E-FILE

If you have used E-File in the past, or if you have received a USAC assigned user ID and password, go to <https://www.usac.org> and log in. Hover over the 'Sign In' box and select 'All Users'.



Contact your Company Officer if you do not have a USAC user ID. A new user will be asked to change their password the first time they log in to E-File. The user will receive a confirmation message on screen for a successful password change and should then return to the E-File homepage to log in.

Begin the sign in process by entering your user name and password. Review the terms and conditions and click the box to accept. Then click the 'Sign In' button to proceed.



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**Username**

**Password**

[Forgot password?](#)

You are accessing a portal to Universal Service Administrative Company (USAC) systems used to administer participation in the federal Universal Service programs in compliance with 47 C.F.R. Part 54. Access to the systems is provided solely to USAC-authorized users for USAC-authorized business purposes. By logging in, you represent that you are an authorized user. Use of this system indicates acceptance of the terms and conditions governing the USAC systems. USAC monitors user access and content for compliance with applicable laws and policies. Use of the system may be recorded, read, searched, copied and/or captured and is also subject to audit. Unauthorized use or misuse of this system is strictly prohibited and subject to disciplinary and/or legal action.

**Click the box to accept**

By signing in, I accept the terms and conditions of the USAC system.

Don't have an account? [Create an account](#)

In December 2019, USAC implemented a multi factor authentication process to the E-File system. The next screen begins this process. The email address is pre-populated with the user name that was entered on the previous screen. This email address cannot be changed on this screen. Click the 'Send Email' link to have an email containing your specific authentication code sent to the email address listed.

Universal Service Administrative Co.

### Email Authentication

USAC requires multifactor authentication to add an additional layer of security when signing in to your account.

Email Address

Enter Code

[Send Email](#)

[Sign out](#)

The email will be sent from Okta “noreply@okta.com”. Please add this email as a safe sender so the email does not get sent to your SPAM folder.

You will need access to this email so that you can get the authentication code included in the email message.

Once you receive the email, enter the authentication code from the email into the box on the screen and then click 'Verify'.

Upon successful entry, select 'Continue' to proceed to your E-File Dashboard.

### Make Your Selection

- Select **Continue** to go to your program's system.
- Select **Manage My Authentication Settings** to update either your email address or phone number to access your account.

[Manage My Authentication Settings](#) [Continue](#)

This system dashboard will show the user all of the USAC online systems they have authorization to access. In this example, every program is included as well as the link for Service Providers. Click on the down arrow next to each selection to see further log in options.

To access the system for FCC Form 499 filing, select the option 'Service Providers'.



Dashboard

lalaxeevaN@481.com

 Upcoming Dates

12/30 [FY Forms Due](#)  
2019

Connect America



Lifeline



Rural Health Care



Schools and Libraries



Service Providers



Help?

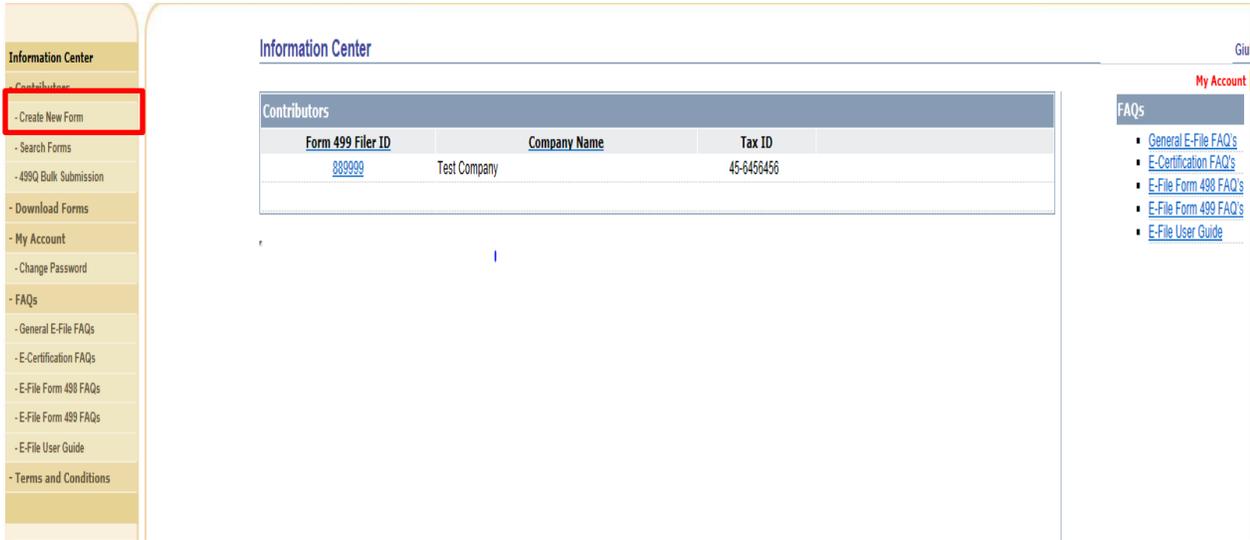
Send us a message  
[Click here](#)

Call us  
(888) 641-8722

## FILING A SINGLE FCC FORM 499-A

Carriers must submit an FCC Form 499-A for each Filer ID assigned to it on an annual basis. Carriers should follow the FCC Form 499-A instructions to identify and report data appropriately. Either a 499 User or a Company Officer may enter data on the FCC Form 499-A; however, only a Company Officer will be able to certify the FCC Form 499-A to complete the submission process.

To create a new form, select **Create New Form** from the **Information Center** menu on the left.



Using the dropdown menu, select the form that you wish to create (red box below), then enter the Filer ID (gold box below) and select **Submit** (blue box below).



The FCC Form 499-A online form will open in a new window. To ensure that the new window is able to open, be sure to allow pop-ups when logged into E-File.

The new FCC Form 499-A is completed by reporting and updating data in two sections: 1) Registration Information (pages 1-3 of FCC Form 499-A) and 2) Revenue Information (pages 4-8 of FCC Form 499-A). It is the responsibility of the filer to ensure that all information submitted in each section is accurate.

To update registration information, select the **Update Registration Information** button.

**Please Note:** the **Review & Submit My Filing to USAC** button is disabled (see gold box above). This button will only activate once all data validations have processed successfully.

The **Registration Information Summary** provides instructions for completing this section (red box below). A link to the FCC Form 499-A instructions is also available directly from this page.

You may review or update each section of the registration information by selecting a header section noted on the left-hand navigation (blue box below) or you may select the **Edit** button located to the right of a section header in the main window (gold box below). At the end of each section, you may continue to the next section or return to the Registration Information Summary page.

**E-FILE**

**REGISTRATION INFO**

- Contributor Identification
- Telecommunications Activities
- Holding Company
- Customer Inquiries
- Preparer Contact
- Future Filing Contact
- Billing Contact
- Agent for Service of Process
- FCC Registration
- Filer Jurisdiction
- Registration Summary

**DOCUMENTS**

- Form 499-A Instructions

**Company Name** 2014 FCC Form 499-A  
**Filer ID:** (Reporting calendar 2013 revenues)

**REGISTRATION INFORMATION SUMMARY**

**i** Below please find all of the registration sections of the FCC Form 499-A, including your company contact names and addresses, carrier type and activities, and jurisdiction. The E-File system has pre-populated this information from your prior submissions. As you review and edit your data, be sure that you understand the requirements as indicated in the 2014 [FCC Form 499-A Instructions](#).

[Click here](#) for more information about how navigate through this section.

To review and edit your registration information from start to finish, click the Contributor Information "Edit" button. At the end of the section, you will have the option to continue through the subsequent sections in order, or return to this page.

To review and edit registration information in a particular section, click the "Edit" button for that section. At the end of the section, you will have the option to continue through the subsequent sections in order, or return to this page.

Once you have successfully completed reviewing and editing your registration information, click the "Go to Main Page" button below to enter your revenue information or to review and submit your FCC Form 499-A to USAC.

|   |                      |
|---|----------------------|
| <b>Contributor Information</b>  | <a href="#">Edit</a> |
| <input checked="" type="checkbox"/> <b>Success!</b> This section is complete. |                      |
| <b>Telecommunication Activities</b>   | <a href="#">Edit</a> |
| <input checked="" type="checkbox"/> <b>Success!</b> This section is complete. |                      |
| <b>Holding Company</b>  | <a href="#">Edit</a> |
| <input checked="" type="checkbox"/> <b>Success!</b> This section is complete. |                      |

**Please Note:** To update information in any section, click one of the save buttons at the bottom of that section. If you leave any section before saving, any updates will be lost.

Each section will provide instruction as well as hints to assist you in entering the correct data for each field.

- **Helpful Hints:** To view or hide the **Helpful Hint**, click the blue **Helpful Hint** button (see red box below).
- **Question Mark** ( ? ): To view or hide additional information, click the question mark ( ? ) (see gold box below).

E-File will pre-populate registration data points based on the last certified FCC Form 499-A/Q filing received and approved by USAC (see blue box below). This information should be updated to reflect the most current information available within seven days of changes. See Instructions Section B, How to File.

**CONTRIBUTOR IDENTIFICATION INFORMATION**

In this section, please provide the following identification information.

**Hide Helpful Hint**

To update your company's Legal Name, IRS Employer Identification Number (EIN), or Name Filer is Doing Business as (DBA), please send an email to [form499@usac.org](mailto:form499@usac.org) and include your Filer ID and exact details of the updated information. For updates to Legal Name and/or EIN, please attach a copy of your W-9 in the email. USAC will notify you once the changes have been implemented.

**Line 102 of 499-A Form**

Legal Name: Test Company

IRS Employer Identification Number: 45-6456456

Name Filer is Doing Business as (DBA): Test Company

Management Company: Test Company

FCC Registration Numbers: +

Trade Names: Test Company, Test Company Two (x +)

When updating addresses, you will be asked to indicate whether the address to be entered is a foreign address using radial buttons (see red box below). For a foreign address, select the country from the drop down menu and utilize the three "Street Address" fields as needed for letters or numbers.

Do you want to enter foreign address?  Yes  No

To enter a foreign address use street address 1, street address 2 and street address 3 only. Examples:

Street Address 1: 10-123 Main St NW  
Street Address 2: #9  
Street Address 3: Montreal QC H3Z2Y7  
Country: Canada

Street Address 1: The Times of India, Door No 8-2-351  
Street Address 2: Times House Building  
Street Address 3: Road No 3, Banjara Hills, Hyderabad - 500034  
Country: India

Street Address 1:

City:

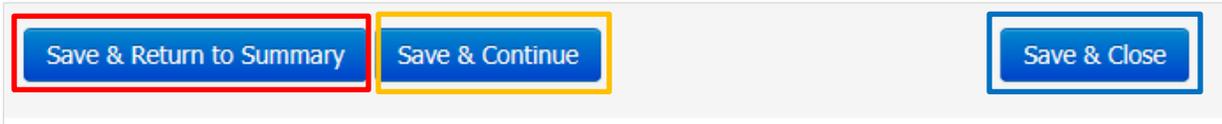
Street Address 2:

Postal code:

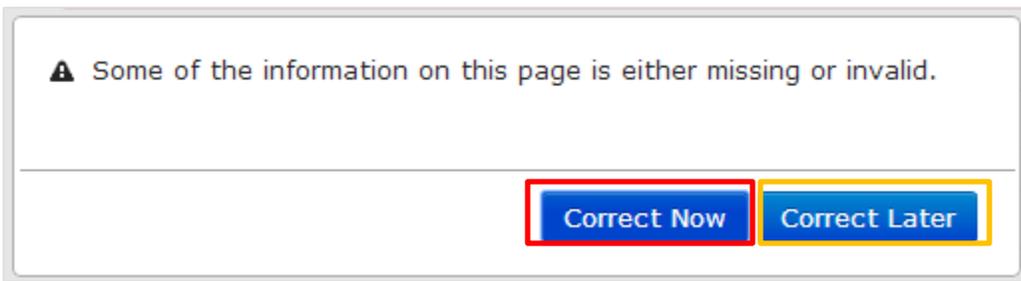
Street Address 3:

In each section, once the data has been updated/reviewed, you have several options for moving forward using the buttons at the bottom of each page.

- **Save & Return to Summary:** By selecting this button (see red box below), you will be saving all changes and returning to the Registration Information main page.
- **Save & Continue:** By selecting this button (see gold box below), you will be saving all changes and moving to the next section to continue reviewing and editing registration information. By clicking this button the E-File system is set up to walk a user step by step through the data reporting process.
- **Save & Close:** By selecting this button (see blue box below), you will be saving all changes and exiting the form. This button does NOT certify the form nor does it submit the changes to USAC.



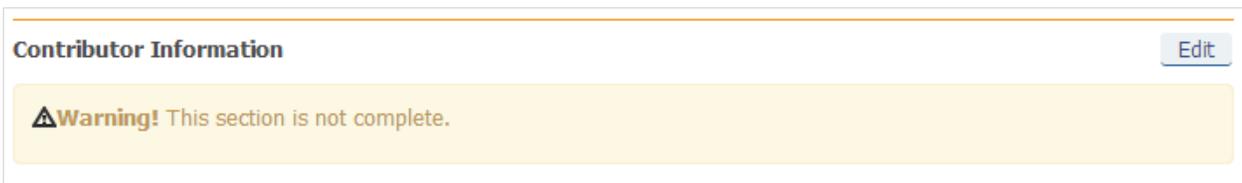
Each time a 'save' action is selected, E-File will validate all data entered on that page and will provide alert messages for data that does not pass automatic validations. An alert pop-up will appear advising you that there are errors and you will be given the option to **Correct Now** (see red box below) or **Correct Later** (see gold box below) to move forward without making any corrections.



If you select **Correct Now**, a description of the error will appear at the top of the page.

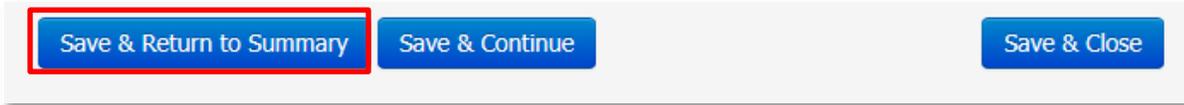


If you select **Correct Later**, a warning message will appear on the Information Summary page to remind you that action is required before the form may be certified and submitted to USAC.



If you do not have changes to make, or do not wish to save changes made to a section, you may use the left-hand navigation menu to directly move to another section within Registration Information without saving.

After reviewing/updating all registration sections, select the **Save & Return to Summary** button.

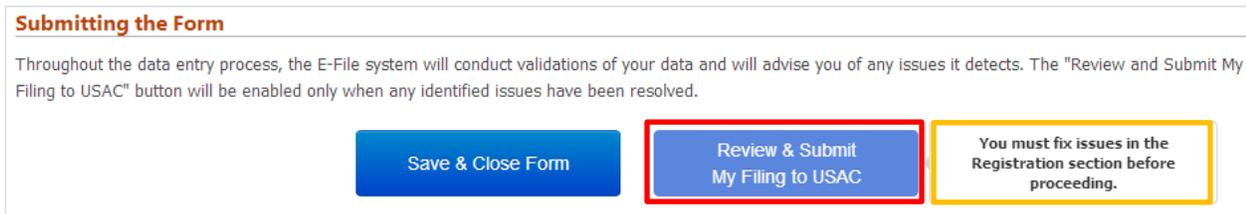


On the Registration Information Summary page, you may either select the **Go To Main Page** button (see red box below) to access the Revenue Information section or you may select the **Save & Close Form** button (gold box below) to save all data changes and exit the form.

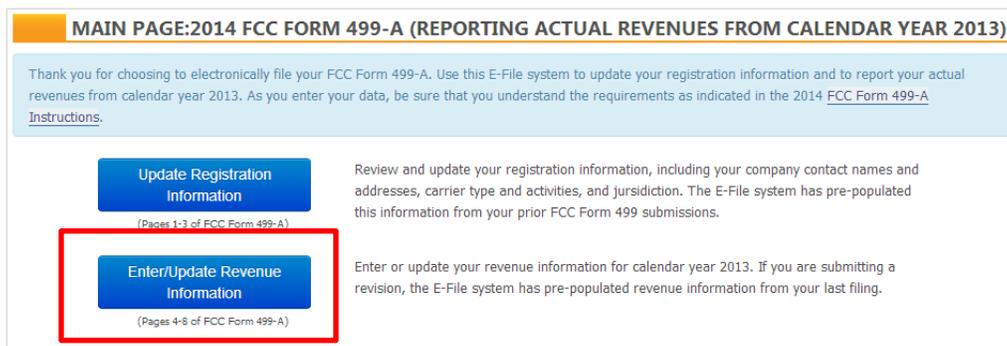
**Please Note:** Selecting the **Save & Close Form** button does NOT certify the form nor does it submit the changes to USAC.



On the main page, if an error in either Registration Information or Revenue Information has not been resolved, E-File will disable the **Review & Submit My Filing to USAC** button (see red box below). To determine whether the existing error is in the Registration Information or the Revenue Information section, hover your mouse over the **Review & Submit My Filing to USAC** button (see gold box below).



To update revenue information from the main page, select **Enter/Update Revenue Information** (see red box below).



The Revenue Information Summary provides instructions for completing this section (red box below). You may review each section of the revenue information by selecting the **Edit** button located to the right of a section header in the main window (gold box below). At the end of each section, you may continue to the next section or return to the Revenue Information Summary page.

The screenshot shows the 'E-FILE' header with 'Company Name: Test Company' and 'Filer ID: 889999' on the left, and '2014 FCC Form 499-A (Reporting calendar 2013 revenues)' on the right. Below this is the 'REVENUE INFORMATION SUMMARY' section, which is highlighted with a red border. This section contains instructions for reporting revenue, including a 'To start:' section and a 'Please note:' section. Below the instructions are three sections: 'Carrier's Carrier', 'End-User', and 'Non-Telecommunications', each with an 'Edit' button to its right. The 'End-User' button is highlighted with a gold border.

Each section will provide additional instruction (see red box below). For each revenue reporting category, you will be asked if your company received any revenues; if yes, select the **Click Here** button (see gold box below). If your company did not receive any revenues in the category, continue to the next question.

The screenshot shows the 'CARRIER'S CARRIER REVENUE' section, which is highlighted with a red border. It contains instructions for reporting revenue from telecommunications services sold to other carriers and revenue received from USAC or state sources for universal service support. Below the instructions are two questions: 'Fixed Local Services' and 'Per-minute charges for originating and terminating calls'. Each question has a 'YES - Click here' button and a 'NO - Continue to next question' button. The 'Click here' buttons are highlighted with a gold border.

By selecting **Click Here**, data fields will appear where revenue may be entered. Each category will provide additional instruction (see red box below) and may also provide a **Helpful Hint**. To view or hide the “**Helpful Hint**,” click the blue **Helpful Hint** button (see gold box below).

**Private Line & Special Access Services**

Did you receive any revenues for providing **private line and special access** service for resale - *from carriers that contribute to USE (see above)?* YES - [Click here](#) NO - Continue to next question

Please report revenues from all private line and special access services. Break out those revenues as follows:

**Hide Helpful Hint**

Local private line and special access service should include revenues from providing local services that involve dedicated circuits, private switching arrangements, digital subscriber lines, and/or predefined transmission paths. Also, report revenues from offering dedicated capacity between specified points even if the service is provided over local area switched, multi-protocol label switching (MPLS), asynchronous transfer mode (ATM), or frame relay networks.

|       |  |                   |              |                 |                     |                        |
|-------|--|-------------------|--------------|-----------------|---------------------|------------------------|
| 305.1 | Telecommunications - Provided to other contributors for resale as telecommunications   | \$ 120,016,643.00 | 82.81 %      | 17.08 %         | \$ 99,387,090.00    | \$ 20,495,935.00       |
|       | Total Revenues   |                   | Interstate % | International % | Interstate Revenues | International Revenues |
| 305.2 | Interconnected VoIP - Provided to other contributors for resale as interconnected VoIP | \$ 720,225.00     | 64.90 %      | 0.00 %          | \$ 467,426.00       | \$ 0.00                |
|       | Total Revenues   |                   | Interstate % | International % | Interstate Revenues | International Revenues |

Validations within E-File will check data as it is entered and will alert you with a pop-up when a potential error is detected. Mathematical errors will require resolution prior to the form being certified; however, some issues only require that you review the data entered and confirm that the data is correct and an explanation could be provided, if requested, to explain the possible discrepancy.

The alert pop-up will give you the option to address the issue by selecting **Correct Now** (see red box below) or **Correct Later** (see gold box below) to move forward without making any corrections.

**⚠** Some of the information on this page is either missing or invalid.

[Correct Now](#) [Correct Later](#)

If you select **Correct Now**, a description of the error will appear at the top of the section page where the error occurred.

**❗ Error!** The following information must be corrected before saving:

- Line 304.1 - Please note that Interstate Revenues plus International Revenues cannot be more than Total Revenues.

If you select **Correct Later**, a warning message will appear for this section (see red box below) on the Information Summary page to remind you that action is required in this section before the form may be certified and submitted to USAC.

**REVENUE INFORMATION SUMMARY**

Below please find all of the revenue reporting sections of the FCC Form 499-A. As you enter your data, be sure that you understand the requirements as indicated in the 2014 [FCC Form 499-A Instructions](#).

To start:

To enter your revenue information by going section by section through the entire form, click the Carrier's Carrier "EDIT" button. At the end of the section, you can continue to subsequent sections or return to this page.

To enter your revenue information in a particular section, click the "EDIT" button for that section. At the end of the section, you can continue to subsequent sections or return to this page.

Please note: If you do not have any revenue to report for a particular revenue section, you do not need to edit that section; zeroes will populate.

Once you have successfully completed entering your revenue information, click the "Go to Main Page" button below to review and submit your FCC Form 499-A to USAC.

**Carrier's Carrier** Edit

This section is for reporting revenues received from (1) carriers that contributed to the federal universal service fund (USF); OR (2) USAC or state sources for universal service support.

**USAC cannot accept the information as entered in this section. Please click the EDIT button for more information.**

**End-User** Edit

This section is for reporting revenues received from end-users, or carriers that did not contribute to the federal USF, for providing telecommunications or interconnected VoIP services.

In each section, once the data points for each revenue category have been updated/reviewed, you have several options for moving forward using the buttons at the bottom of the page.

- **Save & Return to Summary:** By selecting this button (see red box below), you will be saving all changes and returning to the Registration Information main page.
- **Save & Continue:** By selecting this button (see gold box below), you will be saving all changes and moving to the next section to continue reviewing and editing registration information. By clicking this button the E-File system is set up to walk a user through step by step through the data reporting process.
- **Save & Close:** By selecting this button (see blue box below), you will be saving all changes and exiting the form. This button does NOT certify the form nor does it submit the changes to USAC.

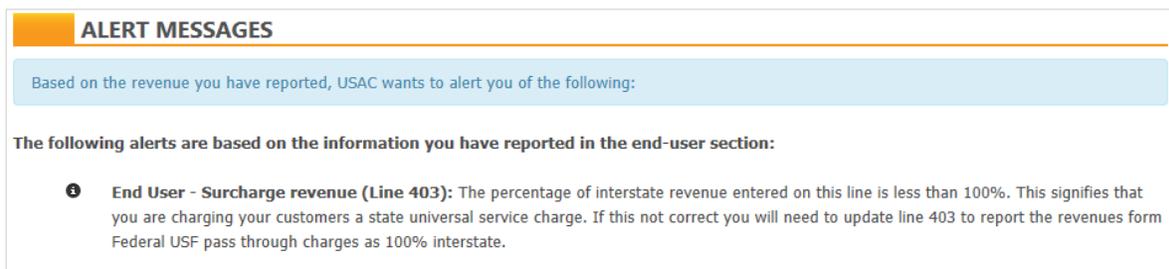
Save & Return to Summary Save & Continue Expand All Save & Close

**Please Note:** For each section, you may expand all revenue categories by selecting **Expand All** at the bottom of the page (see green circle above).

If you are revising data previously entered, be sure to check for system alerts at the bottom of the page.

1 Alert Messages [Click to review your alert messages](#)

The **Alert Messages** page will open in a new window and will assist you in identifying potential issues.



**ALERT MESSAGES**

Based on the revenue you have reported, USAC wants to alert you of the following:

The following alerts are based on the information you have reported in the end-user section:

- **End User - Surcharge revenue (Line 403):** The percentage of interstate revenue entered on this line is less than 100%. This signifies that you are charging your customers a state universal service charge. If this not correct you will need to update line 403 to report the revenues form Federal USF pass through charges as 100% interstate.

After reviewing/updating all sections, select the **Save & Return to Summary** button.

On the Revenue Information Summary page, you may either select the **Go To Main Page** button (see red box below) to access the Registration Information section or you may select the **Save & Close Form** button (gold box below) to save all data changes and exit the form.

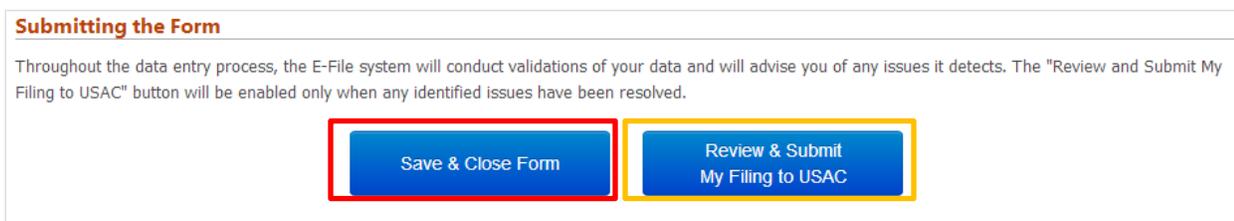
**Please Note:** Selecting the **Save & Close Form** button does NOT certify the form nor does it submit the changes to USAC.



After updating and reviewing both the Registration Information and Revenue Information, a 499 User may submit the FCC Form 499-A for review and certification by a Company Officer.

From the bottom of the main page, a 499 User may:

- 1) Close the form by selecting **Save & Close Form** button (see red box below) or
- 2) Review the form in a PDF format and submit the form to the Company Officer for review and certification by selecting the **Review & Submit My Filing to USAC** button (see gold button below) to.



**Submitting the Form**

Throughout the data entry process, the E-File system will conduct validations of your data and will advise you of any issues it detects. The "Review and Submit My Filing to USAC" button will be enabled only when any identified issues have been resolved.

Save & Close Form      Review & Submit My Filing to USAC

However, if an error in either the Registration Information or Revenue Information section has not been resolved, E-File will disable the **Review & Submit My Filing to USAC** button (see red box below). To determine whether the existing error is in the Registration Information or the Revenue Information section, hover your mouse over the **Review & Submit My Filing to USAC** button (see gold box below).

**Submitting the Form**

Throughout the data entry process, the E-File system will conduct validations of your data and will advise you of any issues it detects. The "Review and Submit My Filing to USAC" button will be enabled only when any identified issues have been resolved.

Save & Close Form

Review & Submit My Filing to USAC

You must fix issues in the Revenue section before proceeding.

## SUBMITTING THE FCC FORM 499-A FOR CERTIFICATION

After resolving all errors, select the **Review & Submit My Filing to USAC** button from the main page to access a PDF version of your filing. You may scroll through each page of the form using the scroll bar on the right (see red box below) or you may open the PDF into a new window by selecting **...click here...** from within the statement below the form window (see gold box below).

**PREVIEW**

Approval by OMB  
3060-0855

**2014 FCC Form 499-A Telecommunications Reporting Worksheet (Reporting 2013 Revenues)**  
>>> Please read instructions before completing. <<<<  
 Annual Filing - due April 1, 2014

**Block 1: Contributor Identification Information** During the year, filers must refile Blocks 1, 2 and 6 if there are any changes in Lines 104 or 112. See Instructions.

101 Filer 499 ID [If you don't know your number, contact the administrator at (888) 641-6722.] 880000  
If you are a new filer, write "NEW" in this block and a Filer 499 ID will be assigned to you.

102 Legal name of filer Test Company

103 IRS employer identification number Enter 9 digit number: 45-0456456

104 Name filer is doing business as Test Company

105 Telecommunications activities of filer [Select up to 3 boxes that best describe the reporting entity. Enter numbers starting with "1" to show the order of importance -- see instructions.]

|   |  |  |
|---|--|--|
| <input type="checkbox"/> Audio Bridging (teleconferencing) Provider | <input type="checkbox"/> CAP/LEC                                       | <input checked="" type="checkbox"/> Cellular/PCS/SMR (wireless telephony inc. by resale) |
| <input type="checkbox"/> Coastal Cable                              | <input type="checkbox"/> Interconnected VoIP                           | <input type="checkbox"/> Interexchange Carrier (IXC)                                     |
| <input type="checkbox"/> Non-Interconnected VoIP                    | <input type="checkbox"/> Paging & Messaging                            | <input type="checkbox"/> Local Reseller  |
| <input type="checkbox"/> Private Service Provider                   | <input type="checkbox"/> Satellite Service Provider                    | <input type="checkbox"/> Payphone Service Provider                                       |
| <input type="checkbox"/> Toll Reseller                              | <input type="checkbox"/> Shared-Tenant Service Provider / Building LEC | <input type="checkbox"/> Prepaid Card  |
| <input type="checkbox"/> Wireless Data                              | <input type="checkbox"/> Other Local                                   | <input type="checkbox"/> SMR (dispatch)  |
|   | <input type="checkbox"/> Other Mobile                                  | <input type="checkbox"/> Other Toll  |

If Other Local, Other Mobile or Other Toll is checked, describe carrier type / services provided: →

106.1 Affiliated Filers Name/Holding company name (All affiliated companies must show the same name on this line.) Check if filer has no affiliates:   
 TEST COMPANY HOLDING COMPANY

106.2 Affiliated Filers Name/Holding company IRS employer identification number [Enter 9 digit number:] 12-1234567

107 FCC Registration Number (FRN) [ <https://fslinfo.fcc.gov/coreWeb/publicHome.do> ] [Enter 9 digit number:] 12-1234567  
[For assistance, contact the CORES help desk at 877-480-3201 or CORES@fcc.gov]

108 Management company [if filer is managed by another entity]

109 Complete mailing address of reporting entity corporate headquarters

|          |                 |       |    |                   |       |                    |               |
|----------|-----------------|-------|----|-------------------|-------|--------------------|---------------|
| Street 1 | 2000 ABC Street | State | DC | Zip (postal code) | 20036 | Country if not USA | United States |
| Street 2 | Suite 200       |       |    |                   |       |                    |               |
| City     | ANYTOWN         |       |    |                   |       |                    |               |

110 Complete business address for customer inquiries and complaints

|          |                 |       |    |                   |       |                    |               |
|----------|-----------------|-------|----|-------------------|-------|--------------------|---------------|
| Street 1 | 2000 ABC Street | State | DC | Zip (postal code) | 20036 | Country if not USA | United States |
| Street 2 | Suite 200       |       |    |                   |       |                    |               |
| City     | ANYTOWN         |       |    |                   |       |                    |               |

check if same address as Line 109

111 Telephone number for customer complaints and inquiries [Toll-free number if available] ( 888 ) - 555-2222 ext -

112 List all trade names used in the past 3 years in providing telecommunications. Include all names by which you are known by customers.

|   |                  |   |
|---|------------------|---|
| a | Test Company     | g |
| b | Test Company Two | h |
| c |                  | i |
| d |                  | j |
| e |                  | k |
| f |                  | l |

Use additional sheets if necessary. Each filer must provide all names used for telecommunications activities.

PERSONS MAKING WILLFUL FALSE STATEMENTS IN THE WORKSHEET CAN BE PUNISHED BY FINE OR IMPRISONMENT UNDER TITLE 18 OF THE UNITED STATES CODE, 18 U.S.C. § 1001

Save time, avoid problems -- file electronically at <http://forms.universalservice.org> FCC Form 499-A / December 2013

11.00 x 8.50 in

If the PDF does not display above, click [here](#) to have the PDF open in a separate window.



If you need to correct any data, you may select the **Edit Form** button (see blue box above) to return to the main page to access the section that requires updating.

If you are satisfied that all data has been captured correctly, select the **Continue** button (see green box above) to move forward.

Instructions will be provided on the **Confirm Revenue Information** page (see red box below) advising you to affirm that data presented is correct by clicking in the box (see gold boxes below) associated with each revenue category.

**CONFIRM REVENUE INFORMATION**

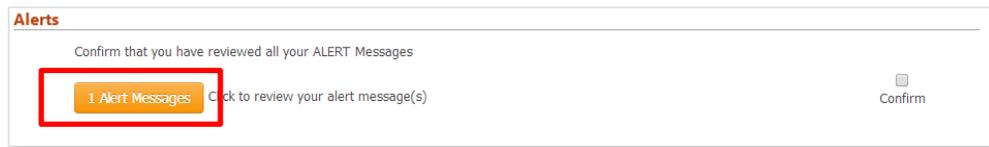
The following is a summary of calendar year 2013 revenue information that you have entered for your 2014 FCC Form 499-A.

Confirm that all of following information is accurate by checking each "Confirm" box below. If any information is not accurate, or if you want to double check your data entry, select the "Edit Form" button at the bottom of the page to revise the information. You will be directed back to this page after you have made your revisions.

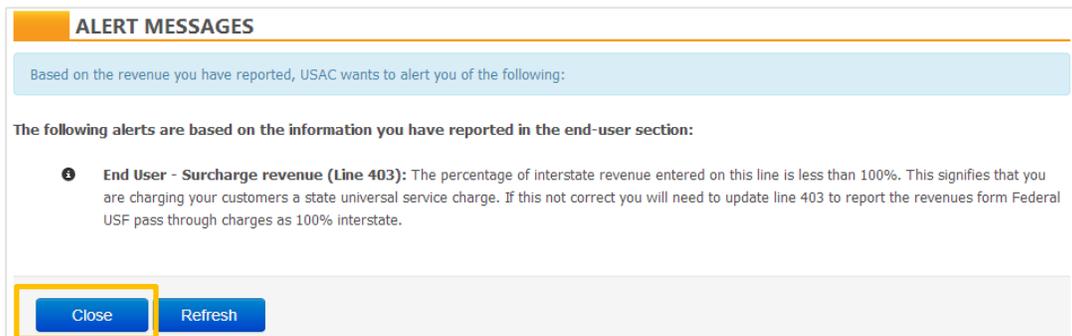
Once you have confirmed that all the information is accurate, select the "Submit" button at the bottom of the page to submit your information to USAC and to have this information ready for your Company Officer to certify. Note: Your Company Officer still must certify your submitted form.

| <b>Total Revenue</b>   |   |                                     |
|--|---|-------------------------------------|
| Total gross billed revenues from all sources                             | <b>3,551,411,985.00</b><br><small>Line 419a</small>                       | <input type="checkbox"/><br>Confirm |
| Total bad debt from all sources  | <b>7,102,824.00</b><br><small>Line 421a</small>                           |                                     |
| Total net revenue from all sources                                       | <b>3,544,309,161.00</b><br><small>Line 419a - Line 421a</small>           |                                     |
| <b>Carrier's Carrier (Reseller) Revenue</b>                              |   |                                     |
| Total gross billed revenue from resellers                                | <b>552,035,342.00</b><br><small>Line 315a</small>                         | <input type="checkbox"/><br>Confirm |
| <b>End-User Revenue</b>  |   |                                     |
| Total gross billed revenue from end-users                                | <b>1,368,602,751.00</b><br><small>Line 420a</small>                       | <input type="checkbox"/><br>Confirm |
| Total gross billed local/intrastate long distance revenue from end-users | <b>195,609,249.00</b><br><small>Line 420a – Line 420d – Line 420e</small> |                                     |
| Total gross billed interstate long distance revenue from end-users       | <b>1,140,930,038.00</b><br><small>Line 420d</small>                       |                                     |
| Total gross billed international long distance revenue from end-users    | <b>32,063,464.00</b><br><small>Line 420e</small>                          |                                     |

If an alert message is displayed, you will need to review the message by selecting the **Alert Message** button (see red box below).



If, after reviewing the alert message (see screen shot below), you understand and can explain how the revenue reported was determined and are able to confirm it to be correct, you may **Close** the message (see gold box below).



Then you must affirm the data is correct by selecting the **Confirm** box on the right in the alert message box.



After reviewing and confirming that each revenue category is accurate to the best of your knowledge, you may review the **Contribution Base** for each support mechanism (USF, LNP, NANPA and TRS) based on the reported revenue.

The image shows a screen titled "Contribution Base". Below the title, it says "Based on the information you have provided, the E-File system has calculated the following contribution bases. USAC will provide this information to the FCC as well as the fund administrators for TRS, LNP, and NANPA, who will use it to determine your contribution obligations." Below this text is a table with three rows of data. At the bottom of the screen, there are two buttons: "Edit Form" (highlighted with a red box) and "Submit" (highlighted with a gold box).

|   |  |  |
|---|--|--|
| Universal Service contribution base revenue | <b>1,138,648,178.00</b><br>Interstate 423d | <b>31,999,337.00</b><br>International 423e                   |
| LNP and NANPA contribution base revenue     |  | <b>1,372,219,015.00</b><br>Total (420a + 412a - 511a)        |
| TRS contribution base revenue               |  | <b>1,202,489,980.00</b><br>Interstate + International (514b) |

Selecting the **Edit Form** button (see red box above) will return you to the main page to access the Registration Information and Revenue Information sections to make any necessary corrections.

Selecting the **Submit** button (see gold box above) will send a notification to the Company Officer that the form is ready for review and certification.

**Please Note:** Until the FCC Form 499 information is certified by a Company Officer, the FCC Form 499 revenue submission process is not complete. If a filer is more than 30 days delinquent in completing its FCC Form 499-A filing, USAC will assess a monthly remedial sanction.

Once the **Submit** button has been selected, you will receive the following notice:

### FORM 499-A - FILING INCOMPLETE - OFFICER CERTIFICATION NEEDED



Thank you for submitting your 499 worksheet to the Form 499 Data Collection Agent (DCA).  
**Your filing is not complete because your Form 499 has not been certified by a company officer.**  
Please have your company officer complete the following steps to certify:

1. Log in to the E-File System
2. Click the "Pending Certifications" tab on the left-hand side of screen
3. Select "Form 499-A"
4. Click the Filer ID number, which will take you to the Form 499-A for review
5. Accept Terms and Conditions
6. Click the "Continue"
7. Confirm Revenue Information
8. Click the "Certify" button

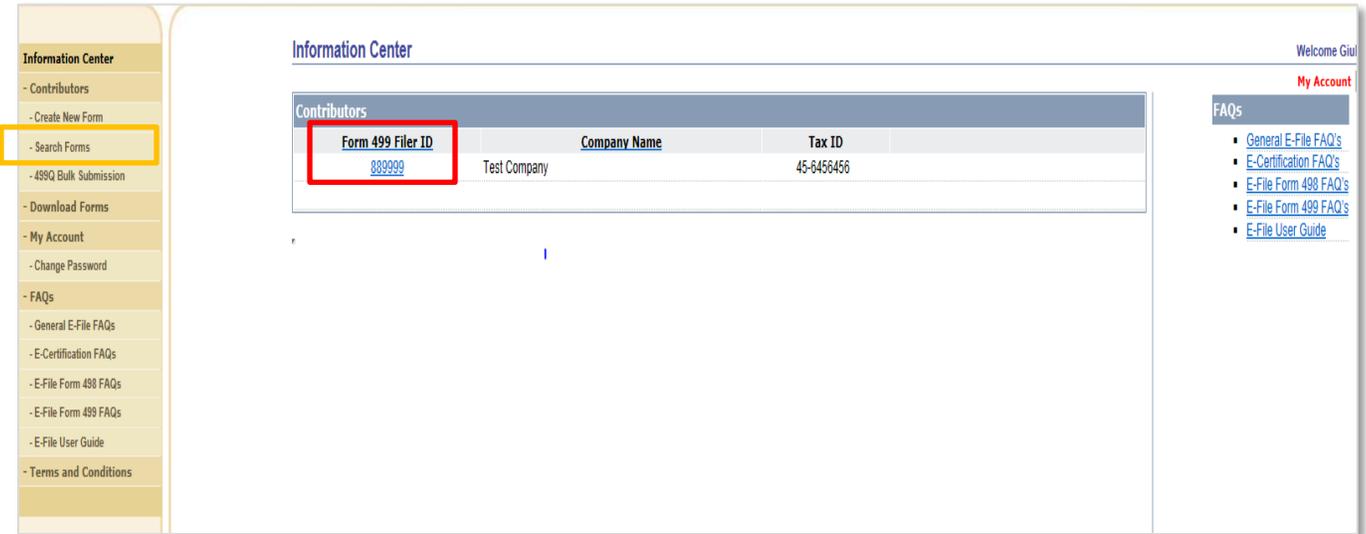
**Please note:** Failure to certify a form by a company officer could result in late fees.  
Click [here](#) to view and print your Form 499-A if you wish to file a hardcopy rather than certify online.

Close

## MONITORING THE STATUS OF AN FCC FORM 499-A

At any point of data entry, submission for certification to a Company Officer or submission to USAC, the status of an FCC Form 499-A may be determined by reviewing the **Contributor Search** screen for each Filer ID.

499 Users and Company Officers will access USAC's E-File portal using the same steps identified above. From the **Information Center**, you may select a Filer ID from the list (see red box below) or you may search for a Filer ID by selecting **Search Forms** from the left-hand navigation (see gold box below).



The **Search Forms** page provides a status for all filings submitted to USAC or saved within E-File. Filings will be presented in order of the date the certified form was submitted to USAC or saved within E-File (see red box below). The status of the form is also displayed (see gold box below); please see the table below for a description of each status.

Based on the status and the filing window, a form may or may not be revised. A form that is eligible for revision will have an activated **Revise** button (see blue box below).

By selecting **Revise**, you are opening a copy of the form for updating or revising the data that was previously submitted. Once updates have been saved, the revised form will require certification before the changes are submitted to USAC.

| Form  | Status                     | Filing Period | Revise | Certify |
|---|----------------------------|---------------|--------|---------|
| <a href="#">499Q - November 2015 Filing - Rev 1</a> | DCA Approved               | Closed        | Revise | Certify |
| <a href="#">499Q - August 2015 Filing - Rev 1</a>   | Pending Officer Approval   | Closed        | Revise | Certify |
| <a href="#">499Q - August 2015 Filing</a>           | Estimated - Incomplete     | Closed        | Revise | Certify |
| <a href="#">499Q - May 2015 Filing - Rev 3</a>      | Not Approved-Out Of Period | Closed        | Revise | Certify |

Here are the terms you can find in the **Status** column for each Filer ID's individual forms:

| FCC Form 499 Status  | Description  |
|--|--|
| Incomplete   | This form is incomplete. The 499 User/Company Officer has saved information in USAC's E-File system but neither the E-File system nor the Company Officer have certified the information.  |
| Pending Officer Approval   | This form has been verified by the 499 User and the E-File system and is awaiting final Company Officer certification of the data.   |
| Estimated - Incomplete   | USAC has created an estimated FCC Form 499 for the purposes of forecasting contribution obligations. This status is often seen with FCC Form 499-Q filings for <i>de minimis</i> companies that are not required to file the FCC Form 499-Q while their revenue projections indicate that they will remain <i>de minimis</i> in the reporting filing period.   |
| <p><b>Each of the statuses above indicate that the filing process may not be complete. Until the FCC Form 499 information is certified by a Company Officer, you have not filed your form. If a form is more than 30 days delinquent, USAC will assess a monthly late filing sanction.</b></p> |  |
| Certified  | This form is complete and the information has been certified by a Company Officer. No further action is required at this time.   |
| DCA Approved   | A certified form has been accepted by USAC; USAC has reviewed the data and determined that no data points require clarification. No further action is required.  |
| Issue-Carrier Response Required  | A certified form has been received by USAC; USAC has reviewed the data and has contacted the filer for further clarification about its form. If the company does not respond, USAC will treat this filing as an estimate and reverse any credits issued to the company through the A/Q true up process. Additionally, no credit balances will be refunded to the filer as long as any form shows the Issue-Carrier Response Required status. |
| Not Approved-Out of Period   | The form cannot be approved because it was received after the FCC-designated deadline, which is March 31 of the year after the form was due (for a downward revision) for the FCC Form 499-A and 45 days after the form was due for the FCC Form 499-Q. If the form was submitted in order to stop late fees, the late fees will stop as of the date the form was received. No other action is needed by the filer to stop late fees.        |
| Cancelled  | This form will be ignored by the billing system. Only USAC can cancel a form.  |
| Pending Review   | This form must be reviewed to determine whether it can be approved. This status is most often seen on late-filed FCC Forms 499-A. Upward revisions to the FCC Form 499-A can be filed at any time, while downward revisions have a one-year deadline.  |

## CERTIFYING A SINGLE FCC FORM 499-A

Either a 499 User or a Company Officer may data enter the FCC Form 499-A; however, only a Company Officer will be able to certify the FCC Form 499-A to complete the submission process.

Company Officers will access the FCC Form 499-A through USAC's E-File portal using the same steps identified above. From the E-File **Information Center**, you may choose one of two methods from the left-hand navigation menu to certify forms for submission to USAC:

- **Option 1:** Search for a single or multiple forms from the **Pending Certifications** screen (see red box below) or
- **Option 2:** Search for multiple forms from the **Contributors** search screen (see gold box below).

| Option 1                  | Option 2                  |
|---------------------------|---------------------------|
| <b>Information Center</b> | <b>Information Center</b> |
| + Contributors            | - Contributors            |
| + Service Providers       | - Create New Form         |
| + Schools and Libraries   | - Search Forms            |
| + Rural Health Care       | - 499Q Bulk Submission    |
| + High Cost & Low Income  | + Service Providers       |
| - Pending Certifications  | + Schools and Libraries   |
| - Form 499Q               | + Rural Health Care       |
| - Form 499A               | + High Cost & Low Income  |
| - Form 498                | + Pending Certifications  |
| - Download Forms          | - Download Forms          |
| + Authorized Users        | + Authorized Users        |
| + My Account              | + My Account              |
| + FAQs                    | + FAQs                    |
| - Terms and Conditions    | - Terms and Conditions    |

## OPTION 1:

By selecting **Form 499A** from the **Pending Certifications** menu, you will land on the **Pending Certifications** page where all pending FCC Form 499-A filings that you have permissions to certify will appear.

**Pending Certifications: 499-A** User: Mik | My Account

To certify one or more forms, you may either select the forms individually by clicking on the Filer ID link under the column entitled Form 499 (allowing you to view the entire form) or you may certify through this page. Forms are shown in separate sections based on the certification language associated with a particular form. You may have to scroll down the page to view all forms available for certification.

To certify one or more forms through this page, select the form(s) you choose to certify by checking the box to the left of the Form 499 column. You may select all forms in the section by clicking the box below the check mark heading of the table. Upon selecting one or more forms to certify in one section, the other sections will be removed from the screen. As described below, you will be able to return to the Pending Certifications page at a later time to certify the remaining pending forms. Once the form(s) you choose to certify are selected, you must review the certification language and the terms and conditions below and click the box to the left of the terms and conditions then may click the certify button at the bottom of the page to certify the selected forms. After certifying a section, a screen will appear listing the forms that were successfully certified. This screen will allow you to return to the Information Center page or the Pending Certifications page where you may access the remaining pending form(s).

| <input checked="" type="checkbox"/> | Form 499            | Company Name            | Filing                  | Last Updated By                           | Status                   |
|-------------------------------------|---------------------|-------------------------|-------------------------|---|--------------------------|
| <input type="checkbox"/>            |                     | Test Company            | 2014                    | jpreparer@testcompany.com<br>(07/14/2014) | Pending Officer Approval |
|                                     | Line 412a<br>\$0.00 | Line 419a<br>\$3,500.00 | Line 420a<br>\$3,500.00 | Line 423a<br>\$3,500.00                   | Line 423d<br>\$350.00    |
|                                     | Line 423e<br>\$0.00 | Line 511a<br>\$0.00     |                         |   |                          |

**CERTIFICATION LANGUAGE**  
The following is the certification language from the FCC Form(s) 499-A selected above. By certifying your form(s) electronically, you are making this certification on each form that is selected to be certified.

**TERMS AND CONDITIONS**  
 By logging into your account and clicking the "certify" button at the bottom of this page, you have electronically signed the selected form(s). You are reminded that an electronic signature is the same as a handwritten signature on the form(s). Also, by using an electronic signature, you are affirming that you have the authority to make these certifications and represent the entity featured in the selected form(s).  
To see a copy of the Terms and Conditions to which you previously agreed, please click here: [Terms and Conditions](#)  
If you click the box above, you are affirming your compliance and may file the form(s) electronically.  
If you do not click the box above, you must file the form(s) in hardcopy.

Instructions are provided on this page for processing certifications (see red box above). If more than one filing appears, you may select which filings to certify by checking the box to the left of the Filer ID; to select all filings, check the box in the head bar (see gold box above).

Prior to checking any boxes, ensure that you have reviewed the data that is presented to ensure that the data captured is accurate to the best of your knowledge.

To certify the FCC Form 499-A that you have selected, review the terms and conditions (see blue box above) and check the box to confirm that you agree (see green box above).

Once a filing has been reviewed and selected, and the terms and conditions have been accepted, the **Certify** button will activate. By clicking the **Certify** button, you are submitting the FCC Form 499-A to USAC. Upon successful submission, a confirmation message will appear.

To return to the E-File Information Center, select the first **Click here** (see red box below).

If there are additional forms in Pending Officer Approval State, there would be the option to return to the **Pending Certifications** page as well.

**FORM 499A Confirmation Message**

Thank you for submitting your 499 worksheet to the Form 499 Data Collection Agent (DCA)!

You have successfully completed the certification process on 7/14/2014, and have certified the following 1 forms:

| Form 499 | Company Name | Filing | 412a   | 419a       | 420a       | 423a       | 423d     | 423e   | 511a   | Confirmation Number | Operation Status |
|----------|--------------|--------|--------|------------|------------|------------|----------|--------|--------|---------------------|------------------|
| ----     | Test Company | 2014   | \$0.00 | \$3,500.00 | \$3,500.00 | \$3,500.00 | \$350.00 | \$0.00 | \$0.00 | 119238              | SUCCESS          |

To print this page for your records, set the print options in your browser to landscape format.

[Click here](#) to return to the Information Center page.

**OPTION 2:**

From the **Search Forms** page, you will be able to access single forms that are in the **Pending Officer Approval** status by selecting the active **Certify** button (see red box below) to the far right of the filing that is to be reviewed and certified.

| Form   | Status                   | Filing Period | Buttons  |
|--|--------------------------|---------------|--|
| <a href="#">4990 - May 2014 Filing - Rev 2</a>   | DCA Approved             | Open          | <a href="#">Revise</a> <a href="#">Certify</a> |
| <a href="#">499A - April 2014 Filing - Rev 2</a> | Pending Officer Approval | Open          | <a href="#">Revise</a> <a href="#">Certify</a> |

The Company Officer must review and agree to the terms and conditions (see red box below). Accepting (see gold box below) the terms and conditions allows the Company Officer to access the FCC Form 499-A review and certification process. Rejecting (see blue box below) the terms and conditions will return the Company Officer to the **Search Forms** page.

**Terms and Conditions** User:

If you are signing a form using an electronic signature, you are reminded that an electronic signature is equivalent to your handwritten signature on the form. Your use of the electronic signature to affirm these certifications means that should they prove untrue, you will be held to the same enforcement standards as those who affirm the certifications in writing. Also, by using the electronic signature, you are affirming that you have the authority to make these certifications and represent the entity featured in this form. By logging into your account using your User ID and password and clicking the "certify" button at the end of the form, you have submitted your electronic signature to the form.

To see a copy of the Terms and Conditions that govern your use of the system, please click here [Terms and Conditions](#)

Click "Accept" to affirm your compliance with the above and continue to the form.

Click "Reject" to return to the form for review or editing, or to print, sign, and mail a hardcopy certification. Unless you click "Certify" and accept these terms and conditions, you may not electronically certify the form.

[Accept](#) [Reject](#)

Following the same procedures as a 499 User that is reviewing a form for submission for certification by a Company Officer, from the form **Preview** page, you may scroll through each page of the form using the scroll bar on the right (see red box below) or you may open the PDF into a new window by selecting **...click here...** from within the statement below the form window.

PREVIEW

**2014 FCC Form 499-A Telecommunications Reporting Worksheet (Reporting 2013 Revenues)** Approval by OMB  
3060-0865

>>> Please read instructions before completing <<<  
Annual Filing -- due April 1, 2014

**Block 1: Contributor Identification Information** During the year, filers must refile Blocks 1, 2 and 6 if there are any changes in Lines 104 or 112. See Instructions.

101 Filer 499 ID [If you don't know your number, contact the administrator at (888) 641-8722. If you are a new filer, write "NEW" in this block and a Filer 499 ID will be assigned to you.] 880000

102 Legal name of filer Test Company

103 IRS employer identification number [Enter 9 digit number] 45-0456450

104 Name filer is doing business as Test Company

105 Telecommunications activities of filer [Select up to 3 boxes that best describe the reporting entity. Enter numbers starting with "1" to show the order of importance -- see instructions.]

|   |   |   |
|---|---|---|
| <input type="checkbox"/> Audio Bridging (teleconferencing) Provider | <input type="checkbox"/> CAP/CLEC                   | <input type="checkbox"/> Cellular/PCS/SMR (wireless telephony inc. by resale) |
| <input type="checkbox"/> Conduit Cable                              | <input type="checkbox"/> Incumbent LEC              | <input type="checkbox"/> Interconnected VoIP                                  |
| <input type="checkbox"/> Non-Interconnected VoIP                    | <input type="checkbox"/> Operator Service Provider  | <input type="checkbox"/> Interexchange Carrier (IXC)                          |
| <input type="checkbox"/> Private Service Provider                   | <input type="checkbox"/> Satellite Service Provider | <input type="checkbox"/> Payphone Service Provider                            |
| <input type="checkbox"/> Toll Reseller                              | <input type="checkbox"/> Wireless Data              | <input type="checkbox"/> Shared-Tenant Service Provider / Building LEC        |
|   | <input type="checkbox"/> Other Local                | <input type="checkbox"/> Other Mobile   |
|   |   | <input type="checkbox"/> Other Toll   |

If Other Local, Other Mobile or Other Toll is checked, describe carrier type / services provided: →

106.1 Affiliated Filers Name/Holding company name (All affiliated companies must show the same name on this line.) Check if filer has no affiliates   
TEST COMPANY HOLDING COMPANY

106.2 Affiliated Filers Name/Holding company IRS employer identification number [Enter 9 digit number] 12-1234567  
[For assistance, contact the CORES help desk at 877-480-3201 or CORES@fcc.gov] [Enter 10 digit number] 0000412345

108 Management company [if filer is managed by another entity]

109 Complete mailing address of reporting entity corporate headquarters

|                    |                 |
|--------------------|-----------------|
| Street 1           | 2000 ABC Street |
| Street 2           | Suite 200       |
| Street 3           |                 |
| City               | ANYTOWN         |
| State              | DC              |
| Zip (postal code)  | 20036           |
| Country if not USA | United States   |

110 Complete business address for customer inquiries and complaints

check if same address as Line 109

|                    |                 |
|--------------------|-----------------|
| Street 1           | 2000 ABC Street |
| Street 2           | Suite 200       |
| Street 3           |                 |
| City               | ANYTOWN         |
| State              | DC              |
| Zip (postal code)  | 20036           |
| Country if not USA | United States   |

111 Telephone number for customer complaints and inquiries [Toll-free number if available] ( 866 ) - 555-2222 ext -

112 List all trade names used in the past 3 years in providing telecommunications. Include all names by which you are known by customers.

|   |                  |   |
|---|------------------|---|
| a | Test Company     | g |
| b | Test Company Two | h |
| c |                  | i |
| d |                  | j |
| e |                  | k |
| f |                  | l |

Use additional sheets if necessary. Each filer must provide all names used for telecommunications activities.

PERSONS MAKING WILLFUL FALSE STATEMENTS IN THE WORKSHEET CAN BE PUNISHED BY FINE OR IMPRISONMENT UNDER TITLE 18 OF THE UNITED STATES CODE, 18 U.S.C. § 1001

Save time, avoid problems -- file electronically at <http://forms.universalservices.org> FCC Form 499-A / December 2013

If the PDF does not display above, click [here](#) to have the PDF open in a separate window.

Edit Form

Continue

If you need to correct any data, you may select the **Edit Form** button (see blue box above) to return to the main page to access the section that requires updating.

If you are satisfied that all data has been captured correctly, select the **Continue** button (see green box above) to move forward.

FCC Form 499-A User Guide | April 2020

24

Instructions will be provided on the **Confirm Revenue Information** page (see red box below) advising you to affirm that data presented is correct by clicking in the box (see gold box below) associated with each revenue category.

**CONFIRM REVENUE INFORMATION**

The following is a summary of calendar year 2013 revenue information that you have entered for your 2014 FCC Form 499-A.

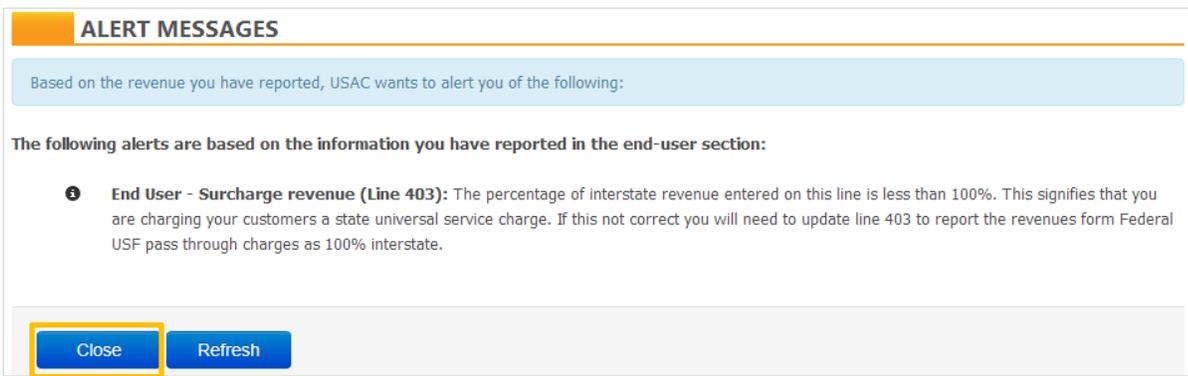
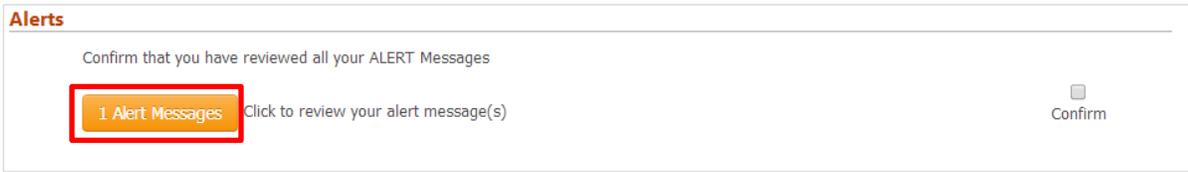
Confirm that all of following information is accurate by checking each "Confirm" box below. If any information is not accurate, or if you want to double check your data entry, select the "Edit Form" button at the bottom of the page to revise the information. You will be directed back to this page after you have made your revisions.

Once you have confirmed that all the information is accurate, select the "Submit" button at the bottom of the page to submit your information to USAC and to have this information ready for your Company Officer to certify. Note: Your Company Officer still must certify your submitted form.

| <b>Total Revenue</b>   |   |                                     |
|--|---|-------------------------------------|
| Total gross billed revenues from all sources                             | <b>3,551,411,985.00</b><br><small>Line 419a</small>                       |                                     |
| Total bad debt from all sources  | <b>7,102,824.00</b><br><small>Line 421a</small>                           | <input type="checkbox"/><br>Confirm |
| Total net revenue from all sources                                       | <b>3,544,309,161.00</b><br><small>Line 419a - Line 421a</small>           |                                     |
| <b>Carrier's Carrier (Reseller) Revenue</b>                              |   |                                     |
| Total gross billed revenue from resellers                                | <b>552,035,342.00</b><br><small>Line 315a</small>                         | <input type="checkbox"/><br>Confirm |
| <b>End-User Revenue</b>  |   |                                     |
| Total gross billed revenue from end-users                                | <b>1,368,602,751.00</b><br><small>Line 420a</small>                       |                                     |
| Total gross billed local/intrastate long distance revenue from end-users | <b>195,609,249.00</b><br><small>Line 420a - Line 420d - Line 420e</small> | <input type="checkbox"/><br>Confirm |
| Total gross billed interstate long distance revenue from end-users       | <b>1,140,930,038.00</b><br><small>Line 420d</small>                       |                                     |
| Total gross billed international long distance revenue from end-users    | <b>32,063,464.00</b><br><small>Line 420e</small>                          |                                     |

If an alert message is displayed, you will need to review the message by selecting the **Alert Messages** button (see red box below).

If, after reviewing the message (see second screen shot below), you understand and can explain how the revenue reported was determined to be correct, you may close the alert message (see gold box below).



Then you must affirm the data is correct by selecting the **Confirm** box on the right in the alert message box.



After reviewing and confirming that each revenue category is accurate to the best of your knowledge, you may review the **Contribution Base** for each support mechanism (USF, LNP, NANPA and TRS) based on the reported revenue.

Selecting the **Edit Form** button (see red box below) will return you to the main page to access the Registration Information and Revenue Information sections to make any necessary corrections. Selecting the **Certify** button (see gold box below) will submit the data to USAC.

**Contribution Base**

Based on the information you have provided, the E-File system has calculated the following contribution bases. USAC will provide this information to the FCC as well as the fund administrators for TRS, LNP, and NANPA, who will use it to determine your contribution obligations.

|   |                                |   |
|---|--------------------------------|---|
| Universal Service contribution base revenue | <u>0.00</u><br>Interstate 423d | <u>0.00</u><br>International 423e                   |
| LNP and NANPA contribution base revenue     |                                | <u>222.00</u><br>Total (420a + 412a - 511a)         |
| TRS contribution base revenue               |                                | <u>0.00</u><br>Interstate + International<br>(514b) |

Once the **Certify** button has been selected, you will receive the following notice:

**FORM 499-A - FILING COMPLETE - CONFIRMATION MESSAGE**



Thank you for submitting your 499 worksheet to the Form 499 Data Collection Agent (DCA).  
You have successfully completed the Online Submission and Certification process.  
Thank you for using USAC's online filing application.

**Please Note:** This is the only message that confirms that you have successfully submitted your FCC Form 499-A to USAC. If you do not receive this message, your filing was not submitted successfully and USAC is not in receipt of your filing.