

# Filing FCC Form 481: Program Year 2023

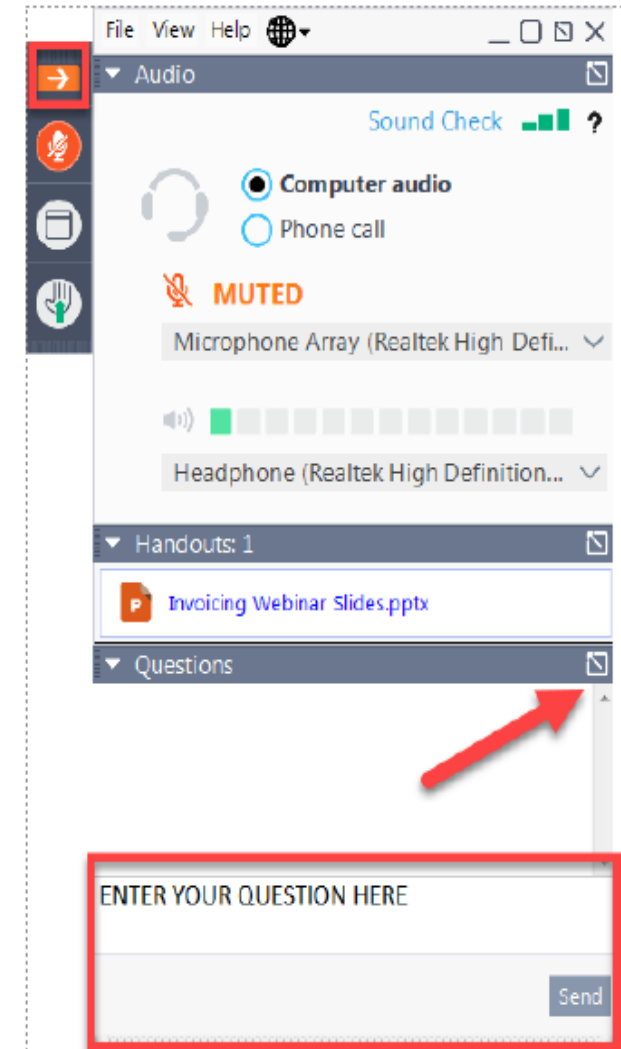
High Cost Program  
May 17, 2022



Universal Service  
Administrative Co.

# Housekeeping

- Audio is available through your computer's speakers.
- The audience will remain on mute throughout the presentation.
- Enter questions at any time using the “Questions” box.
- If your audio or slides freeze, restart the webinar.
- A copy of the slide deck is in the “Handouts” section of webinar panel.



# Today's Speakers

- **Elizabeth Pertsevoi**

Senior Program Analyst | High Cost

- **John Putman**

Communications Specialist | High Cost

# Agenda

- FCC Form 481 Overview
- Accessing the System and Creating a Filing
- FCC Form 481 Content
- Supply Chain Certifications
- Fund-Specific Sections
- FCC Form 481 Resources
- Questions

# **FCC Form 481: Overview**

# Form 481 Overview: Requirements

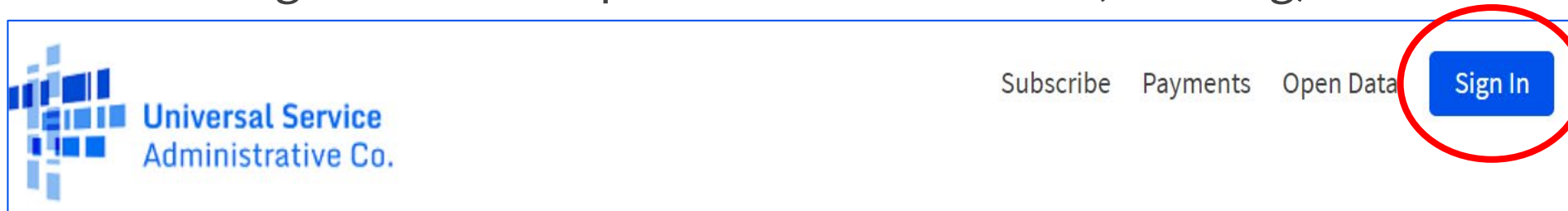
- All ETCs participating in High Cost and/or Lifeline programs must file FCC Form 481 annually.
  - This includes Rural Digital Opportunity Fund (RDOF) carriers authorized for support in 2021.
- Form 481 collects financial and operations information used to validate carrier support.
- Among other data, the form gathers information about:
  - Carrier ability to function in emergency situations
  - Holding company, operating companies, affiliates and branding designations
  - Tribal lands engagement
  - Comparability of voice and broadband rates in rural and urban areas
  - Terrestrial backhaul
- Form 481 also includes two supply chain certifications.

# Form 481 Overview: Requirements

- The FCC has waived the annual July 1 filing deadline
  - The FCC has issued a limited waiver of the July 1 deadline for ETCs to file and certify Form 481 while it awaits Paperwork Reduction Act (PRA) approval for the annual data collection.
  - The FCC will announce a new filing deadline in a subsequent public notice, but USAC encourages carriers to begin the filing process.
  - Carriers will not be able to certify the Form 481 filing until the form and instructions receive PRA approval. The certify button and bulk certify options are disabled until PRA approval is granted. USAC will notify users when the certify buttons are active.

# Form 481 Overview: Access

- Carriers can access the Form 481 system through USAC's E-file system
  - E-File is available at <https://forms.universalservice.org/portal/login>
  - Or click "Sign In" at the top of the USAC website (usac.org)



- Users must have a 481 entitlement. The carrier's 498 officer can assign the entitlement.
  - 481 SPO – this entitlement allows data to be entered and certified
  - 481 SPU – this entitlement allows data to be entered, but not certified
  - 481 SPA – this entitlement allows data to be entered, but not certified



# Form 481 Overview: System

- The system will automatically display only those sections that the carrier is required to complete, based on:
  - Type of carrier (Rate of Return, Price Cap, competitor)
  - Type of support received (CAF Phase II Model, CAF Phase II Auction, ACAM, RBE, etc.)
- The system maintains a downloadable form template.
  - Carriers can download and review completed forms.
  - The form template includes all Form 481 sections. Sections that a carrier is not required to complete will appear blank in the downloaded form.

# **FCC Form 481: Accessing the System and Creating a Filing**

# FCC Form 481: Accessing the System

- If FCC Form 481 is not visible in the High Cost section of the E-File dashboard, the user may not have the necessary entitlement.
- Users should request entitlements from the carrier's 498 Officer.
- Form 498 Officers and/or 498 General Contacts should contact USAC about missing entitlements.

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John.Putman\_sq

## Dashboard

### Upcoming Dates

05/11/2022 [HCF Office Hours Webinar](#)

05/12/2022 [PIA and Selective Review Process Webinar](#)

05/17/2022 [Webinar: Filing the FCC Form 481](#)

[see full calendar](#)

### High Cost

**FCC Form 481** - The FCC Form 481 collects operational and financial information used to validate carrier support. All carriers that receive High Cost support (with the exception of carriers that only receive Mobility Fund support) must complete the form annually.

**FCC Form 690** - The FCC form 690 collects data that USAC uses to validate that the recipient companies are meeting their performance obligations for Mobility Fund support. All carriers that receive Mobility Fund support must complete the form annually.

**54.314 Certifications** - State utility commissioners complete the 54.314 Certification annually to certify that High Cost support is used by eligible telecommunications carriers (ETCs) only for the provision, maintenance,

### Help?

[Send us a message](#)  
[Click here](#)

**Call us**  
(888) 641-8722

# Form 481: Creating a Filing



## E-FILE

[USAC Home](#) | [High Cost Program](#) | [Search Tools](#) | [Form 481](#)

## FORM 481

Welcome to Online Certification System

[Form 481](#)

[Return to SPC Portal](#)



## E-FILE

[USAC Home](#) | [High Cost Program](#) | [Search Tools](#) | [Form 481](#)

## FORM 481 SEARCH

Search Criteria

Carrier Name

Carrier 498 ID

start typing for select

Carrier SAC

109022

Operating State

start typing for select

Search

Reset

Bulk Certify

Click the Bulk Certify button below to certify filings for multiple study area codes (SACs) at one time. You do NOT need to enter search criteria on this screen for the bulk certify process.

List of Carrier SACs based on Search Criteria			
SAC	498 ID	Carrier Name	Form 481
109022	143049940	Pioneer Wireless, Inc.	<a href="#">Form 481</a>

[Return to SPC Portal](#)

# Form 481: Creating a Filing

- Filers can access previously submitted filings by clicking the icon in the View/Print or Download columns.
- Click “Create New Form 481” to begin the filing.

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**E-FILE**

USAC Home | High Cost Program | Search Tools | Form 481

**LIST OF FORM 481**

Selected Carrier

Carrier 498 ID: 143001199

Carrier SAC: 619001

Carrier Name: GCI COMMUNICATIONS CORP. - CL

	Submission Period	Submitted Date	Status	Filing Period	Timeliness	Edit/Submit	View/Print	Download	Filing Number	Delete
+	2023 Submissions									
-	2021 Submissions									
	2021	Jul 1, 2020	Certified	Closed	On Time				1	
+	2020 Submissions									
+	2019 Submissions									
+	2018 Submissions									
+	2017 Submissions									
+	2016 Submissions									
+	2015 Submissions									
+	2014 Submissions									

Return to 481 Search

**Create New Form 481**

Return to SPC Portal

# Form 481: Creating a Filing

- This screen details which types of support require the submission.
- Select the check box next to the section(s) required and click Next.



## E-FILE

USAC Home | High Cost Program | Search Tools | **Form 481**

### HELP FOR SELECTING REPORTING TYPES

**Select 54.313 (High Cost)** requires all ETCs receiving High Cost support to file annual reports regarding compliance with the Commission's rules and progress toward its universal service goals. **All carriers receiving High Cost support must select the Section 54.313 (high Cost) reporting type.** This includes the following funds:

Connect America Fund Phase II Model (CAFII)  
 Connect America Fund Phase II Auction (CAFII Auction)  
 Alternative Connect America Model (ACAM): includes ACAM, Revised ACAM and ACAMII  
 Alaska Plan  
 Connect America Fund Broadband Loop Support (CAF BLS)  
 Rural Broadband Experiments (RBE)  
 Frozen High Cost Support (FHCS)  
 High Cost Loop (HCL)  
 Safety Value Support (SVS)  
 Intercarrier Compensation (ICC)  
 Uniendo a Puerto Rico and Connect US Virgin Islands

Carriers that receive ONLY Lifeline support or ONLY Mobility Fund Phase 1 are not required to complete Section 54.313 (High Cost) reporting type.

**Section 54.422 (Low Income)** requires ETCs receiving low income support (as per subpart E of the rules) to file annual reports reporting and certifying to certain information. **All carriers receiving Lifeline support are required to select the Section 54.422 (Low-Income) reporting type.**

Click Next to select the type of reporting to be created.

Next

### FILING PROFILE

Please select the compliance reporting type(s) to be contained in this Form 481 filing

Section 54.313 (High Cost) ☒ [Need help with Reporting Types?](#)  
 Section 54.422 (Low Income) ☒

Return to 481 Search

Next

### FCC NOTICE REQUIRED BY THE PAPERWORK REDUCTION ACT


Part 54 of the Federal Communications Commission's (FCC) rules authorize the FCC to collect the information requested in this form. Responses to the questions herein are required to obtain the benefits sought by this form. Failure to provide all requested information will delay processing or result in the form being returned without action. Information requested by this form will be available for public inspection. The data collected in the form will be used to validate the recipient companies' support, if any, that they are eligible to receive from the high-cost support mechanism and/or the Lifeline and Link Up support mechanism. We have estimated that each response to this collection of information will take, on average, 20 hours for a high-cost recipient and 3 hours for a Lifeline-only recipient. Our estimate includes the time to read the instructions, look through existing records, gather and maintain the required data, and actually complete and review the form or response. If you have any comments on this estimate, or on how we can improve the collection and reduce the burden it causes you, please write the Federal Communications Commission, AMD-PERM, Paperwork Reduction Project (3060-0986 and 3060-0819), Washington, DC 20554. We will also accept your comments via the Internet if you send them to [pra@fcc.gov](mailto:pra@fcc.gov). Please DO NOT SEND COMPLETED DATA COLLECTION FORMS TO THIS ADDRESS. Remember — you are not required to respond to a collection of information sponsored by the Federal government, and the government may not conduct or sponsor this collection, unless it displays a currently valid OMB control number or if we fail to provide you with this notice. This collection has been assigned an OMB control number of 3060-0986 for the high-cost form and OMB control number of 3060-0819 for the Lifeline form.

THE FOREGOING NOTICE IS REQUIRED BY THE PAPERWORK REDUCTION ACT OF 1995, P.L. 104-13, OCTOBER 1, 1995, 44 U.S.C. § 3507

# **FCC Form 481: Form Content**

# FCC Form 481: Carrier Contact Information

- The first screen collects carrier contact information.
  - The system will pre-populate the first three lines (010-020).
  - List the person most familiar with the data collected by the form in case USAC needs to reach out with questions.
- Click Save.
  - The system will confirm if the contact information was saved successfully.
- Use the section links to proceed to the next section or click Next, adjacent to the Save button.



**FORM 481**

Welcome to Online Certification System

Form Type: 54.313 and 54.422 | Filing Number: | Status: Saved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (1200) Lifeline Terms and Conditions
- (7005) Phase-Down Support Reporting
- (10005) Rural Digital Opportunity Fund Reporting
- Validate Filing
- Accuracy Certification

**CARRIER CONTACT INFORMATION**

[Instructional Video](#)

(010) Study Area Code: 109022

(015) Study Area Name: Pioneer Wireless, Inc.

(020) Program Year: 2023

(030) Contact Name: EP

(035) Contact Telephone Number: 2022631643

Ext.:

(039) Contact Email Address: epertsevoi@usac.org

Save Successful

Next Save Exit



# FCC Form 481: Functionality in Emergency Situations

- Section 600 requires carriers to certify to their ability to function in emergency situations.
  - Indicate yes or no that the carrier has the ability to function in emergency situations.
  - Attach a document that describes the carrier's ability to meet this requirement.

FORM 481

Welcome to Online Certification System

Form Type54.313 and 54.422

Filing Number

StatusSaved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (1200) Lifeline Terms and Conditions
- (7005) Phase-Down Support Reporting
- (10005) Rural Digital Opportunity Fund Reporting
- Validate Filing
- Accuracy Certification

(600) FUNCTIONALITY IN EMERGENCY SITUATIONS

Instructional Video

(600) Certify compliance regarding ability to function in emergency situations

Yes

(610) Descriptive document for Functionality in Emergency Situations Allowable File Type (pdf only)	Actions
<div>New Attachment</div>	

Previous

Next

Save

Exit

# FCC Form 481: Operating Companies

- Section 800 collects information about carrier operating companies and affiliates.
  - The reporting carrier name should be the name associated with the Study Area Code (SAC) associated with the filing.
  - The holding company will be pre-populated if USAC has one on file.
  - Operating company is the name of the corporate operating company designation.
  - Indicate if the carrier has any affiliates associated with the SAC completing this form. Report all affiliates that are designated as ETCs and their SACs, or that provide retail broadband internet access to end-user customers.

**FORM 481**

Welcome to Online Certification System [Preview PDF](#)

Form Type: 54.313 and 54.422 Filing Number: Status: Saved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (1200) Lifeline Terms and Conditions
- (10005) Rural Digital Opportunity Fund Reporting
- Validate Filing
- Accuracy Certification

**(800) OPERATING COMPANIES**

[Instructional Video](#)

(810) Reporting Carrier

(811) Holding Company

*(Based on Categorization used for FCC Form 477)*

To validate the information listed in (811) please select one of the following

(811a) Holding Company/Affiliate name listed above is correct. ☐

(811b) Holding Company/Affiliate name listed above is NOT correct. ☐

(811d) This study area does not have a Holding Company/Affiliate name. ☐

(812) Operating Company

Upload Operating Company data

[Click to Upload](#)

*(Use 800\_Operating\_Company\_Upload\_Template.xlsm provided on USAC website)*

[Click to download Excel Template](#)

Add New Row Delete Selected Row(s) enter the row number

	Row #	(813a1) Affiliates	(813a2) SAC	(813a3) Doing Business As Company or Brand Designation
<input type="checkbox"/>				

Page 1 Of 1

Previous Next Save Exit

# FCC Form 481: Tribal Lands Reporting

- Section 900 collects information about whether a carrier provides service on Tribal lands.
  - Only line 900 will be visible until a selection is made.
  - If the answer is no, the carrier can save and move on to the next section.
  - If yes, lines 910-929 will appear. Carriers must list the Tribal lands they serve and provide details about carrier discussions with Tribal governments.

**FORM 481**

Welcome to Online Certification System [Preview PDF](#)

Form Type: 54.313 and 54.422 Filing Number: Status: Saved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (1200) Lifeline Terms and Conditions
- (10005) Rural Digital Opportunity Fund Reporting
- Validate Filing
- Accuracy Certification

**(900) TRIBAL LANDS REPORTING**

[Instructional Video](#)

(900) Does the filing entity offer tribal land services? Yes

(910) Tribal Land(s) on which ETC Serves

(920) Tribal Government Engagement Obligation  
Allowable File Type (pdf only) Actions

[New Attachment](#)

If your company serves Tribal lands, please select (Yes, No, NA) for each of these boxes to confirm the status described on the attached PDF, on line 920, demonstrates coordination with the Tribal government pursuant to § 54.313(a)(5) includes:

(921) Needs assessment and deployment planning with a focus on Tribal community anchor institutions:

(922) Feasibility and sustainability planning:

(923) Marketing services in a culturally sensitive manner:

(924) Compliance with Rights of way processes:

(925) Compliance with Land Use permitting requirements:

(926) Compliance with Facilities Siting rules:

(927) Compliance with Environmental Review processes:

(928) Compliance with Cultural Preservation review processes:

(929) Compliance with Tribal Business and Licensing requirements:

[Previous](#) [Next](#) [Save](#) [Exit](#)

# FCC Form 481: Voice and Broadband Service Rate Comparability

- Section 1000 collects certifications that the carrier offers voice and broadband service at rates that are reasonably comparable to average urban rates.
  - Lines 1000 and 1010 collect information about voice rates.
  - Lines 1020 and 1030 collect information about broadband rates.
- Not all carriers will see both voice and broadband certifications.

**FORM 481**

Welcome to Online Certification System [Preview PDF](#)

Form Type: 54.313 Filing Number: Status: Saved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (3005) Rate of Return Data
- Validate Filing
- Accuracy Certification

**(1000) VOICE AND BROADBAND SERVICE RATE COMPARABILITY**

[Instructional Video](#)

(1000) Voice Services Rate Comparability Certification

(1010) Attach Detailed Description for Voice Services Rate Comparability Compliance Allowable File Types (pdf, xls, and.xlsx)	Actions
--	---------

[New Attachment](#)

(1020) Broadband comparability certification

Yes - Pricing is no more than the most recent applicable benchmark announced by the Wireline Competition Bureau	<input type="radio"/>
Yes - Pricing is no more than the non-promotional price charged for a comparable fixed wireline service in urban areas in the states or U.S. Territories where the eligible telecommunications carrier receives support	<input type="radio"/>
No - Unable to certify to broadband rate comparability	<input type="radio"/>
Not Applicable - Please explain in the attachment to Line 1030	<input type="radio"/>

(1030) Attach Detailed Description for Broadband Comparability Compliance Allowable File Types (pdf, xls, and.xlsx)	Actions
--	---------

[New Attachment](#)

[Previous](#) [Next](#) [Save](#) [Exit](#)

# FCC Form 481: Terrestrial Backhaul Reporting

- Section 1100 collects information about a carrier's terrestrial backhaul.
  - If the carrier has terrestrial backhaul and indicates yes on line 1100, the carrier will be able to save and move on to the next section.
  - If the answer is no, line 1130 will display. The reporting carrier must confirm it offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area.

**FORM 481**

Welcome to Online Certification System


Form Type54.313 and 54.422

Filing Number6

StatusSaved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (1200) Lifeline Terms and Conditions
- (7005) Phase-Down Support Reporting
- (10005) Rural Digital Opportunity Fund Reporting
- Validate Filing
- Accuracy Certification

**(1100) TERRESTRIAL BACKHAUL REPORTING**

 Instructional Video

(1100) Certify whether terrestrial backhaul options exist (Y/N)

No

(1130) Please select the appropriate response (Yes, No, Not Applicable) to confirm the reporting carrier offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area pursuant to § 54.313(g).

Previous

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Save

Exit

# FCC Form 481: Lifeline Terms and Conditions

- Section 1200 collects the terms and conditions of any voice telephony service plans offered to Lifeline subscribers. This section will only display if the 54.422 section was selected when the filing was created.
- Attach a document to line 1210 or enter a link to a public website on line 1220.
- Must contain:
  - Terms and conditions of any voice telephony service plans
  - Number of minutes provided as part of all plans
  - Additional charges, if any, for toll calls, and rates offered to Lifeline subscribers.

FORM 481

Welcome to Online Certification System

Preview PDF

Form Type 54.313 and 54.422

Filing Number 6

Status Saved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (1200) Lifeline Terms and Conditions
- (7005) Phase-Down Support Reporting
- (10005) Rural Digital Opportunity Fund Reporting
- Validate Filing
- Accuracy Certification

(1200) LIFELINE TERMS AND CONDITIONS

Instructional Video

Lifeline terms and conditions information required for this filing can be: uploaded as document(s) file in data item 1210, Terms & Conditions of Voice Telephony Lifeline Plans, OR referenced by a specific link to your company's website in data item 1220, Link to Public Website. You must utilize one of these two data items.

(1210) Terms & Conditions of Voice Telephony Lifeline Plans Allowable File Types (pdf, doc, and docx)	Actions
<div>New Attachment</div>	

(1220) Link to Public Website

HTTP

usac.org

Please check these boxes below to confirm that the attached document(s), on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:

(1221) Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers

☐

(1222) Details on the number of minutes provided as part of the plan

☐

(1223) Additional charges for toll calls, and rates for each such plan

☐

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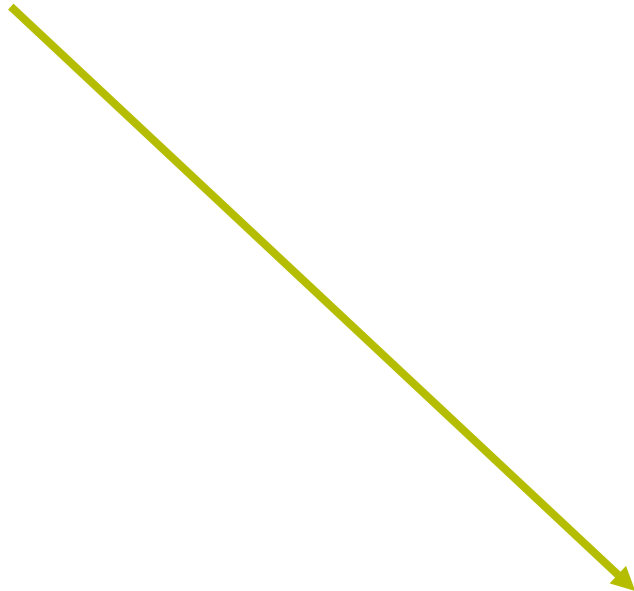
Next

Save

Exit

# FCC Form 481: Validate Filing

- Click the Validate button.
  - The system will provide details under the buttons if there is any missing information.




**FORM 481**

Welcome to Online Certification System

Form Type 54.313 Filing Number Status Saved Not Certified

- [Carrier Contact Information](#)
- [\(7005\) Phase-Down Support Reporting](#)
- [Validate Filing](#)
- [Accuracy Certification](#)

**VALIDATE FILING**

 Instructional Video

Please click the "Validate" button to determine whether or not this filing is eligible to be certified.

*Save Successful*

Previous Next Validate Exit

- 1. Accuracy Certification: Telephone Number of Authorized Officer is required.

# FCC Form 481: Validate Filing

- Click the Validate button.
  - If all required data has been submitted, the system will provide confirmation and the Certify Filing link will become available.
  - Validate the filing after completing the accuracy certification in order for the Certify Filing link to appear.

**FORM 481**


Welcome to Online Certification System

[Preview PDF](#)

Form Type 54.313 Filing Number 1 Status Ready For Certification

- [Carrier Contact Information](#)
- [\(600\) Functionality in Emergency Situations](#)
- [\(800\) Operating Companies](#)
- [\(900\) Tribal Lands Reporting](#)
- [\(1000\) Voice and Broadband Service Rate Comparability](#)
- [\(1100\) Terrestrial Backhaul Reporting](#)
- [\(9005\) Connect USVI Fixed and Mobile Funds Certification](#)
- [Validate Filing](#)
- [Accuracy Certification](#)
- **[Certify Filing](#)**

**VALIDATE FILING**

 [Instructional Video](#)

Please click the "Validate" button to determine whether or not this filing is eligible to be certified.

**Save Successful**

Previous

Next

Validate

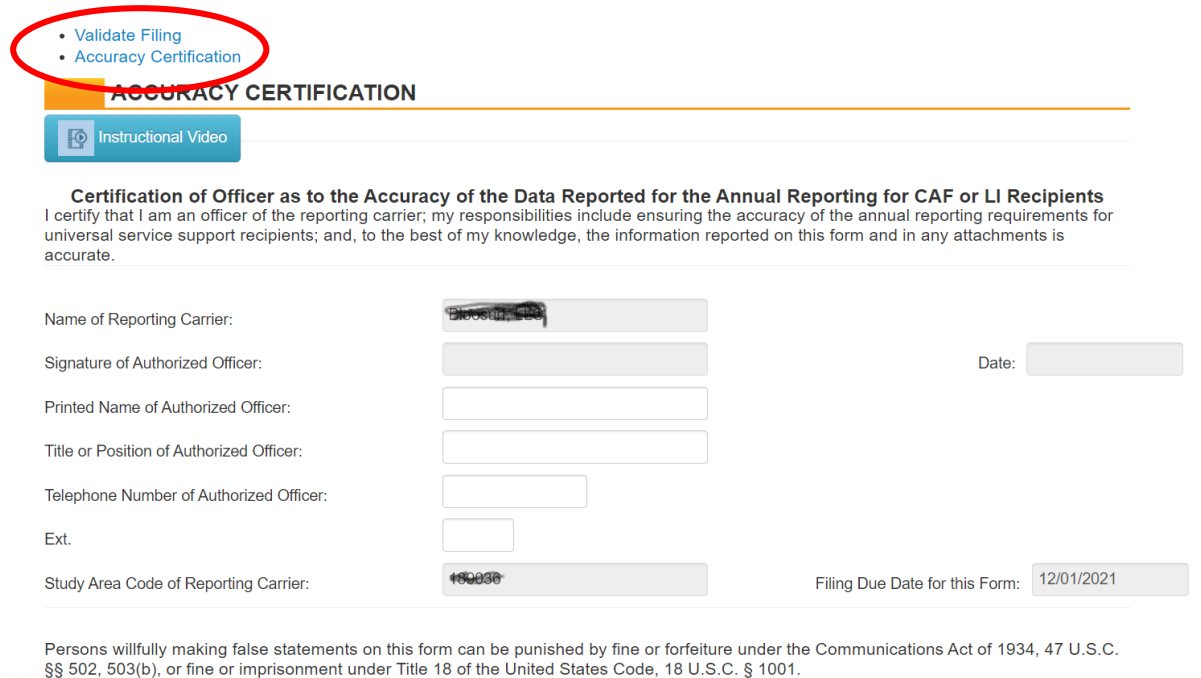
Exit

- 1. No errors detected in this filing. Your filing is eligible to be certified. Please proceed to the 'Accuracy Certification' and 'Certify Filing' screens to complete the certify process.




# FCC Form 481: Accuracy Certification

- Only officers will see the Accuracy Certification and the Certify Filing links.
- The option to certify does not become visible until the accuracy certification is completed and the filing validated.
- Note that the grey fields on the accuracy certification will be auto-populated upon certification.



[Validate Filing](#)  
[Accuracy Certification](#)

## ACCURACY CERTIFICATION

 [Instructional Video](#)

**Certification of Officer as to the Accuracy of the Data Reported for the Annual Reporting for CAF or LI Recipients**  
I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.

Name of Reporting Carrier:

Signature of Authorized Officer:  Date:

Printed Name of Authorized Officer:

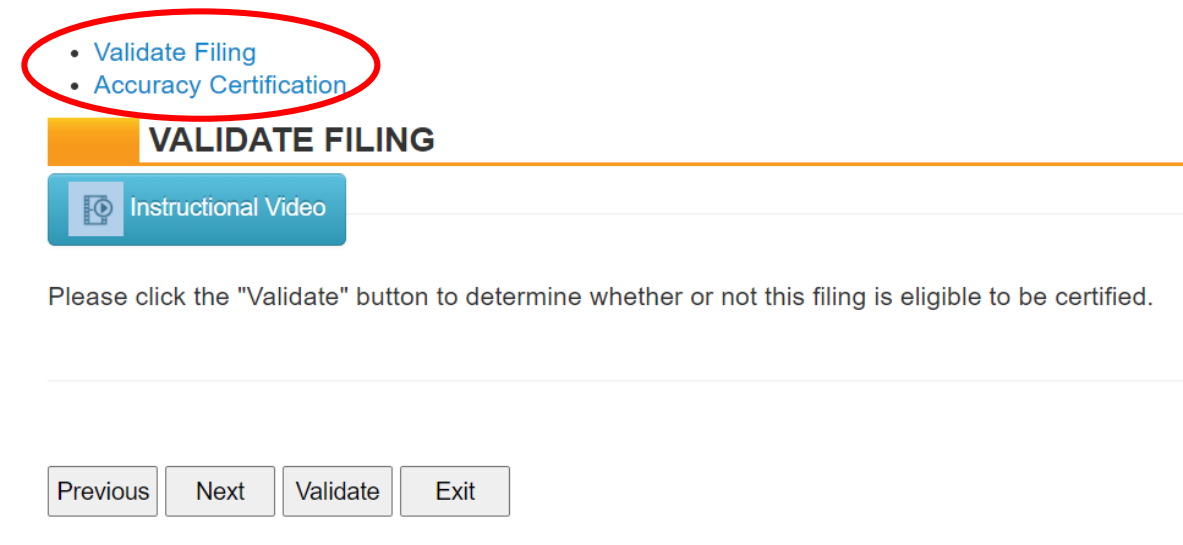
Title or Position of Authorized Officer:

Telephone Number of Authorized Officer:

Ext.


Study Area Code of Reporting Carrier:  Filing Due Date for this Form:

Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.



[Validate Filing](#)  
[Accuracy Certification](#)

## VALIDATE FILING

 [Instructional Video](#)

Please click the "Validate" button to determine whether or not this filing is eligible to be certified.

# FCC Form 481: Certify Filing

- Carriers will not be able to certify the Form 481 filing until the form and instructions receive PRA approval.
  - The certify button and bulk certify options are disabled until PRA approval is granted. USAC will notify users when the certify buttons are active.
- In addition to the 54.9 supply chain certification that was new last year, there is another supply chain certification to confirm compliance with 54.10 starting this year.
  - Carrier officers will indicate Yes or No to certify compliance with these two Rules.
  - If a carrier indicates it is not in compliance, an additional line will appear for the carrier to attach a copy of the FCC order granting a waiver of the rules, or a PDF copy of the pending waiver request submitted to the FCC.
- Click “Certify” to complete the filing.

- [Validate Filing](#)
- [Accuracy Certification](#)
- [Certify Filing](#)


## CERTIFY FILING

 [Instructional Video](#)

I certify under penalty of perjury that no universal service support has been or will be used to purchase, obtain, maintain, improve, modify, or otherwise support any equipment or services produced or provided by any company designated by the Federal Communications Commission as posing a national security threat to the integrity of communications networks or the communications supply chain since the effective date of the designations.

Yes 

I certify that no Federal subsidy made available through a program administered by the Commission that provides funds to be used for the capital expenditures necessary for the provision of advanced communications services has been or will be used to purchase, rent, lease, or otherwise obtain, any covered communications equipment or service, or maintain any covered communications equipment or service previously purchased, rented, leased, or otherwise obtained, as required by 47 C.F.R. § 54.10.

No - Attach Explanation 

**Please Provide Waiver Document**  
Allowable File Types (pdf only)

**Actions**

[41122vt.pdf](#)

Delete 41122vt.pdf

New Attachment

[Previous](#) [Certify](#) [Exit](#)

# FCC Form 481: Confirmation

- Upon successful certification, a confirmation screen will appear.
- A copy of the confirmation will be sent to the officer who certified.



## E-FILE

[USAC Home](#) | [High Cost Program](#) | [Search Tools](#) | [Form 481](#)

## CONFIRMATION

**Congratulations. Your filing has been successfully certified.**

Filing 1 was successfully certified on Tue 19 Apr 22 03:16:32 PM EDT by Elizabeth.PertsevoISPO@usac.org .

SAC : 649007

498 ID : 143030495

Carrier Name : Broadband VI, LLC

Program Year : 2023

**Filing Type : 54.313 (High Cost)**

A confirmation email will be sent to the email address on record for your user ID. Please email USAC at [HCCERTS@USAC.ORG](mailto:HCCERTS@USAC.ORG) if you do not receive this email within 24 hours.

[Return to 481 Search](#)

[Print Confirmation Page](#)

# **FCC Form 481: Supply Chain Certifications**

# Supply Chain Report and Order Overview

- Service providers must submit annual supply chain certifications to USAC for each USF program in which they participate.
- On Nov. 26, 2019, the FCC issued the *Protecting the Communications Supply Chain Order* adopting section 54.9, which prohibits the use of USF funds for the purchase of equipment or services from companies identified as a security threat to the nation's communications infrastructure (covered companies). Section 54.9 went into effect on Jan. 3, 2020, and applies to all USF programs.
- In June 2020, the FCC's Public Safety and Homeland Security Bureau designated Huawei and ZTE, and their parents, affiliates, and subsidiaries, as covered companies.
- On April 1, 2021, carriers began to file certifications of compliance to section 54.9 on Form 481.

# Supply Chain Report and Order Overview

- The FCC issued the Supply Chain Second Report and Order ([FCC 20-176](#)) in Dec. 2020, adopting section 54.10, which prohibits the use of federal subsidies, including USF support, to obtain or otherwise maintain equipment or services identified on the Secure and Trusted Communications Networks Act Covered List
- In March 2021, the FCC released the initial Covered List ([DA-21-309](#)), which included telecommunications equipment or video surveillance equipment produced by Huawei, ZTE, Hytera, Hangzhou Hikvision, and Dahua.
- On March 25, 2022, the FCC updated the Covered List ([DA 22-320](#)) to include international telecommunications services provided by China Mobile International USA, Inc., and by China Telecom (Americas) Corp., and information security products, solutions, and services directly or indirectly supplied by AO Kaspersky Lab.
- On April 1, 2022, carriers began to file certifications of compliance to section 54.10 on Form 481.

# Supply Chain Certifications in FCC Form 481

- Service providers will see the following supply chain certifications included in the annual FCC Form 481 filing:
  - Section 54.9: I certify under penalty of perjury that no universal service support has been or will be used to purchase, obtain, maintain, improve, modify, or otherwise support any equipment or services produced or provided by any company designated by the Federal Communications Commission as posing a national security threat to the integrity of communications networks or the communications supply chain since the effective date of the designations.
  - Section 54.10: I certify that no Federal subsidy made available through a program administered by the Commission that provides funds to be used for the capital expenditures necessary for the provision of advanced communications services has been or will be used to purchase, rent, lease, or otherwise obtain, any covered communications equipment or service, or maintain any covered communications equipment or service previously purchased, rented, leased, or otherwise obtained, as required by 47 C.F.R. § 54.10.
- These certifications affirm compliance with the Sections 54.9 and 54.10 prohibition on the use of USF support to purchase, obtain, maintain, improve, modify, operate, manage, or otherwise support equipment or services produced or provided by covered companies.

# Supply Chain Audits

- USAC will conduct supply chain audits to verify carrier compliance with these new rules.
- These audits are separate from Beneficiary and Contributor Audit Program (BCAP) or Payment Quality Assurance (PQA) reviews, as well as any FCC audits related to the Supply Chain Reimbursement program.
- USAC will host a series of webinars to help USF recipients prepare for these audits.
- USAC will continue to update its supply chain webpage with the latest supply chain and audit information.



# **FCC Form 481: Fund Specific Sections**

# FCC Form 481: Price Cap Data

- Section 2005 collects compliance certifications from Price Cap carriers. Only Price Cap carriers will see this section.
- Frozen High Cost support recipients must indicate compliance to offset reductions in Intercarrier Compensation (ICC) access charges.
- Price Cap carriers must indicate if they began providing service to any new community anchor institutions and provide details if so.

(2005) PRICE CAP DATA

Instructional Video

Select the appropriate responses below (Yes, No, Not Applicable) to note compliance as a recipient of frozen High Cost support, High Cost support to offset access charge reductions, and Connect America Phase II support as set forth in 47 CFR 54.313(c),(d),(e). The information reported on this form and in the documents attached below is accurate.

Price Cap Carrier Receiving Frozen Support Certification 47 CFR § 54.312(a)

(2015) 2016 and future Frozen Support Certification 47 CFR §54.313(c)(4)

Yes

Price Cap Carrier Connect America ICC Support 47 CFR § 54.313(d)

(2016) Certification Support Used to Build Broadband

Yes

Connect America Phase II Reporting 47 CFR § 54.313(e)

(2017a) Connect America Fund Phase II recipient?

Yes

(2017c) Total amount of Phase II support, if any, the price cap carrier used for capital expenditures in 2020.

3,000

(2018) Attach the number, names, and addresses of community anchor institutions to which the carrier newly began providing access to broadband service in the preceding calendar year - 54.313(e)(1)(ii)(A). Allowable File Types (xslm) (see template located at [www.usac.org](http://www.usac.org)) [Click to download Excel Template](#)

Actions

Click to Upload

New Attachment

Connect America Phase II - FCC Form 470 Postings

(2019) For the filing due July 1 following full implementation of this requirement, answer yes, no, or not applicable to this certification request.

Yes

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# FCC Form 481: Rate of Return Data

- Section 3005 collects financial data and certifications from Rate of Return carriers. Only Rate of Return carriers will see this section.
- Carriers can submit Rural Utilities Services (RUS) reports, balance sheets, income statements and statements of cash flows on Line 3013 and below.

FORM 481

Welcome to Online Certification System

Preview PDF

Form Type 54.313

Filing Number

Status Saved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (3005) Rate of Return Data
- Validate Filing
- Accuracy Certification

(3005) RATE OF RETURN DATA

Instructional Video

Select from the drop down menus or check the boxes below to note compliance with 54.313(f)(1). Privately held carriers must ensure compliance with the financial reporting requirements set forth in 47 CFR 54.313(f)(2). I further certify that the information reported on this form and in the documents attached below is accurate.

(3007) Does this filing retain a Cost Consultant and/or Firm, or other Third Party to prepare financial and operations data disclosures submitted to the National Exchange Carrier Association (NECA), USAC, or the Administrator?

(3010a) Certification of Public Interest Obligations

**Rate-of-Return Community Anchor Institutions**  
 (3012a) Indicate if the carrier newly deployed broadband service to community anchor institution(s) in the previous calendar year

(3013) Is your company a Privately Held ROR Carrier 47 CFR § 54.313(f)(2)  
 Yes ☐ No ☐

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# FCC Form 481: Rate of Return Data

- Carriers must enter the specific values required under Financial Data Summary at the bottom of the screen.

(3013) Is your company a Privately Held ROR Carrier 47 CFR § 54.313(f)(2)  
Yes ☒ No ☐

(3014) If yes, does your company file the RUS annual report  
Yes ☐ No ☒

(3018) If the response is no on line 3014, is your company audited?  
Yes ☐ No ☒

If the response is no on line 3018, please check the boxes below to confirm your submission on line 3026 pursuant to § 54.313(f)(2), contains:  
(3022) Copy of their financial statement which has been subject to review by an independent certified public accountant; or 2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers ☐  
(3023) Underlying information subjected to a review by an independent certified public accountant ☐  
(3024) Underlying information subjected to an officer certification. ☐  
(3025) Document(s) with Balance Sheet, Income Statement and Statement of Cash Flows ☐

(3026) Worksheet Listing  
Allowable File Types (pdf, xls,xlsx, xlsx, doc, and docx)

Actions

New Attachment

Financial Data Summary

Please enter the specified financial data below which is located on your RUS Report (attached on Line 3017) or your reviewed/audited financial statements (attached on Line 3026).

(3027) Revenue

(3028) Operating Expenses

(3029) Net Income

(3030) Telephone Plant In Service (TPIS)

(3031) Total Assets

(3032) Total Debt

(3033) Total Equity

(3034) Dividends

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# FCC Form 481: Rural Broadband Experiment Data

- Section 4005 collects required data from carriers receiving Rural Broadband Experiment (RBE) support. Only carriers receiving RBE support will see this section.
- This section requires carriers to certify that they offer broadband service meeting the requisite public interest obligations established by the FCC, including required speed, latency and usage capacity, and rates that are reasonably comparable to rates for comparable offerings in urban areas.

FORM 481

Welcome to Online Certification System

[Preview PDF](#)

Form Type 54.313

Filing Number

Status Saved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (4005) Rural Broadband Experiment Data
- Validate Filing
- Accuracy Certification

(4005) RURAL BROADBAND EXPERIMENT DATA

Instructional Video

Authorized Rural Broadband Experiment (RBE) recipients must address the certification for public interest obligations and provide a list of newly served community anchor institutions.

**Public Interest Obligations - FCC 14-98 (paragraph 26-29, 78)**  
Please address Line 4001 regarding compliance with the Commissions public interest obligations. All RBE participants must provide a response to Line 4001.

(4001) Recipient certifies that it is offering broadband meeting the requisite public interest obligations consistent with the category for which they were selected, including broadband speed, latency, usage capacity, and rates that are reasonably comparable to rates for comparable offerings in urban areas.

**RBE Community Anchor Institutions**  
(4003a) Indicate if the carrier newly deployed broadband service to community anchor institution(s) in the previous calendar year.

Yes - Attach new community anc

**(4003b) Please Provide Attachment**  
Using link, download template and list the number, name and address for each community anchor institution. Attach the document which contains the community anchor institution details as required by FCC 14-98 (paragraph 79)

Allowable File Types (xlsx)

[Click to download Excel Template](#)

Actions

New Attachment

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# FCC Form 481: Alaska Plan Participants

- Section 5005 collects required data from carriers receiving Alaska Plan support. Only Alaska Plan carriers will see this section.
- Rate of Return carriers must indicate whether they have terrestrial backhaul.

**FORM 481**

Welcome to Online Certification System

[Preview PDF](#)

Form Type 54.313

Filing Number

Status Saved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (3005) Rate of Return Data
- (5005) Alaska Plan Participants
- Validate Filing
- Accuracy Certification

**(5005) ALASKA PLAN PARTICIPANTS**

Instructional Video

(5011) Please indicate whether any terrestrial backhaul or other satellite backhaul became commercially available in the previous calendar year in areas previously served exclusively by performance-limiting satellite backhaul.

Yes

Upload Backhaul Technology data

Click to Upload

(Use 5005\_Alaska\_Plan\_Upload\_Template.xlsm provided on USAC website)

[Click to download Excel Template](#)

Add New RowDelete Selected Row(s)enter the row number

	Row #	(5013a) Description of Backhaul Technology	(5013b) Date Backhaul Available (MM/DD/YYYY)	(5013c) Newly Served Locations or Population
<input type="checkbox"/>				

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# FCC Form 481: Alaska Plan Participants

- Alaska Plan mobile carriers must also:
  - Indicate whether any terrestrial backhaul or other satellite backhaul became commercially available in the previous calendar year in areas previously served exclusively by performance-limiting satellite backhaul.
  - File a reasonably comparable rate demonstration tied to the interim five-year service milestones established in the carrier's individual performance plan.

(5005) ALASKA PLAN PARTICIPANTS

Instructional Video

(5012) If the filing carrier identified in its approved performance plans that it relies exclusively on satellite backhaul for a certain portion of the population in its service area, indicate whether any terrestrial backhaul or other satellite backhaul became commercially available in the previous calendar year in areas that were previously served exclusively by satellite backhaul.

Yes

Upload Backhaul Technology data

Click to Upload

(Use 5005\_Alaska\_Plan\_Upload\_Template.xlsm provided on USAC website)  
[Click to download Excel Template](#)

Add New Row

Delete Selected Row(s)

enter the row number

	Row #	(5013a) Description of Backhaul Technology	(5013b) Date Backhaul Available (MM/DD/YYYY)	(5013c) Newly Served Locations or Population
<input type="checkbox"/>				

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**Alaska Plan Mobile Carriers' Reasonably Comparable Rate Demonstration**

(5014a) Answer yes or no if mobile carriers receiving support from the Alaska Plan can demonstrate compliance at the end of the five-year milestone (2022) by showing that your required stand-alone voice plan, and one service plan that offers broadband data services, if you offer such plans, are

- Substantially similar to a service plan offered by at least one mobile wireless service provider in the cellular market area (CMA) for Anchorage, Alaska, and
- Offered for the same or a lower rate than the matching plan in the CMA for Anchorage.

**Alaska Plan Mobile Carriers' Reasonably Comparable Rate Demonstration Attachment**

(5014b) If 'Yes' is selected for 5014a, attach a document demonstrating compliance with the 5-year milestone. If 'No' is selected for 5014a, attach an explanation of non-compliance.

Allowable File Types (PDF)

**Actions**

New Attachment

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# FCC Form 481: Phase II Auction Reporting

- Section 6005 collects required data from carriers receiving CAF Phase II Auction support. Only carriers receiving CAF II Auction support will see this section.
- Carriers must indicate whether the Phase II Auction-funded network operated by the carrier in the prior year meets the relevant performance requirements.
- Carriers should select N/A when asked whether they submit bids to provide Category One telecom and/or Internet access services in response to all FCC Form 470 postings for schools or libraries seeking service in census blocks where the carrier receives CAF II Auction support.

FORM 481

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Preview PDF

Form Type 54.313

Filing Number

Status Saved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (6005) Phase II Auction Reporting
- (7005) Phase-Down Support Reporting
- (10005) Rural Digital Opportunity Fund Reporting
- Validate Filing
- Accuracy Certification

(6005) PHASE II AUCTION REPORTING

Instructional Video

Phase II Auction Reporting

(6010) Enter the total amount of Phase II Auction Support, if any, the carrier used for capital expenditures. 33379.56

**Phase II Auction and New York Funds Certification**  
(6011) Certify (either yes or no) regarding whether the recipient has available funds for all project costs that will exceed the amount of support that will be received for the next calendar year. This certification must be provided starting the first July 1st after receiving support until the recipient's penultimate year of support. No

**Phase II Auction Community Anchor Institutions**  
(6012a) Indicate if the carrier newly deployed broadband service to community anchor institution(s) in the previous calendar year. Yes - Attach New Community An

<p><b>(6012b) Please Provide Attachment</b> Using link, download template and list the number, name and address for each community anchor institution. Attach the document which contains the community anchor institution details as required by FCC 14-98 (paragraph 79)</p> <p>Allowable File Types (xslsm) <a href="#">Click to download Excel Template</a></p>	<p>Actions</p>
---	----------------

New Attachment

**Phase II Auction FCC Form 470 Postings**  
(6013) For the filing due July 1 following full implementation of this requirement answer yes, no, or not applicable to this certification request. Yes

**Phase II Auction Post-Final Deployment Milestone Performance Certification**  
(6014) Starting the first July 1st after meeting the final service milestone, certify (yes, no, or not applicable) that the Phase II-funded network that the Phase II auction recipient operated in the prior year meets the relevant performance requirements in § 54.309. Yes

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# FCC Form 481: Phase-Down Support Reporting

- Section 7005 collects required data from carriers receiving Frozen High Cost phase-down support. Only carriers receiving phase-down support will see this section.
- Carriers must indicate whether all phase-down support received in the previous year was used to provide voice service throughout the high-cost and extremely high-cost census blocks where they have Eligible Telecommunications Carrier (ETC) designation. ETCs must provide voice service in high cost areas at rates that are reasonably comparable to rates for similar offerings in urban areas.

FORM 481

Welcome to Online Certification System

Form Type 54,313

Filing Number

Status Saved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (6005) Phase II Auction Reporting
- (7005) Phase-Down Support Reporting
- (10005) Rural Digital Opportunity Fund Reporting
- Validate Filing
- Accuracy Certification

(7005) PHASE-DOWN SUPPORT REPORTING

Instructional Video

(7010) Price Cap Carrier and Fixed Competitive Eligible Telecommunications Carrier Phase-Down support requirement certification

Phase-Down Support Reporting

Required.

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# FCC Form 481: Uniendo a Puerto Rico Fixed and Mobile Funds Certification

- Section 8005 collects required data from carriers receiving Uniendo a Puerto Rico fixed and mobile support. Only carriers receiving Uniendo a PR support will see this section.
- Carriers receiving **fixed** support must:
  - Report how much support was used for capital expenditures.
  - Report whether they met relevant performance requirements in prior year.
  - Select N/A when asked whether they submit bids to provide Category One telecom and/or Internet access services in response to all Form 470 postings for schools or libraries seeking service in census blocks where they receive support.

FORM 481

Welcome to Online Certification System

Preview PDF

Form Type 54.313

Filing Number

Status Saved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (2005) Price Cap Data
- (8005) Uniendo a Puerto Rico Fixed and Mobile Funds Certification
- Validate Filing
- Accuracy Certification

(8005) UNIENDO A PUERTO RICO FIXED AND MOBILE FUNDS CERTIFICATION

Instructional Video

Uniendo a Puerto Rico Stage 2 Fixed - Capital Expenditures

(8010) Enter the total amount of Uniendo a Puerto Rico Stage 2 fixed support, if any, the carrier used for capital expenditures.

Uniendo a Puerto Rico Stage 2 Fixed - Available Funds Certification

(8011) Certify (either yes or no) regarding whether the recipient has available funds for all project costs that will exceed the amount of support that will be received for the next calendar year. This certification must be provided starting the first July 1st after receiving support until the recipient's penultimate year of support.

Uniendo a Puerto Rico Stage 2 Fixed - Community Anchor Institutions

(8012a) Indicate if the carrier newly deployed broadband service to community anchor institution(s) in the previous calendar year.

Uniendo a Puerto Rico Stage 2 Fixed - FCC Form 470 Postings

(8013) For the filing due July 1st following full implementation of this requirement answer yes, no, or not applicable to this certification request.

Uniendo a Puerto Rico Stage 2 Fixed - Post-Final Deployment Milestone Performance Certification

(8014) Starting the first July 1st after meeting the final service milestone, certify (yes or no) that the Uniendo a Puerto Rico Stage 2-funded network that the Stage 2 recipient operated in the prior year meets the relevant performance requirements in § 54.309.

Uniendo a Puerto Rico Stage 2 Fixed - Support Reimbursement Certification

(8020) 54.313(n): Recipients of Uniendo a Puerto Rico Fund Stage 2 fixed support shall certify that such support

# FCC Form 481: Uniendo a Puerto Rico Fixed and Mobile Funds Certification

- Carriers receiving **fixed** support must certify:
  - To the availability of funds.
  - That USF support was not used for costs that are (or will be) reimbursed by other sources of support.
  - That they have conducted an annual review of documentation to determine the need for and/or changes to a carrier's disaster and recovery plan.

**Uniendo a Puerto Rico Stage 2 Fixed - Post-Final Deployment Milestone Performance Certification**  
(8014) Starting the first July 1st after meeting the final service milestone, certify (yes or no) that the Uniendo a Puerto Rico Stage 2-funded network that the Stage 2 recipient operated in the prior year meets the relevant performance requirements in § 54.309.

**Uniendo a Puerto Rico Stage 2 Fixed - Support Reimbursement Certification**

(8020) 54.313(n): Recipients of Uniendo a Puerto Rico Fund Stage 2 fixed support shall certify that such support was not used for costs that are (or will be) reimbursed by other sources of support, including of federal or local government aid or insurance reimbursements; and that support was not used for other purposes, such as the retirement of company debt unrelated to eligible expenditures, or other expenses not directly related to network restoration, hardening, and expansion consistent with the framework of the Uniendo a Puerto Rico Fund.

**Uniendo a Puerto Rico Stage 2 Fixed - Disaster Preparedness and Response Documentation**

(8030) 54.313(n): Recipients of fixed support from Stage 2 of the Uniendo a Puerto Rico Fund shall certify that they have conducted an annual review of the documentation required by section 54.1515(a)-(c) to determine the need for and to implement changes or revisions to disaster preparation and recovery documentation.

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# FCC Form 481: Uniendo a Puerto Rico Fixed and Mobile Funds Certification

- Carriers receiving **mobile** support must certify :
  - That USF support was not used for costs that are (or will be) reimbursed by other sources of support or used contrary to FCC Rules.
  - That they have conducted an annual review of documentation to determine the need for and/or changes to a carrier's disaster and recovery plan.
  - That they are in compliance with all FCC requirements to continue receiving Stage 2 disbursements.

FORM 481

Welcome to Online Certification System

Preview PDF

Form Type 54.313

Filing Number

Status Saved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (8005) Uniendo a Puerto Rico Fixed and Mobile Funds Certification
- Validate Filing
- Accuracy Certification

(8005) UNIENDO A PUERTO RICO FIXED AND MOBILE FUNDS CERTIFICATION

Instructional Video

Uniendo a Puerto Rico Stage 2 Mobile - Support Reimbursement

(8040) 54.313(n): Recipients of Uniendo a Puerto Rico Fund Stage 2 mobile support shall certify that such support was not used for costs that are (or will be) reimbursed by other sources of support, including of federal or local government aid or insurance reimbursements; and that support was not used for other purposes, such as the retirement of company debt unrelated to eligible expenditures, or other expenses not directly related to network restoration, hardening, and expansion consistent with the framework of the Uniendo a Puerto Rico Fund.

Uniendo a Puerto Rico Stage 2 Mobile - Disaster Preparedness and Response Documentation

(8050) 54.313(n): Recipients of mobile support from Stage 2 of the Uniendo a Puerto Rico Fund shall certify that they have conducted an annual review of the documentation required by section 54.1515(a)-(c) to determine the need for and to implement changes or revisions to disaster preparation and recovery documentation.

Uniendo a Puerto Rico Stage 2 Mobile - Mobile Disbursements Certification

(8060) 54.313(o): Recipients of Uniendo a Puerto Rico Fund Stage 2 mobile support shall certify that they are in compliance with all requirements for receipt of such support to continue receiving Stage 2 mobile disbursements.

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# FCC Form 481: Connect USVI Fixed and Mobile Funds Certification

- Section 9005 collects required data from carriers receiving Connect USVI fixed and mobile support. Only carriers receiving Connect USVI support will see this section.
- Carriers receiving **fixed** support must report:
  - The amount of support used for capital expenditures and availability of funds
  - Whether they met relevant performance requirements in the prior year.
  - Whether they provide service to community anchor institutions.
- Carriers receiving **fixed** support should select N/A when asked if they submit bids to provide Category One telecom and/or Internet access services in response to all Form 470 postings for schools or libraries seeking service in census blocks where they receive support.

FORM 481

Welcome to Online Certification System

Preview PDF

Form Type 54.313

Filing Number

Status Saved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (9005) Connect USVI Fixed and Mobile Funds Certification
- Validate Filing
- Accuracy Certification

(9005) CONNECT USVI FIXED AND MOBILE FUNDS CERTIFICATION

Instructional Video

Connect USVI Stage 2 Fixed - Capital Expenditures

(9010) Enter the total amount of Connect USVI Fund Stage 2 fixed support, if any, the carrier used for capital expenditures.

Connect USVI Stage 2 Fixed - Available Funds Certification

(9011) Certify (either yes or no) regarding whether the recipient has available funds for all project costs that will exceed the amount of support that will be received for the next calendar year. This certification must be provided starting the first July 1st after receiving support until the recipient's penultimate year of support.

Connect USVI Stage 2 Fixed - Community Anchor Institutions

(9012a) Indicate if the carrier newly deployed broadband service to community anchor institution(s) in the previous calendar year.

Connect USVI Stage 2 Fixed - FCC Form 470 Postings

(9013) For the filing due July 1st following full implementation of this requirement answer yes, no, or not applicable to this certification request.

Connect USVI Stage 2 Fixed - Post-Final Deployment Milestone Performance Certification

(9014) Starting the first July 1st after meeting the final service milestone, certify (yes or no) that the Connect USVI Fund Stage 2-funded network that the Stage 2 recipient operated in the prior year meets the relevant performance requirements in § 54.309.

Connect USVI Stage 2 Fixed - Support Reimbursement Certification

(9020) 54.313(n): Recipients of Connect USVI Fund Stage 2 fixed support shall certify that such support was not used for costs that are (or will be) reimbursed by other sources of support, including of federal or local government aid or insurance reimbursements; and that support was not used for other purposes, such as the retirement of company debt unrelated to eligible expenditures, or other expenses not directly related to network restoration, hardening, and expansion consistent with the framework of the Connect USVI Fund.

Connect USVI Stage 2 Fixed - Disaster Preparedness and Response Documentation

(9030) 54.313(n): Recipients of fixed support from Stage 2 of the Connect USVI Fund shall certify that they have conducted an annual review of the documentation required by section 54.1515(a)-(c) to determine the need for and to implement changes or revisions to disaster preparation and recovery documentation.

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# FCC Form 481: Connect USVI Fixed and Mobile Funds Certification

- Carriers receiving **fixed** support must certify:
  - That USF support was not used for costs that are (or will be) reimbursed by other sources of support and that support was not used for other purposes not directly related to network restoration, hardening and expansion consistent with the framework of the Connect USVI Fund.
  - That they have conducted an annual review of documentation to determine the need for and/or changes to a carrier's disaster and recovery plan.

FORM 481

Welcome to Online Certification System

Preview PDF

Form Type 54.313

Filing Number

Status Saved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (9005) Connect USVI Fixed and Mobile Funds Certification
- Validate Filing
- Accuracy Certification

(9005) CONNECT USVI FIXED AND MOBILE FUNDS CERTIFICATION

Instructional Video

Connect USVI Stage 2 Fixed - Capital Expenditures

(9010) Enter the total amount of Connect USVI Fund Stage 2 fixed support, if any, the carrier used for capital expenditures.

Connect USVI Stage 2 Fixed - Available Funds Certification

(9011) Certify (either yes or no) regarding whether the recipient has available funds for all project costs that will exceed the amount of support that will be received for the next calendar year. This certification must be provided starting the first July 1st after receiving support until the recipient's penultimate year of support.

Connect USVI Stage 2 Fixed - Community Anchor Institutions

(9012a) Indicate if the carrier newly deployed broadband service to community anchor institution(s) in the previous calendar year.

Connect USVI Stage 2 Fixed - FCC Form 470 Postings

(9013) For the filing due July 1st following full implementation of this requirement answer yes, no, or not applicable to this certification request.

Connect USVI Stage 2 Fixed - Post-Final Deployment Milestone Performance Certification

(9014) Starting the first July 1st after meeting the final service milestone, certify (yes or no) that the Connect USVI Fund Stage 2-funded network that the Stage 2 recipient operated in the prior year meets the relevant performance requirements in § 54.309.

Connect USVI Stage 2 Fixed - Support Reimbursement Certification

(9020) 54.313(n): Recipients of Connect USVI Fund Stage 2 fixed support shall certify that such support was not used for costs that are (or will be) reimbursed by other sources of support, including of federal or local government aid or insurance reimbursements; and that support was not used for other purposes, such as the retirement of company debt unrelated to eligible expenditures, or other expenses not directly related to network restoration, hardening, and expansion consistent with the framework of the Connect USVI Fund.

Connect USVI Stage 2 Fixed - Disaster Preparedness and Response Documentation

(9030) 54.313(n): Recipients of fixed support from Stage 2 of the Connect USVI Fund shall certify that they have conducted an annual review of the documentation required by section 54.1515(a)-(c) to determine the need for and to implement changes or revisions to disaster preparation and recovery documentation.

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# FCC Form 481: Connect USVI Fixed and Mobile Funds Certification

- Carriers receiving **mobile** support must certify:
  - That USF support was not used for costs that are (or will be) reimbursed by other sources of support or used contrary to FCC Rules.
  - That they have conducted an annual review of documentation to determine the need for and/or changes to a carrier's disaster and recovery plan.
  - That they are in compliance with all FCC requirements to continue receiving Stage 2 mobile disbursements.

FORM 481

Welcome to Online Certification System

Preview PDF

Form Type 54.313

Filing Number

Status Saved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (9005) Connect USVI Fixed and Mobile Funds Certification
- Validate Filing
- Accuracy Certification

(9005) CONNECT USVI FIXED AND MOBILE FUNDS CERTIFICATION

Instructional Video

Connect USVI Stage 2 Mobile - Support Reimbursement

(9040) 54.313(n): Recipients of Connect USVI Fund Stage 2 mobile support shall certify that such support was not used for costs that are (or will be) reimbursed by other sources of support, including of federal or local government aid or insurance reimbursements; and that support was not used for other purposes, such as the retirement of company debt unrelated to eligible expenditures, or other expenses not directly related to network restoration, hardening, and expansion consistent with the framework of the Connect USVI Fund.

Connect USVI Stage 2 Mobile - Disaster Preparedness and Response Documentation

(9050) 54.313(n): Recipients of mobile support from Stage 2 of the Connect USVI Fund shall certify that they have conducted an annual review of the documentation required by section 54.1515(a)-(c) to determine the need for and to implement changes or revisions to disaster preparation and recovery documentation.

Connect USVI Stage 2 Mobile - Mobile Disbursements Certification

(9060) 54.313(o): Recipients of Connect USVI Fund Stage 2 mobile support shall certify that they are in compliance with all requirements for receipt of such support to continue receiving Stage 2 mobile disbursements.

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47

# FCC Form 481: Rural Digital Opportunity Fund Reporting

- Section 10005 collects information from Rural Digital Opportunity Fund (RDOF) recipients. Only carriers receiving RDOF support will see this section.
  - RDOF carriers authorized for support in 2021 must file Form 481 this year.
- RDOF participants must report:
  - Total amount of support used for capital expenditures.
  - Availability of funds.
  - Whether they provide service to community anchor institutions.
- Carriers should select N/A when asked whether they submit bids to provide Category One telecom and/or Internet access services in response to all FCC Form 470 postings for schools or libraries seeking service in census blocks where they receive RDOF support.

FORM 481

Welcome to Online Certification System

Preview PDF

Form Type 54.313

Filing Number

Status Saved Not Certified

- Carrier Contact Information
- (600) Functionality in Emergency Situations
- (800) Operating Companies
- (900) Tribal Lands Reporting
- (1000) Voice and Broadband Service Rate Comparability
- (1100) Terrestrial Backhaul Reporting
- (6005) Phase II Auction Reporting
- (7005) Phase-Down Support Reporting
- (10005) Rural Digital Opportunity Fund Reporting
- Validate Filing
- Accuracy Certification

(10005) RURAL DIGITAL OPPORTUNITY FUND REPORTING

Instructional Video

RDOF Capital Expenditures

(10010) Starting the first July 1st after receiving support until the July 1st after the recipient's support term has ended, recipients of Rural Digital Opportunity Fund support must submit the total amount of support, if any, the recipient used for capital expenditures in the previous calendar year. This is required by 47 C.F.R. § 54.313(e)(2)(i)(B).

1074.14

RDOF Available Funds Certification

(10011) Please provide a response (either yes or no) to this certification request for any recipient of Rural Digital Opportunity Fund support that the recipient has available funds for all project costs that will exceed the amount of support that will be received for the next calendar year. This certification must be provided starting the first July 1st after receiving support until the recipient's penultimate year of support, as required by required by 47 C.F.R. § 54.313(e)(2)(ii).

RDOF Community Anchor Institutions

(10012a) Recipients of Rural Digital Opportunity Fund support must attach a list containing the number, names, and addresses of community anchor institutions to which the eligible telecommunications carrier newly began providing access to broadband service in the preceding calendar year. This filing is required by 47 C.F.R. § 54.313(e)(2)(i)(A).

RDOF FCC Form 470 Postings



# **FCC Form 481: Resources**

# Form 481 Resources

- Resources to help carriers complete Form 481 include:
  - User Guide
  - Filing Videos
  - Filing Guide
  - Upload Templates

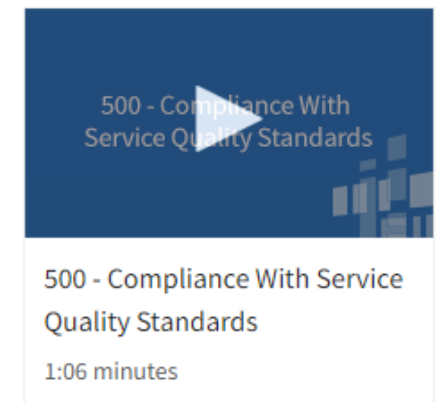
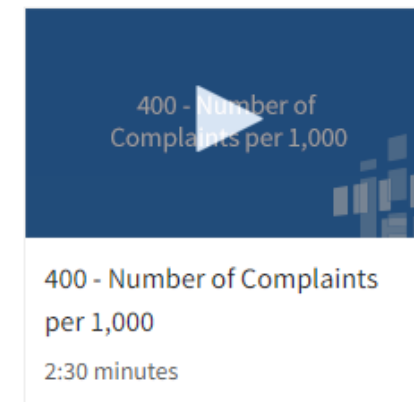
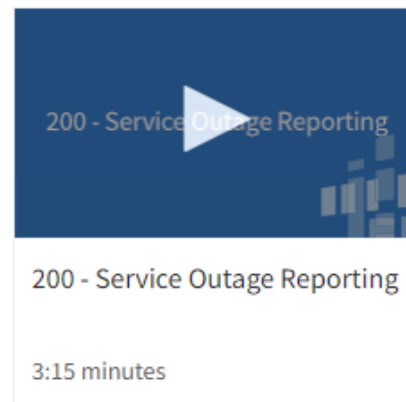
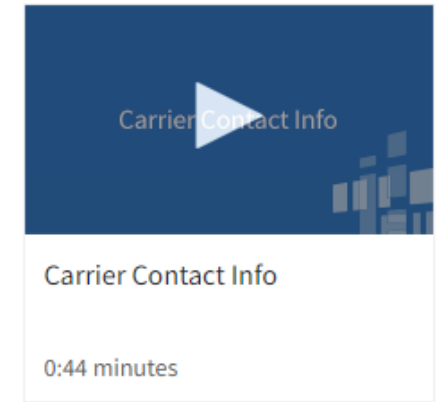
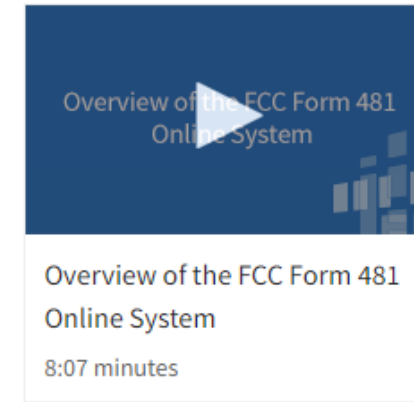
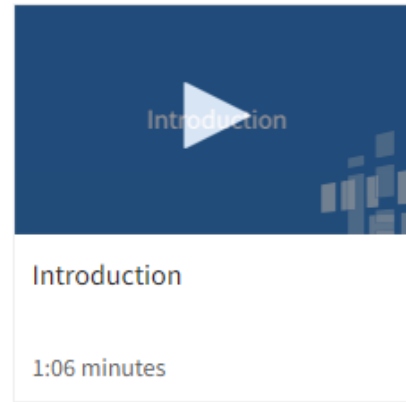
**[www.usac.org/high-cost/resources/forms-and-filing-deadlines](http://www.usac.org/high-cost/resources/forms-and-filing-deadlines)**

# Form 481 Resources: User Guide

- Steps to start a new filing or complete a pre-existing filing
- Information on how to populate the form, including:
  - Overview of each line item and possible answers
  - Instructions on how to attach files and upload data using upload templates
  - Explanation of how to manually populate the dynamic tables
- Instructions on how to certify a filing

# Form 481 Resources: Filing Videos

- Instructional videos for each section of Form 481.
- Videos are also embedded throughout the Form 481 itself.



# Form 481 Resources: Filing Guide

- The Filing Guide provides notes and associated rules for each section.

481 Section & Issue	High Cost Program Rule	Notes	Lifeline Program Rule	Lifeline Program Filers
Section 600: Emergency certification	54.313(a)(1)	Certify for voice and for broadband service	54.422(b)(4)	54.422 only filings where Lifeline ETC is FCC-designated
Section 1000: Voice and Broadband Service Rate Comparability	54.313(a)(2) and (a)(3)	Certify that voice and broadband rates are reasonably comparable. Identify most recent wireline survey (see Section 1000 in FAQ for details).	N/A	N/A

# Form 481 Resources: Upload Templates

- Use templates to upload data in the dynamic tables. Data can also be added manually.
  - Rate of Return carriers may use the 3005 template to submit financial data, but this is not required.
- [200 Service Outages Upload Template](#) 
  - [800 Operating Company Upload Template](#) 
  - [3005 Rate of Return Data Upload Template](#) 
  - [Community Anchor Institution Upload Template](#) 
  - [5005 Alaska Plan Upload Template](#) 

# Form 481 Resources: High Cost Support Center

1-844-357-0408

[HCQuestions@USAC.org](mailto:HCQuestions@USAC.org)

**Questions?**





**Universal Service**  
Administrative Co.