

# Schools and Libraries (E-Rate) Program Service Provider Identification Number (SPIN) Change Submission Guide

(Funding Year 2016 and Forward)

May 2025



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# **SPIN Change Overview**

- A SPIN change is a change in the service provider or service provider identification number (SPIN) specified on the FCC Form 471. A SPIN is a unique number identifying a service provider in the E-Rate Productivity Center (EPC). The SPIN is also known as a service provider's 498 ID.
- A SPIN change may either be a corrective SPIN change or an operational SPIN change.
- A **corrective SPIN change** request is a notification to USAC that the SPIN associated with a Funding Request Number (FRN) is not correct.
  - o The wrong service provider was entered on the original FRN due to a data entry error.
  - The service provider was acquired by another provider.
  - o The service provider has consolidated multiple SPINs into a single SPIN.
  - o The service provider requests to change a SPIN to an affiliated SPIN.
- An **operational SPIN change** is a request to change the actual service provider associated with an FRN. The change in service providers is the result of a deliberate decision by the applicant because the current service provider can no longer provide the services requested or for other reasons such as:
  - o The quality of service is unacceptable.
  - The service provider has gone out of business.
  - The service provider is not able to deliver the terms of the contract.

#### When to File

- You must file no earlier than the date of your Receipt Acknowledgement Letter (RAL) and no later than an FRN's Invoice Deadline Date (IDD), the last date to submit invoices.
- You will receive the RAL in your EPC News Feed after certifying the FCC Form 471. You can submit a corrective SPIN change request through the RAL modification process while your FCC Form 471 is still under review. Review the FCC Form 471 Receipt Acknowledgment Letter Modification Guide for more information on this process.



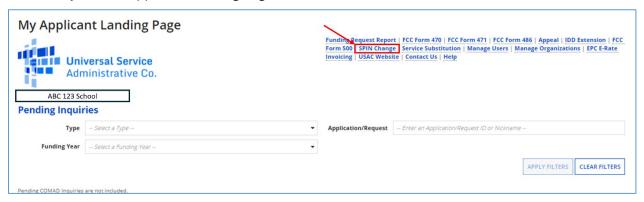
# Additional Notes on SPIN Changes

- If you decide to submit a SPIN change request, you must also comply with the FCC Form 486 (Receipt of Service Confirmation and Children's Internet Protection Act Certification Form) filing deadline.
- If you file a SPIN change near an FRN's IDD, you are encouraged to request an Invoice Deadline Extension that extends the FRN's IDD while the SPIN change is being processed.
- You cannot file a SPIN change for an FRN that was previously denied. The denial of an FRN must be addressed through filing an appeal.
- For corrective SPIN changes involving a merger or acquisition of service providers, include supporting documentation showing the merger or acquisition that occurred.
- For operational SPIN changes, you are required to provide a legitimate operational SPIN change reason, supporting documentation for the SPIN change reason, and a vendor evaluation matrix showing results of your competitive bidding process, among other requirements. To learn more about operational SPIN changes and their requirements, visit <a href="https://www.usac.org/e-rate/applicant-process/before-youre-done/spin-changes/operational-spin-changes/">https://www.usac.org/e-rate/applicant-process/before-youre-done/spin-changes/operational-spin-changes/</a>.
- Approved SPIN change requests are capped at the original funding commitment amount previously funded on the FCC Form 471.
- In general, applicants file SPIN change requests. Service providers may implement Global Corrective SPIN changes as the result of mergers, acquisitions, or SPIN consolidations by updating their FCC Form 498 with USAC. For guidance, the service provider can call USAC Customer Support at (888) 641-8722, option 4.



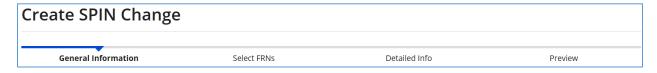
# Start the SPIN Change Filing Process

1) Begin the process of filing your SPIN change request by clicking "SPIN Change" in the top-right of your EPC Applicant Landing Page.



2) Review sections below for specific navigation steps to file your Corrective or Operational SPIN change request.

# Sections to Complete to file a SPIN Change



When filing your Corrective or Operational SPIN change, provide and review information in the following 4 sections of the online form:

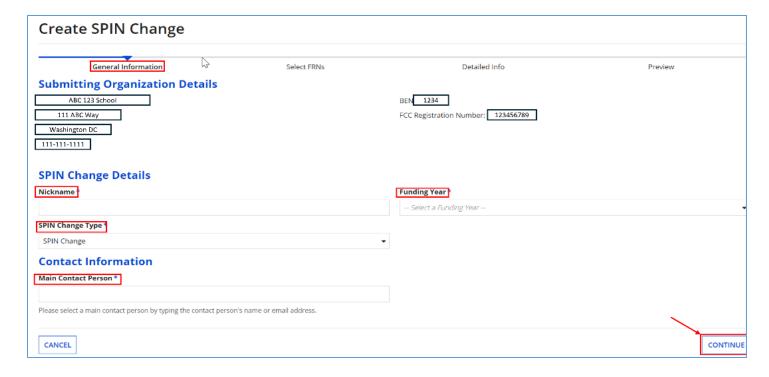
- **General Information**: Include the SPIN change request nickname, select the relevant Funding Year **(FY)** of the FRN(s), indicate the SPIN change type that can be either SPIN Change or State Replacement Contract **(SRC)** SPIN change, and enter the Main Contact Person for your entity.
- **Select FRNs**: Associate the FRN(s) with your SPIN change.
- Detailed Info: Select the reason that requires you to file a SPIN change, enter the new SPIN for the FRN(s), and answer whether your current service provider delivered services on the FRN(s).
- **Preview**: Review the information you included before filing your SPIN change.



# Scenario #1: File a Corrective SPIN Change

#### **Provide General Information**

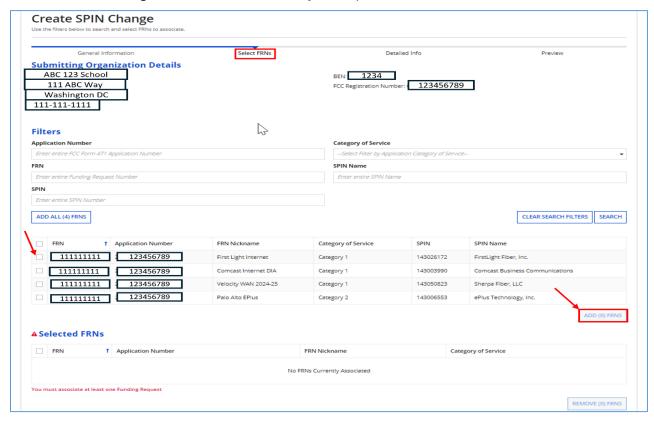
- 1) Confirm that your "Submitting Organization Details" are correct. They appear at the top of the form in the top-left and right-of-center.
- 2) Provide information in the following fields under "SPIN Change Details":
  - a. Nickname: Enter the SPIN change request nickname.
  - b. Funding Year: Select the relevant FY of the FRN(s) you wish to include.
  - c. SPIN Change Type: Indicate if your request is a SPIN Change or SRC SPIN Change.
- 3) In the "Contact Information" section, enter the Main Contact Person for your entity.
- 4) In the bottom-right corner, click **Continue** to move forward to the "Select FRNs" page.





# Select the FRN(s)

1) On the "Select FRNs" page, check the box(es) next to the FRN(s) in the "Filters" section that you wish to associate with your SPIN change. After checking the box(es), click "Add FRNs" in the bottom-right to include the FRN(s) in your request.



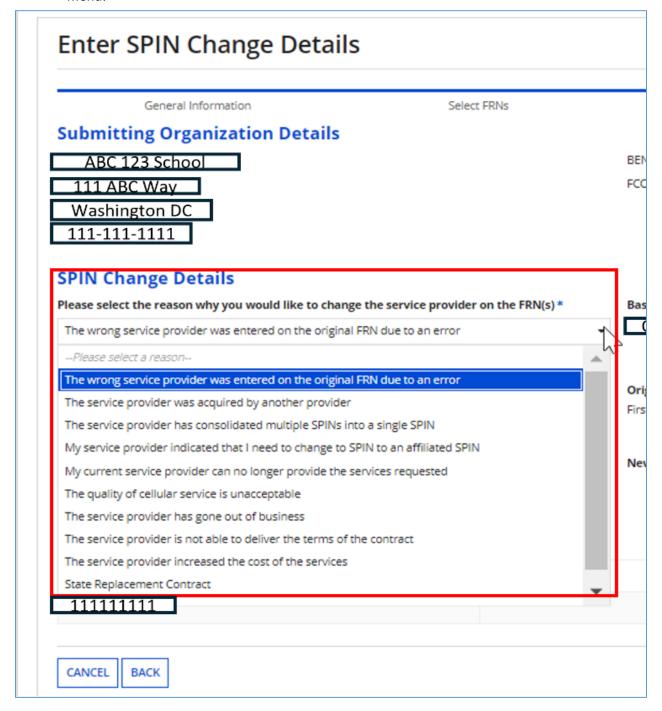
2) After you associate FRN(s) with your SPIN change, the "Selected FRNs" grid displays the associated FRN(s) as reflected in the screenshot below. In the bottom-right corner, click **Continue** to move forward to the "Detailed Info" page.





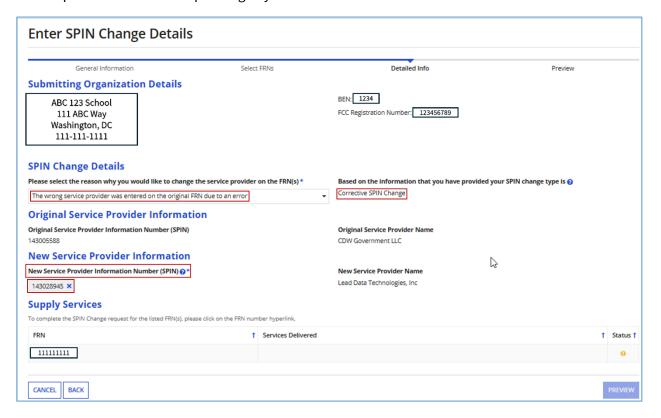
#### Indicate Your SPIN Change Reason and Requested, New SPIN

1) On the "Detailed Info" page, select the SPIN change request reason from the drop-down menu.





2) Under the "New Service Provider Information (SPIN)" section near the bottom-left of the "Detailed Info" page, enter your requested, new SPIN. After you enter your new SPIN, the "New Service Provider Name" field near the bottom-right of the page displays the service provider name corresponding to your new SPIN.



Next, in the "Supply Services" section near the bottom of the page, click the blue hyperlink under the "FRN" column to answer additional questions and provide more information regarding your SPIN change request.

Note that in the "Supply Services" section under the "Status" column in the bottom-right of the screenshot above, a yellow exclamation mark appears because the applicant has not yet outlined their requested changes.

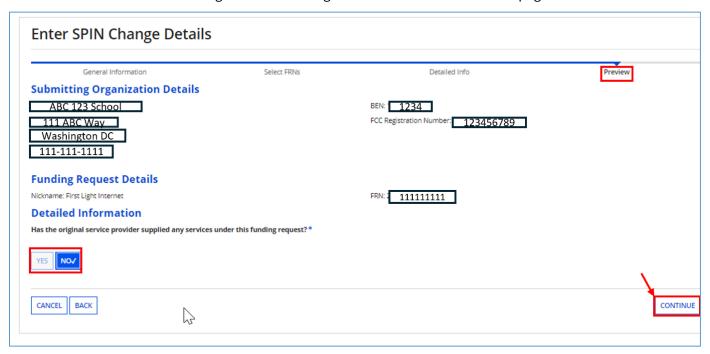


# Provide Information in the "Supply Services" Section

1) After clicking the blue hyperlink on the previous screen, answer the following question regarding the service provider listed on the approved FCC Form 471 for the selected FRN:

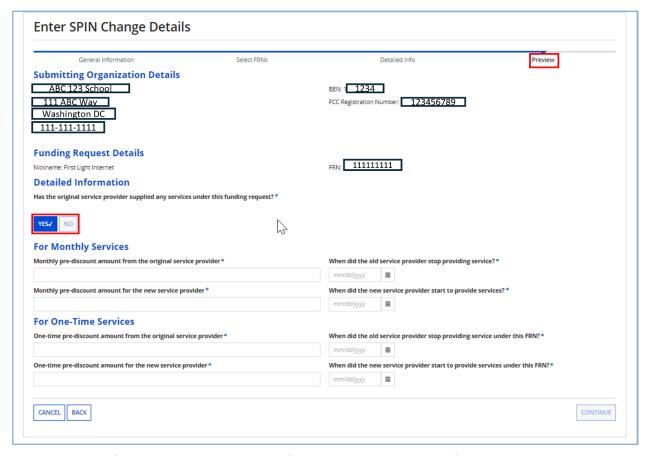
Has the original service provider supplied any services under this funding request?

2) If you answer "No" to this question, you do not need to provide additional information. Click **Continue** in the bottom-right corner to navigate back to the "Detailed Info" page.



3) Note that if you answer "Yes" to this question, the screen pictured below will display, asking you to provide the pre-discount Monthly and One-Time Eligible Charges, and the Service Start Dates (SSD) and Service End Dates (SED) for your original and new SPIN. This information is used to define the service periods for each service provider.





The meanings of the "For Monthly Services" fields shown in the center of the screenshot above are the following:

- Monthly pre-discount amount from the original service provider: Enter the Monthly Recurring Eligible Charges for the original SPIN approved on your FCC Form 471.
- When did the old service provider stop providing service?: Enter the SED of the original SPIN
- Monthly pre-discount amount for the new service provider: Enter the Monthly Recurring Eligible Charges for your requested, new SPIN.
- When did the new service provider start to provide services?: Enter the SSD of your new SPIN.

The meanings of the "For One-Time Services" fields shown near the bottom of the screenshot above are the following:

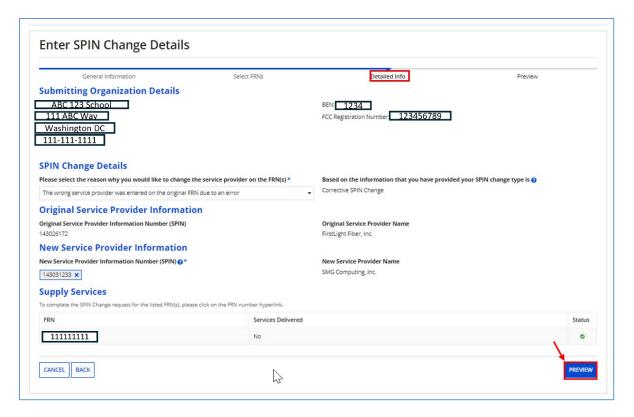
- One-time pre-discount amount from the original service provider: Enter the One-Time Eligible Charges for the original SPIN approved on your FCC Form 471.
- When did the old service provider stop providing service under this FRN?: Enter the SED of the original SPIN.



- One-time pre-discount amount for the new service provider: Enter the One-Time Eligible Charges for your requested, new SPIN.
- When did the new service provider start to provide services under this FRN?: Enter the SSD of your new SPIN.

Click **Continue** in the bottom-right corner to navigate back to the "Detailed Info" page.

4) After you provide information in the "Supply Services" section, click **Preview** in the bottom-right corner of the "Detailed Info" page to move forward to the "Preview" page.

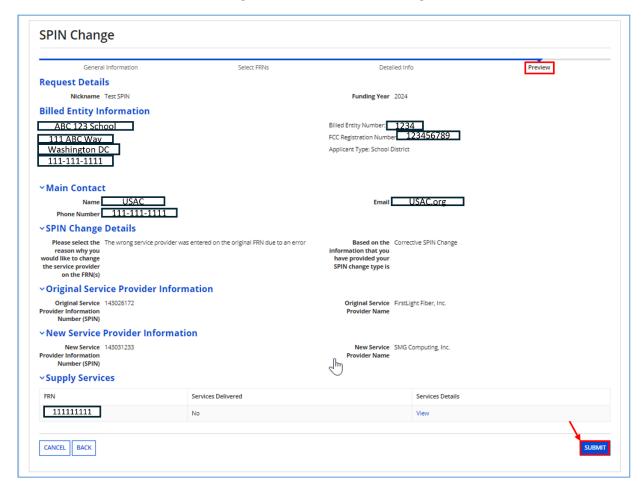


## Review the "Preview" Page Before Filing

1) On the SPIN change "Preview" page, review the information you provided on the previous 4 pages. After you confirm that this information is correct, click **Submit** in the bottom-right corner to file your SPIN change.

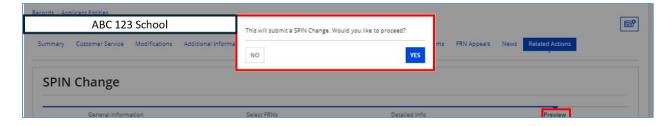


An example of the "Preview" page for a Corrective SPIN Change is shown below.



2) Before filing your Corrective SPIN change, provide an answer to the following prompt:

This will submit a SPIN Change. Would you like to proceed?



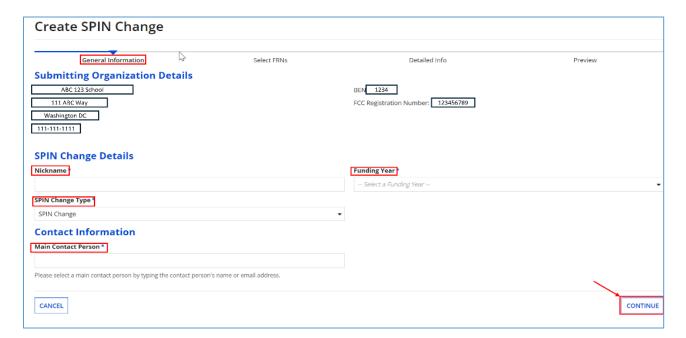
Clicking "No" returns to the "Preview" page. Clicking "Yes" will file your SPIN change.



# Scenario #2: File an Operational SPIN Change

#### **Provide General Information**

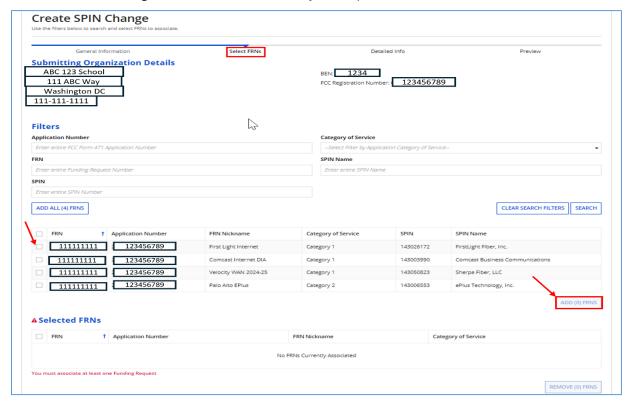
- 1) Confirm that your "Submitting Organization Details" are correct. They appear at the top of the form in the top-left and right-of-center.
- 2) Provide information in the following fields under "SPIN Change Details":
  - a. Nickname: Enter the SPIN change request nickname.
  - b. Funding Year: Select the relevant FY of the FRN(s) you wish to include.
  - c. SPIN Change Type: Indicate if your request is a SPIN Change or SRC SPIN Change.
- 3) In the "Contact Information" section, enter the Main Contact Person for your entity.
- 4) In the bottom-right corner, click "Continue" to move forward to the "Select FRNs" page.





# Select the FRN(s)

1) On the "Select FRNs" page, check the box(es) next to the FRN(s) in the "Filters" section that you wish to associate with your SPIN change. After checking the box(es), click "Add FRNs" in the bottom-right to include the FRN(s) in your request.



2) After you associate FRN(s) with your SPIN Change, the "Selected FRNs" grid displays the associated FRN(s) as reflected in the screenshot below.

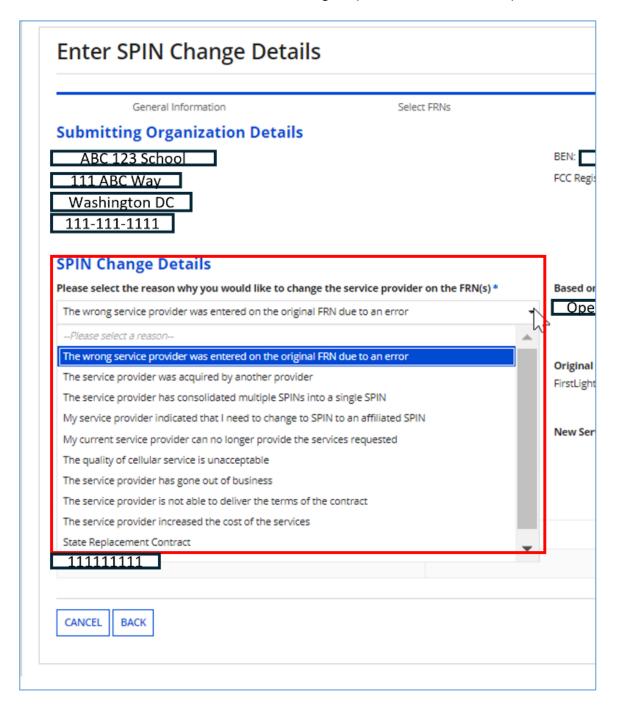
In the bottom-right corner, click **Continue** to move forward to the "Detailed Info" page.





## **Provide Detailed Information**

1) On the "Detailed Info" page, under the "SPIN Change Details" section shown near the center of the screenshot below, select the SPIN change request reason from the drop-down.

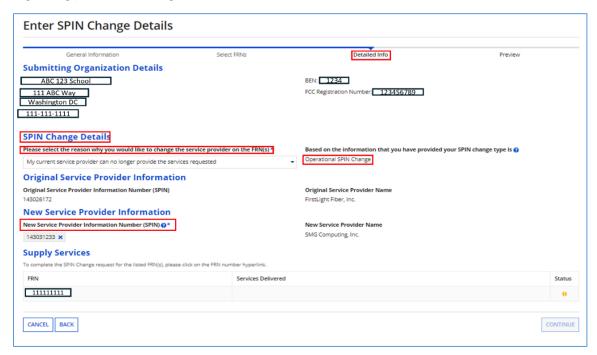




2) After selecting the SPIN change reason, the SPIN change type appears on the "Detailed Info" page, shown in the center-right of the screenshot below.

Under the "New Service Provider Information" section near the bottom-left of the "Detailed Info" page, enter your requested, new SPIN. After you enter your new SPIN, the "New Service Provider Name" field near the bottom-right of the page displays the service provider name corresponding to your new SPIN.

Next, in the "Supply Services" section near the bottom of the page, click the blue hyperlink under the "FRN" column to answer additional questions and provide more information regarding your SPIN change request.



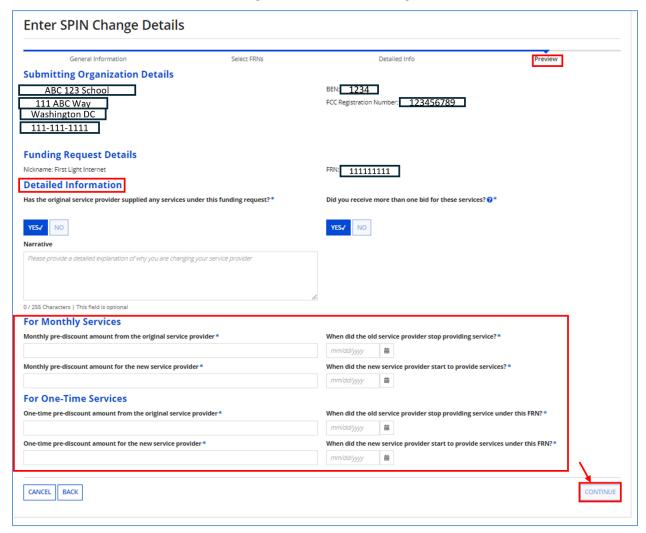
Note that in the "Supply Services" section under the "Status" column in the bottom-right of the screenshot above, a yellow exclamation mark appears because the applicant has not yet outlined their requested changes.

## Provide Information in the "Supply Services" Section

- 1) After clicking the blue hyperlink on the previous screen, answer the following questions:
  - Has the original service provider supplied any services under this funding request?
  - Did you receive more than one bid for these services?



These questions ask whether your original service provider delivered services on the FRN for which you are completing the "Supply Services" section, and if you received more than one bid from service providers during the competitive bidding process.



2) Note that if you answer "Yes" to this question, the screen pictured below will display, asking you to provide the pre-discount Monthly and One-Time Eligible Charges, and the Service Start Dates (SSD) and Service End Dates (SED) for your original and new SPIN. This information is used to define the service periods for each service provider.

The meanings of the "For Monthly Services" fields shown in the center of the screenshot above are the following:

 Monthly pre-discount amount from the original service provider: Enter the Monthly Recurring Eligible Charges for the original SPIN approved on your FCC Form 471.



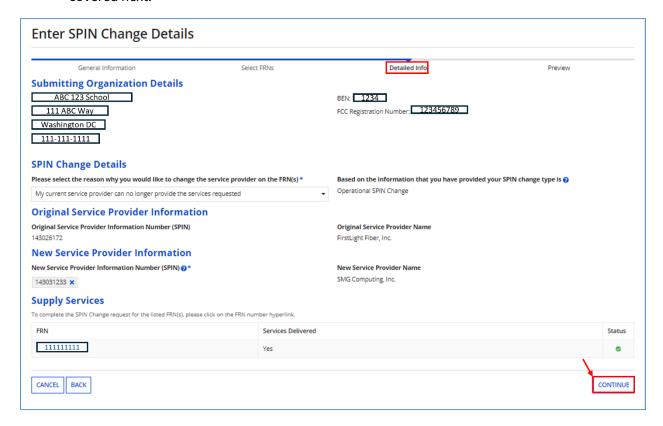
- When did the old service provider stop providing service?: Enter the SED of the original SPIN.
- Monthly pre-discount amount for the new service provider: Enter the Monthly Recurring Eligible Charges for your requested, new SPIN.
- When did the new service provider start to provide services?: Enter the SSD of your new SPIN.

The meanings of the "For One-Time Services" fields shown near the bottom of the screenshot above are the following:

- One-time pre-discount amount from the original service provider: Enter the One-Time Eligible Charges for the original SPIN approved on your FCC Form 471.
- When did the old service provider stop providing service under this FRN?: Enter the SED of the original SPIN.
- o **One-time pre-discount amount for the new service provider**: Enter the One-Time Eligible Charges for your requested, new SPIN.
- When did the new service provider start to provide services under this FRN?: Enter the SSD of your new SPIN.
  - If you answer "No" to the first question (i.e., has your original service provider supplied any services under this FRN?), the "For Monthly Services" and "For One-Time Services" sections will not appear.
- 3) For the second question (i.e., did you receive more than one bid for these services?), if you answer "Yes", you must provide competitive bidding documentation (i.e., bid matrix) showing that the newly selected service provider received the next highest point value in the original bid evaluation. You can upload documents at the bottom of the "Additional Questions" page in the "Supporting Documentation" section (see below).
- 4) Provide an optional SPIN change narrative and click **Continue** in the bottom-right corner to navigate back to the "Detailed Info" page.



5) After providing information in the "Supply Services" section, click "Continue" in the bottomright corner of the "Detailed Info" page to move forward to the "Additional Questions" page, covered next.

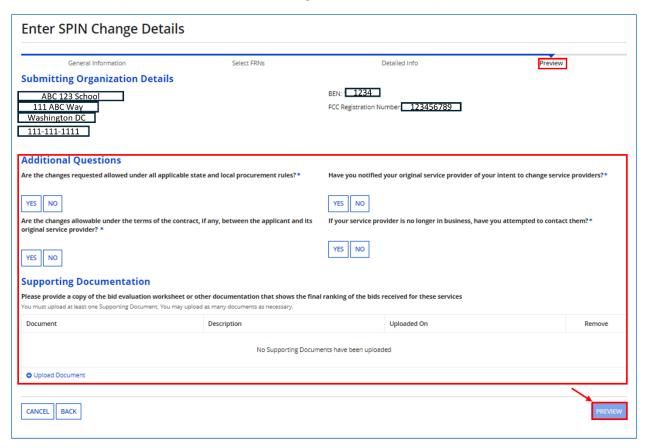


## **Answer Certification Questions**

- 1) Only for Operational SPIN changes, click "Yes" or "No" on the "Additional Questions" page to make your certifications to the following 4 questions covered on Page #4 of this user guide:
  - Are the changes requested allowed under all applicable state and local procurement rules?
  - Are the changes allowable under the terms of the contract, if any, between the applicant and its original service provider?
  - Have you notified your original service provider of your intent to change service providers?



o If your service provider is no longer in business, have you attempted to contact them?



- 2) You must answer all four questions to move forward.
- 3) You can optionally upload documents at the bottom of the "Additional Questions" page in the "Supporting Documentation" section.

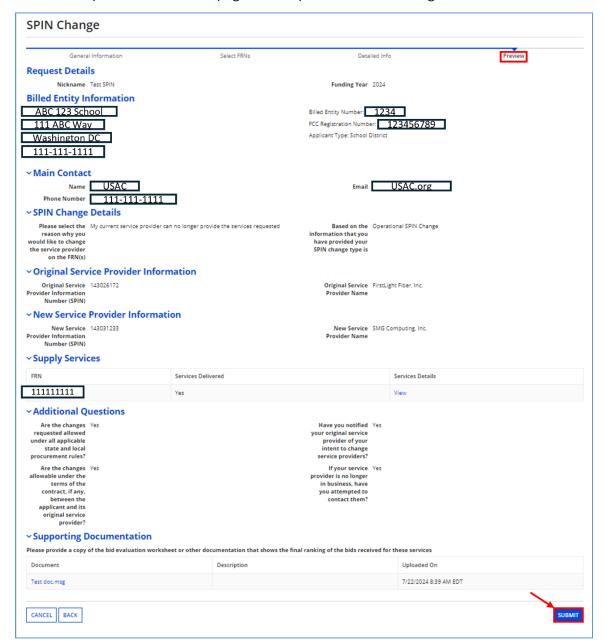
Examples of supporting documents include your competitive bidding worksheet, documents from your service provider, and an explanation of your request. Click **Preview** in the bottom-right corner to move forward to the "Preview" page, covered next.

## Review the "Preview" Page Before Filing

1) On the SPIN change "Preview" page, review the information you provided on the previous 4 pages. After you confirm that this information is correct, click **Submit** in the bottom-right corner to file your SPIN change.



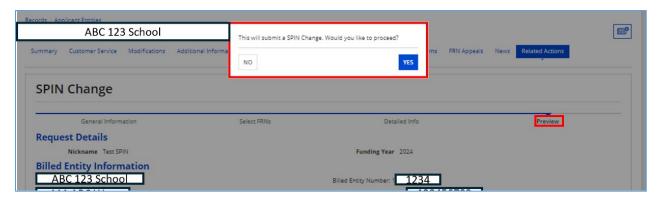
An example of the "Preview" page for an Operational SPIN change is shown below.



2) Before filing your Operational SPIN change, provide an answer to the following prompt:



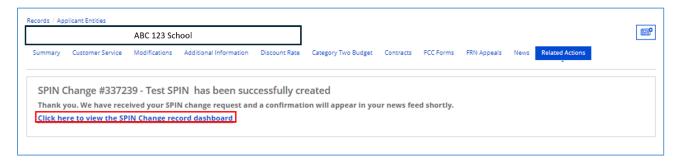
# This will submit a SPIN Change. Would you like to proceed?



Clicking "No" returns to the "Preview" page. Clicking "Yes" will file your SPIN change.

# After Filing Your SPIN Change

• The next screen confirms your filing and provides your request number. Click the blue hyperlink shown in the screenshot below to move forward to your SPIN change request's "Summary" page.





# **SPIN Change Decisions**

For FY2016 and forward, USAC issues you and your service provider a Revised Funding Commitment Decision Letter (RFCDL) after your SPIN change is processed. You can access your SPIN change RFCDL in your EPC News Feed.

On your RFCDL, the FRN(s) on your SPIN change may receive one of the following decisions that are explained below:

- **Approved**: Your requested modification(s) to the FRN(s) on the SPIN change was made and your request is approved.
- **Canceled**: You requested to cancel your SPIN change, and this request was approved.
- **Dismissed**: Your requested modification(s) to the FRN(s) on the SPIN change was not made because of a specific reason. For example, the SPIN change request in question is a duplicate of another SPIN change that was previously approved or denied.
- **Denied**: The request to change the SPIN is not allowable. Refer to the rationale of a specific FRN for the details of the denial.
- **Partially Approved**: A portion of the request was approved. For example, one FRN on your SPIN change request received an "Approved" decision while another FRN has a "Dismissed" decision. Review the SPIN change RFCDL in detail to view the decision for each FRN.

You may appeal USAC's decision on your SPIN change. You must first file an appeal with USAC and obtain USAC's decision before appealing to the FCC. If USAC denies your appeal, you may then appeal the decision to the FCC.