

**Universal Service for Schools and Libraries
Funding Commitment Adjustment Request Form**

**Instructions for Completing the
Universal Service for Schools and Libraries
Funding Commitment Adjustment Request Form**

This form is used ONLY to adjust service start dates, contract expiration dates, request service delivery extensions for non-recurring services, cancel or reduce funding commitments and/or notify the Universal Service Administrative Company (USAC) about equipment transfers within three (3) years due to permanent or temporary entity closures. Notes: You are still required to file an FCC Form 486, Receipt of Service Confirmation Form, to notify USAC that your service provider(s) are permitted to begin submitting invoices to USAC. For funding year 2016 and later, applicants must file the online version of the FCC Form 500 in USAC's portal. For FY2015 and earlier, upload a completed copy of the FCC Form 500 posted on USAC's website into USAC's portal. See <http://usac.org/sl/tools/apply-to-erate/default.aspx> for further instructions and a link to the portal.

FCC NOTICE FOR INDIVIDUALS REQUIRED BY THE PRIVACY ACT AND THE PAPERWORK REDUCTION ACT

Part 54 of the Commission's Rules authorizes the FCC to collect the information on this form. No authorization can be granted unless all information requested is provided. Failure to provide all requested information will delay the processing of the application or result in the application being returned without action. Information requested by this form will be available for public inspection. Your response is required to obtain the requested authorization.

The public reporting for this collection of information is estimated to take 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the required data, and completing and reviewing the collection of information. If you have any comments on this burden estimate, or how we can improve the collection and reduce the burden it causes you, please write to the Federal Communications Commission, AMD-PER, Paperwork Reduction Act Project (3060-0853), Washington, DC 20554. We will also accept your comments regarding the Paperwork Reduction Act aspects of this collection via the Internet if you send them to PRA@fcc.gov. PLEASE DO NOT SEND YOUR RESPONSE TO THIS FORM TO THIS ADDRESS.

Remember - You are not required to respond to a collection of information sponsored by the Federal government, and the government may not conduct or sponsor this collection, unless it displays a currently valid OMB control number or if we fail to provide you with this notice. This collection has been assigned an OMB control number of 3060-0853.

THE FOREGOING NOTICE IS REQUIRED BY THE PRIVACY ACT OF 1974, PUBLIC LAW 93-579, DECEMBER 31, 1974, 5 U.S.C. 552a(e)(3) AND THE PAPERWORK REDUCTION ACT OF 1995, PUBLIC LAW 104-13, OCTOBER 1, 1995, 44 U.S.C. SECTION 3507.

I. INTRODUCTION

The FCC Form 500, Funding Commitment Adjustment Request Form, is used by the Billed Entity who filed an FCC Form 471, Description of Services Ordered and Certification Form, on behalf of an eligible school, library, library consortium or consortium of multiple entities, and who received a commitment of funds to inform the fund administrator, the Schools and Libraries Division (SLD) of the Universal Service Administrative Company (USAC), that it wishes to accomplish one or more of the following:

- To adjust the Funding Year Service Start Date reported on a previously filed FCC Form 486 for this Funding Year
- To adjust the Contract Expiration Date listed on its FCC Form 471 application for this Funding Year
- To request an extension of the service delivery and installation deadline for non-recurring services
- To cancel irrevocably and totally a Funding Request Number (FRN)
- To reduce irrevocably the amount of a Funding Request Number (FRN)
- To notify USAC of an equipment transfer within the three year prohibition on equipment transfers due to a temporary or permanent entity closure

Throughout these Instructions, the Billed Entity will be referred to as "applicant" or "Billed Entity" or "you." A Billed Entity may be a school, school district, library, library consortium or consortium of multiple entities, or an entity filing on their

behalf. The same applicant that filed the FCC Form 471 application should be identified as the applicant for the FCC Form 500.

The applicant must submit the relevant information on an FCC Form 500 for each FRN approved by USAC that is affected by a change. The FRNs cited on this FCC Form 500 must be based on the Funding Commitment Decision Letter(s) (FCDL) issued by the fund administrator.

Cancellations or reductions of a funding commitment cannot be made until USAC receives a completed FCC Form 500. USAC will send a written notification to you and your service provider to acknowledge receipt of an FCC Form 500 for each FRN for which the service provider's SPIN is listed. You may end up owing the service provider for discounts issued prior to the service provider's receipt of the FCC Form 500 notification cancelling or reducing such discounts.

II. FILING REQUIREMENTS AND GENERAL INSTRUCTIONS

Who Must File the FCC Form 500?

The Billed Entity representing a school, school district, library, library consortium, or consortium of multiple entities authorized to submit Funding Commitment Adjustment Requests regarding the FCC Form 471 to which this FCC Form 500 relates, must be the entity filing the FCC Form 500 with USAC. Your FCDL from USAC cites your Billed Entity Number, which you must also record in Block 1, Item 2 of this FCC Form.

How Many FCC Form(s) 500 to File?

1. You may choose to submit one FCC Form 500 setting forth the required information for the type(s) of adjustment requested for one funding year. Alternatively, you may choose to submit a separate FCC Form 500 for each affected FRN. When deciding whether to file multiple FCC Form 500 applications or a single FCC Form 500 containing the information for multiple FRNs, consider that it is possible to submit multiple Block entries each covering one FRN, all under the same FCC Form 500.

2. Is all of the information necessary to complete successfully the FCC Form 500 available? If any of the information required for a particular FRN is missing, the applicant should submit a separate FCC Form 500 for the particular FRN in question. In order for your FCC Form 500 to be accepted for processing, all components of information should be completed. If required information is missing or an invalid entry is made, your FCC Form 500 may be rejected and returned to you without being accepted and processed.

When to File?

You may file an FCC Form 500 no earlier than the receipt of an FCDL from USAC. You should file an FCC Form 500 as soon as you are aware of new circumstances requiring adjustment of FRNs and as soon as you are able. For service delivery extension requests for non-recurring services, you **MUST** submit the request for the deadline extension to USAC on or before the September 30 following the close of the funding year.

Where to File?

For FRNs from funding year 2016 and later, applicants must file the online version of the FCC Form 500 in USAC's portal. For FY2015 and earlier, upload a completed copy of the FCC Form 500 posted on USAC's website into USAC's portal. See <http://usac.org/sl/tools/apply-to-erate/default.aspx> for further instructions and a link to the portal.

Note: **DO NOT** FILE THIS OR ANY OTHER UNIVERSAL SERVICE FORM WITH THE FEDERAL COMMUNICATIONS COMMISSION.

Compliance.

Anyone filing false information is subject to penalties for false statements, including fine or forfeiture, under the Communications Act, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.

All of the information required to successfully process your request(s) in the FCC Form 500 must be completed, in order for this form to be accepted by the fund administrator for processing. A valid entry must be submitted on the FCC Form 500 for each component of information being provided to USAC. These Instructions set forth the requirements for a valid entry. If you have any questions about completing this form, please visit the USAC website and if you have remaining questions, please contact the SLD Client Service Bureau toll-free at 1-888-203-8100, before submitting the form. If the form is not properly completed, it may be rejected and returned to you.

Where to Get More Information?

You may call the SLD Client Service Bureau toll-free at 1-888-203-8100, send an email using the "Submit a Question" feature on the Administrator's website or a fax toll-free to 1-888-276-8736 for more information on how to complete this or other universal service forms. Information and detailed guidance is also available on the Administrator's website.

III. SPECIFIC INSTRUCTIONS

Type or clearly print in the spaces provided. Applicants may attach additional pages if necessary. It is not necessary to include all changed FRNs from one FCC Form 471 on one FCC Form 500; nor is it necessary to limit the FCC Form 500 to one FRN.

Note also that only one funding year can be featured on an FCC Form 500.

Top of Form

The data at the top of FCC Form 500 will help both you and USAC identify each particular FCC Form 500 you file.

Applicant's Form Identifier: If you are filing more than one FCC Form 500, please use this space to assign a unique number or letter of your own devising to facilitate communication with us about THIS particular FCC Form 500. This Applicant's Form Identifier can be very simple; for example, if you are filing three FCC Forms 500, you might label them "A," "B," and "C." The Applicant's Form Identifier can also be descriptive, such as "Internet." Choose identifiers that suit your own record keeping needs.

FCC Form 500 Application Number: USAC will assign and record your FCC Form 500 Application Number. Leave this item blank.

Top of each page after page 1: Please provide the Billed Entity Name (from Item 1), Billed Entity Number (from Item 2, below), and name and phone number of the contact person (from Item 5, below) at the top of each page of the form in the space provided. This will help alleviate problems caused if the pages become separated.

A. Block 1: Applicant Information

Block 1 of FCC Form 500 asks you for your address and basic identification information. "You" refers to the applicant – a school, school district, library, library consortium, or consortium of multiple entities, or entity filing on their behalf.

Item (1) - Provide your name as indicated on the corresponding FCDL. You may be an individual school, school district, library, library consortium, or a consortium of multiple entities, including a school district, a county, a city, a state, or an entity created solely as an agent for eligible entities to participate in this universal service discount mechanism.

Item (2) - Provide the Billed Entity Number as it appears on your FCDL for the corresponding FCC Form 471. **Please be sure to obtain this information from your FCDL or RFCDL, as the information may have been reported differently in your FCC Form 471 application.**

Item (3) - Provide the funding year for which your funds were approved, e.g., "07/01/2013 – 06/30/2014." The funding year you supply here must be the same as the funding year contained in your FCDL for the corresponding FCC Form 471. For example, Funding Year (FY) 2013 runs from July 1, 2013 through June 30, 2014. Each subsequent funding year is expected to begin on July 1 of that year. Cite only one funding year in this item.

Item (4) - Provide your organization's full mailing address, whether a street address, Post Office Box number, or route number; 10-digit phone number including the area code; fax number; and email address.

Item (5) - Provide the name of the person who should be contacted with questions about this form. The contact person must be able to answer questions in a timely manner regarding the information included in this form and the eligible services that have been, will be, or are being provided. Provide the mailing address phone number, fax number and email address of the contact person.

Type of Adjustment

Indicate which requests you are submitting. Check all applicable Blocks.

Block 2 Service Adjustment

- New Services Start Date
- Contract Expiration Date
- Service Delivery Extension

Block 3 Cancellation or Reduction of an FRN

Block 4 Equipment Transfer Notification

B. Block 2: Services Adjustment:

Block 2 of FCC Form 500 asks you to provide information about the FCC Form 471 application number and FRN, as well as the changes being made to the service start date or contract expiration date or whether you are requesting an extension of the deadline for delivery and installation of non-recurring services. Make additional copies of Block 2 to report changes to additional FRNs. Please number your pages, such as 2A, 2B, 2C, etc. and provide the number in the space provided in Block 2.

Item (6) –

Service Start Date: Complete one row for each FRN for which you wish to change the Funding Year Service Start Date previously reported on an FCC Form 486 for this funding year. If you are simply trying to indicate that you have started services or to report a service start date different from the date indicated in the FCDL, please file an FCC Form 486 and NOT an FCC Form 500. Enter the FCC Form 471 Application Number. Enter the Original Date which is the Funding Year Service Start Date from your Form 486 Notification Letter from USAC and the New Date that services will begin. The new Service Start Date cannot be before July 1 of the relevant funding year. The change in Funding Year Service Start Date will NOT result in an increase in funding. The New Funding Year Service Start Date must be provided in month, day and four-digit year (mm/dd/yyyy) format and must comply with program rules.

Item (7) -

Contract Expiration Date: Complete one row for each FRN for which you wish to change the contract expiration date, based on early termination or an extension of the contract. (Note: The applicant and the service provider should have already extended the contract prior to filing the FCC Form 500). Enter the FCC Form 471 Application Number and the FRN, the Original Date (for the contract expiration) and the New Date the contract will expire. An extension date may be entered, if and only if, the amount of the funding commitment is NOT increased; the type of services received pursuant to the FRN remains the same; the extension is within the funding year or within an extension period authorized by the FCC; and such extension is acceptable under state and local procurement rules and regulations. Provide this information in month, day and four-digit year (mm/dd/yyyy) format.

Item (8) -

Service Delivery Extension Request: Complete this row if you wish to extend the deadline for service delivery and installation for non-recurring services. Applicants have three additional months after the end of the funding year (until September 30) to install one-time services known as non-recurring services. USAC may extend the September 30 deadline if the applicant falls within at least one of four designated circumstances: (1) applicants whose FCDLs are issued by the Administrator on or after March 1 of the funding year for which discounts are authorized; (2) applicants who receive service provider change or service substitution authorizations from the Administrator on or after March 1 of the funding year for which discounts are authorized; (3) applicants whose service providers are unable to complete implementation for reasons beyond the service provider's control; or (4) applicants whose service providers are unwilling to complete installation because funding disbursements are delayed while the Administrator investigates their application for program compliance. USAC automatically extends the service delivery deadline for non-recurring services if the reason for the extension are either (1) or (2). However, applicants must affirmatively request an extension of the September 30 deadline for either (3) or (4). Enter the FCC Form 471 application number and FRN, and certify by checking off the reason you are requesting the service delivery deadline extension. Note that the applicant must request an extension on or before the September 30 deadline. Granting an extension will not increase funding.

C. Block 3: Cancellation or Reduction of an FRN:

Item (9) -

Cancel FRN: Use this row if you wish to cancel an FRN **completely** (for the total amount, for the whole approved funding period). This action is irrevocable. Enter the FCC Form 471 application number and FRN(s) shown on your FCDL. Write CANCEL ALL if you wish to cancel all FRNs on the indicated FCC Form 471. You may use this row if, for instance, you have decided not to purchase services after all. For example, if you had an FRN in Category Two for a router and you decided not to purchase that router. Cancelling the FRN allows money to be put back in the Universal Service fund for possible commitment to other applicants. **It is important to discuss cancellation with your service provider so that prompt action can be taken to avoid inappropriate payments (or discounts).**

Item (10) -

Reduce FRN: Use this row if you wish to report to us lower costs, perhaps because you will obtain fewer products or services or **partially** cancel an FRN, such as a cancellation part way through the funding year or, if permissible, deciding not to purchase all of the equipment originally sought. This action is irrevocable; the post-reduction amount may not be increased at a later date. Enter the FCC Form 471 application number and the FRN and write in the Original Commitment Amount as shown on your FCDL. Enter the New Commitment Amount AFTER Reduction. Reducing a Category One commitment allows money to be put back in the Universal Service fund for possible commitment to other applicants. **It is important to discuss reduction with your service provider so that prompt action can be taken to avoid inappropriate payments (or discounts) being made.**

D. Block 4: Equipment Transfer Notification:**Item (11) –**

Equipment Transfer: Complete Block 4 if you are transferring equipment from a closed entity to other eligible entities within three years of the date of purchase. Schools and libraries are prohibited from transferring eligible services and the equipment components of eligible services to other schools within three years of their purchase, even without receiving money or other consideration in return, with one exception. If the school or library that orders the eligible services or equipment permanently or temporarily closes, then that school or library can transfer any services and equipment components of those services to another school or library, and must notify USAC of the transfer. Enter the FCC Form 471 application number and FRN as shown on the FCDL and provide the entity number(s) and name(s) of the transferring entity/entities. Include the purchase date, transfer date and transfer reason in the spaces provided. Check the box if the transfer is temporary and indicate the projected return date. For the receiving entities, list all of the entity numbers and names for the entities receiving the equipment, and the equipment name, make and model for the equipment to be received.

Both the transferor and recipient must maintain detailed records documenting the transfer and the reason for the transfer for a period of at least ten years or whatever retention period is required by the rules in effect at the time of the transfer.

E. Block 5: Certification

Item (12) - Certify that the individual signing on behalf of the applicant is authorized to submit the information contained in the FCC Form 500 on behalf of the eligible entities receiving eligible services. The individual signing on behalf of the applicant must certify that the information contained in FCC Form 500 is true to the best of his or her knowledge, information and belief. Persons knowingly making false statements or certifications on this form can be punished by fine, forfeiture, or imprisonment under federal law.

Item (13) – Certify that the discount level used for shared services is conditional, for future years, upon ensuring that the most disadvantaged schools and libraries that are treated as sharing in the services receive an appropriate share of benefits from those services.

Item (14) – Certify that you will retain for at least ten years (or whatever retention period is required by the rules in effect at the time of this certification), after the later of the last day of the applicable funding year or the service delivery deadline for the funding request any and all records relied upon to complete this form and all documents necessary to demonstrate compliance with the statutory or regulatory requirements for the schools and libraries universal service support program. Certify also to your recognition that you may be audited pursuant to this application and, if audited, must produce such records at the request of a representative identified in § 54.516 of the FCC's rules. .

Item (15) - The authorized person certifying to the accuracy of the information contained in FCC Form 500 on behalf of the applicant must sign this block.

Item (16) - Enter the date the FCC Form 500 was signed, using mm/dd/yyyy format.

Item (17) - Print the name of the authorized person certifying the information contained in FCC Form 500 on behalf of the applicant.

Item (18) - Enter the title or position of the authorized person certifying the information contained in FCC Form 500 on behalf of the applicant.

Item (19) - Enter the telephone number of the authorized person certifying the information contained in FCC Form 500 on behalf of the applicant. This information is required in order to expedite any communications that may be necessary between the authorized person responsible for signing the FCC Form 500 and the fund administrator.

Item (20) – Enter the email address of the authorized person certifying the information contained in FCC Form 500 on behalf of the applicant. This component is required in order to expedite any communications that may be necessary between the authorized person responsible for signing the FCC Form 500 and the fund administrator.

Item (21) - Enter the address (including street address, PO Box, or route no.; city; state; and zip code) of the authorized person certifying the information contained in FCC Form 500 on behalf of the applicant.

Item (22) – Enter the name of the authorized person's employer. If a consultant is acting as the authorized person, this will be the name of the company that employs the consultant and not the name of the applicant.